



QUARTERLY STATEMENT

AS OF MARCH 31, 2014
OF THE CONDITION AND AFFAIRS OF THE

STANDARD SECURITY LIFE INSURANCE COMPANY OF NEW YORK

NAIC Group Code 00450, 00450 NAIC Company Code 69078 Employer's ID Number 13-5679267
(Current Period) (Prior Period)

Organized under the Laws of New York, State of Domicile or Port of Entry New York
Country of Domicile United States

Incorporated/Organized 06/28/1957 Commenced Business 12/22/1958

Statutory Home Office 485 Madison Avenue, 14th Floor, New York, NY, US 10022-5872
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 485 Madison Avenue, 14th Floor New York, NY, US 10022-5872 212-355-4141
(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 485 Madison Avenue, 14th Floor, New York, NY, US 10022-5872
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 485 Madison Avenue, 14th Floor New York, NY, US 10022-5872 212-355-4141-3051
(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Web Site Address www.sslcnyc.com

Statutory Statement Contact Gary John Balzofiore 212-355-4141-3051
(Name) (Area Code) (Telephone Number) (Extension)
gbalzofiore@sslcnyc.com 212-644-7450
(E-mail Address) (FAX Number)

OFFICERS

Name	Title	Name	Title
<u>David Thomas Kettig</u>	<u>President</u>	<u>Gary John Balzofiore</u>	<u>EVP & Chief Financial Officer</u>
<u>Adam Christian Vandervoort</u>	<u>SVP, General Counsel & Secretary</u>	<u>Roy Tjay Kiang Thung</u>	<u>Chairman & Chief Executive Officer</u>

OTHER OFFICERS

<u>Vincent John Furfaro</u>	<u>VP - Information Technology</u>	<u>Thomas Anthony Gibbons</u>	<u>VP & Chief Compliance Officer</u>
<u>Michael Alan Kemp</u>	<u>SVP & Chief Underwriting Officer</u>	<u>David Brian Getz</u>	<u>VP & Controller</u>
<u>Richard Michael DeMarco</u>	<u>VP - Taxation</u>		

DIRECTORS OR TRUSTEES

<u>Rachel Lipari</u>	<u>Gary John Balzofiore</u>	<u>Bernard Eichwald</u>	<u>Steven Bruce Lapin</u>
<u>Larry Raymond Graber</u>	<u>Robert Michael Leopold</u>	<u>Roy Tjay Kiang Thung</u>	<u>John Lee Lahey</u>
<u>James Griffin Tatum</u>	<u>David Thomas Kettig</u>		

State of New York

County of New York

ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

David Thomas Kettig
President

Gary John Balzofiore
EVP & Chief Financial Officer

Adam Christian Vandervoort
SVP, General Counsel & Secretary

Subscribed and sworn to before me this _____ day of May, 2014

a. Is this an original filing? Yes [X] No []

b. If no:

1. State the amendment number _____

2. Date filed _____

3. Number of pages attached _____

STATEMENT AS OF MARCH 31, 2014 OF THE STANDARD SECURITY LIFE INSURANCE COMPANY OF NEW YORK

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	130,204,971		130,204,971	131,774,707
2. Stocks:				
2.1 Preferred stocks	17,048,298		17,048,298	17,048,298
2.2 Common stocks	20,191,428		20,191,428	18,630,404
3. Mortgage loans on real estate:				
3.1 First liens			0	0
3.2 Other than first liens			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)			0	0
4.2 Properties held for the production of income (less \$ encumbrances)			0	0
4.3 Properties held for sale (less \$ encumbrances)			0	0
5. Cash (\$435,950), cash equivalents (\$14,042,000) and short-term investments (\$ 981)	14,478,931		14,478,931	9,292,967
6. Contract loans (including \$ premium notes)	674,269		674,269	696,694
7. Derivatives			0	0
8. Other invested assets	8,696,519		8,696,519	8,803,119
9. Receivables for securities	1,735,077		1,735,077	1,411,691
10. Securities lending reinvested collateral assets			0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	193,029,493	0	193,029,493	187,657,880
13. Title plants less \$ charged off (for Title insurers only)			0	0
14. Investment income due and accrued	1,420,563		1,420,563	937,992
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	25,279,985	5,000	25,274,985	23,893,572
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)	232,922		232,922	252,288
15.3 Accrued retrospective premiums			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	69,882		69,882	73,563
16.2 Funds held by or deposited with reinsured companies	7,468,058		7,468,058	7,182,940
16.3 Other amounts receivable under reinsurance contracts	2,664,075		2,664,075	2,538,987
17. Amounts receivable relating to uninsured plans			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon			0	0
18.2 Net deferred tax asset	1,672,210	261,164	1,411,046	1,411,046
19. Guaranty funds receivable or on deposit			0	0
20. Electronic data processing equipment and software	146,691		146,691	165,729
21. Furniture and equipment, including health care delivery assets (\$)			0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23. Receivables from parent, subsidiaries and affiliates	13,129,906		13,129,906	13,030,085
24. Health care (\$) and other amounts receivable			0	0
25. Aggregate write-ins for other-than-invested assets	13,132,078	821,598	12,310,480	12,358,815
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	258,245,863	1,087,762	257,158,101	249,502,897
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	0
28. Total (Lines 26 and 27)	258,245,863	1,087,762	257,158,101	249,502,897
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)	0	0	0	0
2501. Claim funds	12,310,480		12,310,480	12,358,815
2502. Leasehold improvements	135,780	135,780	0	0
2503. Disallowed interest maintenance reserves	685,818	685,818	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	13,132,078	821,598	12,310,480	12,358,815

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$ 25,419,336 less \$ included in Line 6.3 (including \$ Modco Reserve)	25,419,336	25,645,309
2. Aggregate reserve for accident and health contracts (including \$ Modco Reserve)	63,111,633	63,259,752
3. Liability for deposit-type contracts (including \$ Modco Reserve)	10,524,270	11,198,348
4. Contract claims:		
4.1 Life	281,669	240,285
4.2 Accident and health	652,290	626,970
5. Policyholders' dividends \$ and coupons \$ due and unpaid	0	0
6. Provision for policyholders' dividends and coupons payable in following calendar year—estimated amounts:		
6.1 Dividends apportioned for payment (including \$ Modco)	8,115	8,381
6.2 Dividends not yet apportioned (including \$ Modco)	0	0
6.3 Coupons and similar benefits (including \$ Modco)	0	0
7. Amount provisionally held for deferred dividend policies not included in Line 6	0	0
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ discount; including \$ accident and health premiums	11,618	13,631
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts	0	0
9.2 Provision for experience rating refunds, including the liability of \$ accident and health experience rating refunds of which \$ 1,458,000 is for medical loss ratio rebate per the Public Health Service Act	1,458,000	1,498,000
9.3 Other amounts payable on reinsurance, including \$ assumed and \$ ceded	0	0
9.4 Interest Maintenance Reserve	0	0
10. Commissions to agents due or accrued-life and annuity contracts \$ 96,989 , accident and health \$ 6,618,280 and deposit-type contract funds \$	6,723,969	6,240,719
11. Commissions and expense allowances payable on reinsurance assumed	2,977,512	2,670,666
12. General expenses due or accrued	2,691,051	3,337,327
13. Transfers to Separate Accounts due or accrued (net) (including \$ accrued for expense allowances recognized in reserves, net of reinsured allowances)	0	0
14. Taxes, licenses and fees due or accrued, excluding federal income taxes	3,783,586	3,416,215
15.1 Current federal and foreign income taxes, including \$ on realized capital gains (losses)	48,891	47,481
15.2 Net deferred tax liability	0	0
16. Unearned investment income	0	0
17. Amounts withheld or retained by company as agent or trustee	(7,331)	18,315
18. Amounts held for agents' account, including \$ agents' credit balances	0	0
19. Remittances and items not allocated	3,725,581	1,283,843
20. Net adjustment in assets and liabilities due to foreign exchange rates	0	0
21. Liability for benefits for employees and agents if not included above	0	0
22. Borrowed money \$ and interest thereon \$	0	0
23. Dividends to stockholders declared and unpaid	0	0
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve	842,716	1,475,894
24.02 Reinsurance in unauthorized and certified (\$) companies	1,200,965	1,200,965
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$) reinsurers	8,621,612	7,342,716
24.04 Payable to parent, subsidiaries and affiliates	34,394	33,355
24.05 Drafts outstanding	0	0
24.06 Liability for amounts held under uninsured plans	0	0
24.07 Funds held under coinsurance	5,172,121	5,481,805
24.08 Derivatives	0	0
24.09 Payable for securities	3,925,747	268,786
24.10 Payable for securities lending	0	0
24.11 Capital notes \$ and interest thereon \$	0	0
25. Aggregate write-ins for liabilities	213,721	222,359
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)	141,421,466	135,531,122
27. From Separate Accounts statement	0	0
28. Total liabilities (Lines 26 and 27)	141,421,466	135,531,122
29. Common capital stock	2,586,845	2,586,845
30. Preferred capital stock	0	0
31. Aggregate write-ins for other than special surplus funds	0	0
32. Surplus notes	0	0
33. Gross paid in and contributed surplus	24,774,503	24,774,503
34. Aggregate write-ins for special surplus funds	518,000	446,000
35. Unassigned funds (surplus)	87,857,287	86,164,427
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 29 \$)	0	0
36.2 shares preferred (value included in Line 30 \$)	0	0
37. Surplus (Total Lines 31 + 32 + 33 + 34 + 35 - 36) (including \$ in Separate Accounts Statement)	113,149,790	111,384,930
38. Totals of Lines 29, 30 and 37	115,736,635	113,971,775
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	257,158,101	249,502,897
DETAILS OF WRITE-INS		
2501. Reserve for unrepresented checks	192,461	201,768
2502. Premium deposit funds	21,260	20,591
2503.	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	213,721	222,359
3101.	0	0
3102.	0	0
3103.	0	0
3198. Summary of remaining write-ins for Line 31 from overflow page	0	0
3199. Totals (Lines 3101 through 3103 plus 3198) (Line 31 above)	0	0
3401. Group contingency life reserve	446,000	446,000
3402. Section 9010 assessment of ACA	72,000	0
3403.	0	0
3498. Summary of remaining write-ins for Line 34 from overflow page	0	0
3499. Totals (Lines 3401 through 3403 plus 3498) (Line 34 above)	518,000	446,000

SUMMARY OF OPERATIONS

	1 Current Year To Date	2 Prior Year Year to Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts	55,058,391	51,227,052	214,413,084
2. Considerations for supplementary contracts with life contingencies	0	0	0
3. Net investment income	1,531,314	1,665,493	6,639,161
4. Amortization of Interest Maintenance Reserve (IMR)	42,120	59,264	237,659
5. Separate Accounts net gain from operations excluding unrealized gains or losses	0	0	0
6. Commissions and expense allowances on reinsurance ceded	4,328,224	3,796,160	15,782,869
7. Reserve adjustments on reinsurance ceded	(392)	(17,354)	(111,578)
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	0	0	0
8.2 Charges and fees for deposit-type contracts	0	0	0
8.3 Aggregate write-ins for miscellaneous income	966	177,909	266,043
9. Totals (Lines 1 to 8.3)	60,960,623	56,908,524	237,227,238
10. Death benefits	298,892	231,316	755,875
11. Matured endowments (excluding guaranteed annual pure endowments)	0	0	0
12. Annuity benefits	56,192	(13,746)	42,670
13. Disability benefits and benefits under accident and health contracts	37,267,129	26,277,134	128,578,876
14. Coupons, guaranteed annual pure endowments and similar benefits	0	0	0
15. Surrender benefits and withdrawals for life contracts	66,433	107,738	326,965
16. Group conversions	0	0	0
17. Interest and adjustments on contract or deposit-type contract funds	142,201	170,149	612,106
18. Payments on supplementary contracts with life contingencies	312,634	319,350	1,264,587
19. Increase in aggregate reserves for life and accident and health contracts	(414,092)	8,128,654	17,781,712
20. Totals (Lines 10 to 19)	37,729,389	35,220,595	149,362,791
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)	7,747,204	6,207,970	27,159,674
22. Commissions and expense allowances on reinsurance assumed	3,003,748	4,303,476	16,611,939
23. General insurance expenses	7,637,837	6,697,108	28,682,261
24. Insurance taxes, licenses and fees, excluding federal income taxes	1,984,118	693,592	3,651,083
25. Increase in loading on deferred and uncollected premiums	(2,156)	(3,770)	(5,645)
26. Net transfers to or (from) Separate Accounts net of reinsurance	0	0	0
27. Aggregate write-ins for deductions	0	0	491,562
28. Totals (Lines 20 to 27)	58,100,140	53,118,971	225,953,665
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	2,860,483	3,789,553	11,273,573
30. Dividends to policyholders	1,393	1,905	8,010
31. Net gain from operations after dividends to policyholders and before federal income taxes (Line 29 minus Line 30)	2,859,090	3,787,648	11,265,563
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	1,015,810	1,219,818	3,179,646
33. Net gain from operations after dividends to policyholders and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	1,843,280	2,567,830	8,085,917
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$ 0 (excluding taxes of \$ transferred to the IMR)	(148,950)	29,370	1,094,035
35. Net income (Line 33 plus Line 34)	1,694,330	2,597,200	9,179,952
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year	113,971,775	116,281,726	116,281,726
37. Net income (Line 35)	1,694,330	2,597,200	9,179,952
38. Change in net unrealized capital gains (losses) less capital gains tax of \$	(538,114)	(270,305)	(2,062,480)
39. Change in net unrealized foreign exchange capital gain (loss)	0	0	0
40. Change in net deferred income tax	0	0	(348,679)
41. Change in nonadmitted assets	(24,534)	439,366	122,670
42. Change in liability for reinsurance in unauthorized and certified companies	0	0	(27,806)
43. Change in reserve on account of change in valuation basis, (increase) or decrease	0	0	(600,000)
44. Change in asset valuation reserve	633,178	(58,374)	(573,608)
45. Change in treasury stock	0	0	0
46. Surplus (contributed to) withdrawn from Separate Accounts during period	0	0	0
47. Other changes in surplus in Separate Accounts Statement	0	0	0
48. Change in surplus notes	0	0	0
49. Cumulative effect of changes in accounting principles	0	0	0
50. Capital changes:			
50.1 Paid in	0	0	0
50.2 Transferred from surplus (Stock Dividend)	0	0	0
50.3 Transferred to surplus	0	0	0
51. Surplus adjustment:			
51.1 Paid in	0	0	0
51.2 Transferred to capital (Stock Dividend)	0	0	0
51.3 Transferred from capital	0	0	0
51.4 Change in surplus as a result of reinsurance	0	0	0
52. Dividends to stockholders	0	0	(8,000,000)
53. Aggregate write-ins for gains and losses in surplus	0	0	0
54. Net change in capital and surplus (Lines 37 through 53)	1,764,860	2,707,887	(2,309,951)
55. Capital and surplus, as of statement date (Lines 36 + 54)	115,736,635	118,989,613	113,971,775
DETAILS OF WRITE-INS			
08.301. Other income	966	177,909	266,043
08.302.	0	0	0
08.303.	0	0	0
08.398. Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0
08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	966	177,909	266,043
2701. Amounts paid on reinsurance transaction	0	0	491,562
2702.	0	0	0
2703.	0	0	0
2798. Summary of remaining write-ins for Line 27 from overflow page	0	0	0
2799. Totals (Lines 2701 through 2703 plus 2798) (Line 27 above)	0	0	491,562
5301.	0	0	0
5302.	0	0	0
5303.	0	0	0
5398. Summary of remaining write-ins for Line 53 from overflow page	0	0	0
5399. Totals (Lines 5301 through 5303 plus 5398) (Line 53 above)	0	0	0

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance.....	53,696,488	44,450,384	207,641,739
2. Net investment income	1,235,759	1,628,357	8,327,835
3. Miscellaneous income	4,202,752	3,697,681	15,232,964
4. Total (Lines 1 to 3)	59,134,999	49,776,422	231,202,538
5. Benefit and loss related payments	37,931,287	26,951,449	130,892,944
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	19,896,758	17,418,992	74,479,320
8. Dividends paid to policyholders	1,659	1,979	8,381
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses).....	1,000,000	3,000,000	6,000,000
10. Total (Lines 5 through 9)	58,829,704	47,372,420	211,380,645
11. Net cash from operations (Line 4 minus Line 10)	305,295	2,404,002	19,821,893
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	33,647,377	15,955,916	107,678,469
12.2 Stocks	4,052,451	8,151,284	35,007,081
12.3 Mortgage loans	0	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0	0
12.7 Miscellaneous proceeds	3,333,576	4,310,707	3,865,642
12.8 Total investment proceeds (Lines 12.1 to 12.7)	41,033,404	28,417,907	146,551,192
13. Cost of investments acquired (long-term only):			
13.1 Bonds	32,400,243	33,296,948	117,684,526
13.2 Stocks	6,193,943	5,154,842	37,639,928
13.3 Mortgage loans	0	0	0
13.4 Real estate	0	0	0
13.5 Other invested assets	0	192,841	1,050,853
13.6 Miscellaneous applications	0	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6)	38,594,186	38,644,631	156,375,307
14. Net increase (or decrease) in contract loans and premium notes	(22,425)	(43,265)	(27,228)
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	2,461,643	(10,183,459)	(9,796,887)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock.....	0	0	0
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	(816,278)	(787,458)	(3,261,000)
16.5 Dividends to stockholders	0	0	8,000,000
16.6 Other cash provided (applied).....	3,235,304	(2,234,022)	(3,259,503)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6).....	2,419,026	(3,021,480)	(14,520,503)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	5,185,964	(10,800,937)	(4,495,497)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	9,292,967	13,788,464	13,788,464
19.2 End of period (Line 18 plus Line 19.1)	14,478,931	2,987,527	9,292,967

EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
1. Industrial life0	.0
2. Ordinary life insurance	115,060	133,102	490,077
3. Ordinary individual annuities	3,093	3,461	13,664
4. Credit life (group and individual)0	.0
5. Group life insurance	236,478	287,817	893,061
6. Group annuities	109,059	129,441	204,910
7. A & H - group	75,166,686	65,108,045	275,408,566
8. A & H - credit (group and individual)0	.0
9. A & H - other	18,623	21,507	553,708
10. Aggregate of all other lines of business0	.0	.0
11. Subtotal	75,648,999	65,683,373	277,563,986
12. Deposit-type contracts0	.0
13. Total	75,648,999	65,683,373	277,563,986
DETAILS OF WRITE-INS			
1001.			
1002.			
1003.			
1098. Summary of remaining write-ins for Line 10 from overflow page0	.0	.0
1099. Total (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies:

This statement has been prepared in accordance with the Accounting Practices and Procedures Manual.

2. Accounting Changes and Corrections of Errors: no change.
3. Business Combinations and Goodwill: no change.
4. Discontinued Operations: no change.
5. Investments: no change.
6. Joint Ventures, Partnerships and Limited Liability Companies: no change.
7. Investment Income: no change.
8. Derivative Instruments: no change.
9. Income Taxes: no change.
10. Information Concerning Parent, Subsidiaries and Affiliates and Other Related Parties: no change.
11. Debt: no change.
12. Retirement Plans, Deferred Compensation and Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans: no change.
13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi - Reorganizations: no change.
14. Contingencies: no change.
15. Leases: no change.
16. Information About Financial Instruments With Off - Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk: no change.
17. Sale, Transfer, and Servicing of Financial Assets and Extinguishments of Liabilities: no change.
18. Gain or Loss to the Reporting Entity from Uninsured A & H Plans and the Uninsured Portion of Partially Insured Plans: no change.
19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators: no change.
20. Fair Value Measurement:

Subprime Mortgage Exposure

Direct Exposure to Subprime Mortgages – The Company has no subprime mortgages.

Indirect Exposure to Subprime Mortgages – The Company has 0 positions in non-agency whole loan Alt A mortgages. These loans may have indirect exposure to the subprime market including CDO's and CLO's as follows:

Type	Cost	Amortized Cost	Fair Value
Alt A	-	-	-
Alt A Exposure	-	-	-
Corporate Backed Trust	3,762,885	3,762,885	3,558,429
Total Preferred Stock Exposure	3,762,885	3,762,885	3,558,429

The Company has 1 position in a preferred stock that may have subprime mortgage exposure.

As of March 31, 2014, the Company has recorded these investments at amortized cost as they believe the decline in the fair value of each of these investments is temporary, and the Company has the ability and intent to hold these securities until they recover in value.

Underwriting Exposure to Subprime Mortgage Risk – The Company has no underwriting exposure due to subprime mortgages. The Company does own certain corporate and municipal fixed maturities that were guaranteed by bond insurers but the underlying ratings of such securities were all investment grade.

21. Other Items: no change.
22. Events Subsequent: no change.
23. Reinsurance: no change.
24. Retrospectively Rated Contracts & Contracts Subject to Redetermination: no change.
25. Change in Incurred Losses and Loss Adjustment Expenses:

Reserves as of December 31, 2013 were \$61,166,891. As of March 31, 2014, \$27,624,090 has been paid for incurred losses attributable to insured events of prior years. Reserves remaining for prior years are now \$31,676,341 as a result of re-estimation of claims and claim

NOTES TO FINANCIAL STATEMENTS

adjustment expenses principally on the DBL, and Fully Insured lines of business. Therefore, there has been an \$1,866,460 favorable prior year development since December 31, 2013 to March 31, 2014. The change is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims.

26. Intercompany Pooling Arrangements: no change.
27. Structured Settlements: no change.
28. Health Care Receivables: no change.
29. Participating Policies: no change.
30. Premium Deficiency Reserves: no change.
31. Reserves for Life Contracts and Annuity Contracts: no change.
32. Analysis of Annuity Actuarial Reserves and Deposit Type Liabilities by Withdrawal Characteristics: no change.
33. Premiums and Annuity Considerations Deferred and Uncollected: no change.
34. Separate Accounts: no change.
35. Loss/Claim Adjustment Expenses: no change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change:
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No []
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
.....
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] NA []
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made.12/31/2012
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity.12/31/2009
This date should be the date of the examined balance sheet and not the date the report was completed or released.
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).05/16/2011
- 6.4 By what department or departments?
New York State Insurance Department.....
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [X] No [] NA []
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [] NA []
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:
.....
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?..... Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
.....
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms?..... Yes [] No [X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes No
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 (c) Compliance with applicable governmental laws, rules and regulations;
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

- 9.2 Has the code of ethics for senior managers been amended? Yes No

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes No

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes No

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes No

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$

13. Amount of real estate and mortgages held in short-term investments: \$

- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes No

14.2 If yes, please complete the following:

		1 Prior Year-End Book/Adjusted Carrying Value		2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$	\$
14.22 Preferred Stock	\$	11,500,000	\$	11,500,000
14.23 Common Stock	\$	9,421,295	\$	8,844,745
14.24 Short-Term Investments	\$	\$
14.25 Mortgage Loans on Real Estate	\$	\$
14.26 All Other	\$	8,803,119	\$	8,696,519
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....	\$	29,724,414	\$	29,041,264
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$	\$

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes No

- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes No

If no, attach a description with this statement.

GENERAL INTERROGATORIES

- 16 For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$
 - 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$
 - 16.3 Total payable for securities lending reported on the liability page \$

17. Excluding items in Schedule E – Part 3 – Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III – General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes No

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JP Morgan Chase.....	1111 Polaris Parkway, Columbus, OH 43240.....

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes No

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address

18.1 Have all the filing requirements of the *Purposes and Procedures Manual* of the NAIC Securities Valuation Office been followed? Yes No

18.2 If no, list exceptions:

.....

GENERAL INTERROGATORIES

PART 2 - LIFE & HEALTH

	1 Amount
1. Report the statement value of mortgage loans at the end of this reporting period for the following categories:	
1.1 Long-Term Mortgages in Good Standing	
1.11 Farm Mortgages	\$
1.12 Residential Mortgages	\$
1.13 Commercial Mortgages	\$
1.14 Total Mortgages in Good Standing	\$ <u>0</u>
1.2 Long-Term Mortgages in Good Standing with Restructured Terms	
1.21 Total Mortgages in Good Standing with Restructured Terms	\$
1.3 Long-Term Mortgage Loans upon which Interest is Overdue more than Three Months	
1.31 Farm Mortgages	\$
1.32 Residential Mortgages	\$
1.33 Commercial Mortgages	\$
1.34 Total Mortgages with Interest Overdue more than Three Months	\$ <u>0</u>
1.4 Long-Term Mortgage Loans in Process of Foreclosure	
1.41 Farm Mortgages	\$
1.42 Residential Mortgages	\$
1.43 Commercial Mortgages	\$
1.44 Total Mortgages in Process of Foreclosure	\$ <u>0</u>
1.5 Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)	\$ <u>0</u>
1.6 Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter	
1.61 Farm Mortgages	\$
1.62 Residential Mortgages	\$
1.63 Commercial Mortgages	\$
1.64 Total Mortgages Foreclosed and Transferred to Real Estate	\$ <u>0</u>
2. Operating Percentages:	
2.1 A&H loss percent67.9 %
2.2 A&H cost containment percent1.0 %
2.3 A&H expense percent excluding cost containment expenses28.0 %
3.1 Do you act as a custodian for health savings accounts?	Yes [] No [X]
3.2 If yes, please provide the amount of custodial funds held as of the reporting date	\$
3.3 Do you act as an administrator for health savings accounts?	Yes [] No [X]
3.4 If yes, please provide the balance of the funds administered as of the reporting date	\$

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Reinsurer	8 Certified Reinsurer Rating (1 through 6)	9 Effective Date of Certified Reinsurer Rating
NONE								

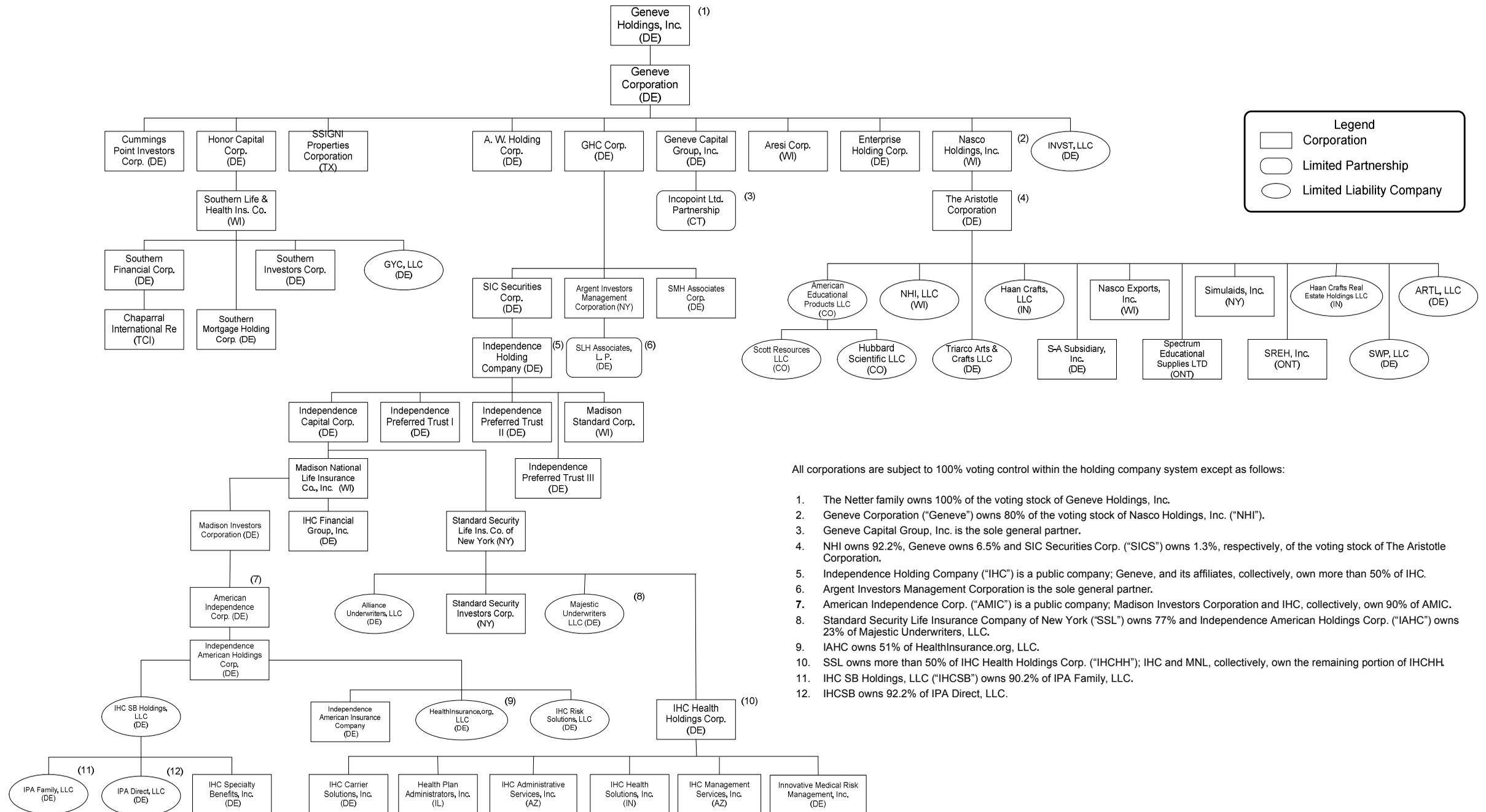
SCHEDULE T – PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year To Date - Allocated by States and Territories

States, Etc.	1	Direct Business Only					7	
		Life Contracts		4	5	6		
		2	3					
Active Status	Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 Through 5	Deposit - Type Contracts		
1. Alabama	AL	L	174	0	945,000		945,174	
2. Alaska	AK	L	240	0	92,808		93,048	
3. Arizona	AZ	L	3,491	0	1,977,730		1,981,221	
4. Arkansas	AR	L	795	0	220,747		221,542	
5. California	CA	L	7,339	0	1,571,822		1,579,161	
6. Colorado	CO	L	15,640	0	1,518,715		1,534,355	
7. Connecticut	CT	L	3,839	109,059	388,401		501,299	
8. Delaware	DE	L	485	0	308,659		309,144	
9. District of Columbia	DC	L	264	0	361,532		361,796	
10. Florida	FL	L	10,688	0	1,314,890		1,325,578	
11. Georgia	GA	L	16,084	0	1,929,894		1,945,978	
12. Hawaii	HI	L	0	0	12,726		12,726	
13. Idaho	ID	L	0	0	230,831		230,831	
14. Illinois	IL	L	4,755	0	3,919,339		3,924,094	
15. Indiana	IN	L	7,968	0	3,273,126		3,281,094	
16. Iowa	IA	L	5,507	0	591,355		596,862	
17. Kansas	KS	L	7,946	0	859,094		867,040	
18. Kentucky	KY	L	2,152	0	405,346		407,498	
19. Louisiana	LA	L	3,220	0	1,062,795		1,066,015	
20. Maine	ME	L	568	75	126,081		126,724	
21. Maryland	MD	L	1,702	0	1,541,234		1,542,936	
22. Massachusetts	MA	L	1,835	0	307,780		309,615	
23. Michigan	MI	L	3,083	0	1,516,388		1,519,471	
24. Minnesota	MN	L	154	0	430,333		430,487	
25. Mississippi	MS	L	487	0	264,778		265,265	
26. Missouri	MO	L	4,692	0	1,492,076		1,496,768	
27. Montana	MT	L	546	0	362,119		362,665	
28. Nebraska	NE	L	1,403	0	1,092,871		1,094,274	
29. Nevada	NV	L	1,173	0	451,511		452,684	
30. New Hampshire	NH	L	287	0	22,100		22,387	
31. New Jersey	NJ	L	6,258	300	3,069,830		3,076,388	
32. New Mexico	NM	L	420	0	280,815		281,235	
33. New York	NY	L	167,062	2,687	18,410,800		18,580,549	
34. North Carolina	NC	L	5,212	0	2,609,651		2,614,863	
35. North Dakota	ND	L	20	0	33,727		33,747	
36. Ohio	OH	L	6,789	0	1,871,010		1,877,799	
37. Oklahoma	OK	L	500	0	413,579		414,079	
38. Oregon	OR	L	884	0	269,818		270,702	
39. Pennsylvania	PA	L	19,138	31	3,562,574		3,581,743	
40. Rhode Island	RI	L	119	0	60,871		60,990	
41. South Carolina	SC	L	7,264	0	1,417,511		1,424,775	
42. South Dakota	SD	L	60	0	34,071		34,131	
43. Tennessee	TN	L	3,517	0	565,856		569,373	
44. Texas	TX	L	5,465	0	6,983,330		6,988,795	
45. Utah	UT	L	110	0	197,990		198,100	
46. Vermont	VT	L	1,080	0	2,185		3,265	
47. Virginia	VA	L	3,393	0	1,324,947		1,328,340	
48. Washington	WA	L	221	0	288,435		288,656	
49. West Virginia	WV	L	1,264	0	414,578		415,842	
50. Wisconsin	WI	L	12,334	0	2,168,645		2,180,979	
51. Wyoming	WY	L	3,297	0	521,858		525,155	
52. American Samoa	AS	N					0	
53. Guam	GU	N					0	
54. Puerto Rico	PR	L			2,582		2,582	
55. US Virgin Islands	VI	L	34		9		43	
56. Northern Mariana Islands	MP	N					0	
57. Canada	CAN	N					0	
58. Aggregate Other Alien	OT	XXX	0	0	0	0	0	0
59. Subtotal	(a)	53	350,958	112,152	73,096,753	0	73,559,863	0
90. Reporting entity contributions for employee benefits plans	XXX						0	
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX						0	
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX						0	
93. Premium or annuity considerations waived under disability or other contract provisions	XXX		581		2,850		3,431	
94. Aggregate other amounts not allocable by State	XXX		0	0	0	0	0	0
95. Totals (Direct Business)	XXX		351,539	112,152	73,099,603	0	73,563,294	0
96. Plus Reinsurance Assumed	XXX		98,750		11,559,304		11,658,054	
97. Totals (All Business)	XXX		450,289	112,152	84,658,907	0	85,221,348	0
98. Less Reinsurance Ceded	XXX		159,642	110,854	31,254,363		31,524,859	
99. Totals (All Business) less Reinsurance Ceded	XXX		290,647	1,298	53,404,544	0	53,696,489	0
DETAILS OF WRITE-INS								
58001.	XXX							
58002.	XXX							
58003.	XXX							
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX		0	0	0	0	0	0
58999. Total (Lines 58001 through 58003 + 58998) (Line 58 above)	XXX		0	0	0	0	0	0
9401.	XXX							
9402.	XXX							
9403.	XXX							
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX		0	0	0	0	0	0
9499. Total (Lines 9401 through 9403 + 9498) (Line 94 above)	XXX		0	0	0	0	0	0

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.
 (a) Insert the number of L responses except for Canada and Other Alien.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART



All corporations are subject to 100% voting control within the holding company system except as follows:

1. The Netter family owns 100% of the voting stock of Geneve Holdings, Inc.
2. Geneve Corporation ("Geneve") owns 80% of the voting stock of Nasco Holdings, Inc. ("NHI").
3. Geneve Capital Group, Inc. is the sole general partner.
4. NHI owns 92.2%, Geneve owns 6.5% and SIC Securities Corp. ("SICS") owns 1.3%, respectively, of the voting stock of The Aristotle Corporation.
5. Independence Holding Company ("IHC") is a public company; Geneve, and its affiliates, collectively, own more than 50% of IHC.
6. Argent Investors Management Corporation is the sole general partner.
7. American Independence Corp. ("AMIC") is a public company; Madison Investors Corporation and IHC, collectively, own 90% of AMIC.
8. Standard Security Life Insurance Company of New York ("SSL") owns 77% and Independence American Holdings Corp. ("IAHC") owns 23% of Majestic Underwriters, LLC.
9. IAHC owns 51% of HealthInsurance.org, LLC.
10. SSL owns more than 50% of IHC Health Holdings Corp. ("IHCHH"); IHC and MNL, collectively, own the remaining portion of IHCHH.
11. IHC SB Holdings, LLC ("IHCSB") owns 90.2% of IPA Family, LLC.
12. IHCSB owns 92.2% of IPA Direct, LLC.

STATEMENT AS OF MARCH 31, 2014 OF THE STANDARD SECURITY LIFE INSURANCE COMPANY OF NEW YORK

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Name of Parent Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/ Person(s)	*
00000		00000	06-1128474		0000929162		Geneve Holdings, Inc	DE	UIP	The Netter Family	Ownership, Board of Directors, Management	100.0		0
00000		00000	13-2720377		0000929771		Geneve Corporation	DE	UIP	Geneve Holdings, Inc	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	0
00000		00000	06-1090956				Cummings Point Investors Corp	DE	NIA	Geneve Corporation	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	0
00000		00000	06-0989853				Honor Capital Corp	DE	NIA	Geneve Corporation	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	0
00000		00000	74-2008743				SSIGNI Properties Corporation	TX	NIA	Geneve Corporation	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	0
00000		00000	39-1166071				A.W. Holding Corp	DE	NIA	Geneve Corporation	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	0
00000		00000	20-0682428				GHC Corp	DE	UIP	Geneve Corporation	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	0
00000		00000	77-0380743				Geneve Capital Group, Inc	DE	NIA	Geneve Corporation	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	0
00000		00000	39-1743648				Aresi Corporation	WI	NIA	Geneve Corporation	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	0
00000		00000	06-1407692				Enterprise Holding Corp	DE	NIA	Geneve Corporation	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	0
00000		00000	39-1040736				Nasco Holdings, Inc	WI	NIA	Geneve Corporation	Ownership, Board of Directors, Management	80.0	Geneve Holdings, Inc	0
00000		00000	27-3955913				INVST, LLC	DE	NIA	Geneve Corporation	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	0
00450	Geneve Holdings, Inc	88323	13-2933432				Southern Life and Health Insurance Company	WI	IA	Honor Capital Corp	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	0
00000		00000	06-1497726				Southern Financial Corp	DE	NIA	Southern Life and Health Insurance Company	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	0
00000		00000	98-0171750				Chaparral International Re	TCA	IA	Southern Financial Corp	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	0

STATEMENT AS OF MARCH 31, 2014 OF THE STANDARD SECURITY LIFE INSURANCE COMPANY OF NEW YORK

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Name of Parent Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/ Person(s)	*
00000		00000	13-2853393				Southern Mortgage Holding Corporation	DE	NIA	Southern Life and Health Insurance Company	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	.0
00000		00000	06-0993231				Southern Investors Corp	DE	NIA	Southern Life and Health Insurance Company	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	.0
00000		00000	27-3249064				GYC, LLC	DE	NIA	Southern Life and Health Insurance Company	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	.0
00000		00000	06-1217449				Incopoint Limited Partnership	CT	NIA	Geneve Capital Group, Inc	Other, Management	.0	Geneve Holdings, Inc	.1
00000		00000	06-1165854				The Aristotle Corporation	DE	NIA	Nasco Holdings, Inc	Ownership, Board of Directors, Management	92.2	Geneve Holdings, Inc	.0
00000		00000	06-1165854				The Aristotle Corporation	DE	NIA	Geneve Corporation	Ownership, Board of Directors, Management	6.5	Geneve Holdings, Inc	.0
00000		00000	06-1165854				The Aristotle Corporation	DE	NIA	SIC Securities Corp	Ownership, Board of Directors, Management	1.3	Geneve Holdings, Inc	.0
00000		00000	06-1616113		0001470401		SIC Securities Corp	DE	UIP	GHC Corp	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	.0
00000		00000	13-2743050		0001521116		Argent Investors Management Corporation	NY	NIA	GHC Corp	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	.0
00000		00000	06-1616112		0001521117		SMH Associates Corp	DE	NIA	GHC Corp	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	.0
00000		00000	04-3689188				American Educational Products LLC	CO	NIA	The Aristotle Corporation	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	.0
00000		00000	02-0624903				Scott Resources LLC	CO	NIA	American Educational Products LLC	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	.0
00000		00000	81-0559099				Hubbard Scientific LLC	CO	NIA	American Educational Products LLC	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	.0
00000		00000	39-2021669				NHI, LLC	WI	NIA	The Aristotle Corporation	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	.0
00000		00000	04-3689197				Triarco Arts & Crafts LLC	DE	NIA	The Aristotle Corporation	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	.0
00000		00000	13-4262114				Haan Crafts, LLC	IN	NIA	The Aristotle Corporation	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	.0

STATEMENT AS OF MARCH 31, 2014 OF THE STANDARD SECURITY LIFE INSURANCE COMPANY OF NEW YORK

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Name of Parent Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/ Person(s)	*
00000		00000	06-1242629				S-A Subsidiary, Inc.	DE	NIA	The Aristotle Corporation	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc.	0
00000		00000	39-1163279				Nasco Exports, Inc.	WI	NIA	The Aristotle Corporation	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc.	0
00000		00000					Spectrum Educational Supplies, LTD.	CAN	NIA	The Aristotle Corporation	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc.	0
00000		00000	14-1484527				Simulaids, Inc.	NY	NIA	The Aristotle Corporation	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc.	0
00000		00000					SREH, Inc.	CAN	NIA	The Aristotle Corporation	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc.	0
00000		00000	20-0689990				Haan Crafts Real Estate Holdings LLC	IN	NIA	The Aristotle Corporation	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc.	0
00000		00000	20-8450449				SWP, LLC	DE	NIA	The Aristotle Corporation	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc.	0
00000		00000	26-0259567				ARTL, LLC	DE	NIA	The Aristotle Corporation	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc.	0
00000		00000	58-1407235		0000701869	NYSE	Independence Holding Company	DE	UIP	SIC Securities Corp	Ownership, Board of Directors, Management	20.6	Geneve Holdings, Inc.	0
00000		00000	58-1407235		0000701869	NYSE	Independence Holding Company	DE	UIP	SMH Associates Corp	Ownership, Board of Directors, Management	20.2	Geneve Holdings, Inc.	0
00000		00000	58-1407235		0000701869	NYSE	Independence Holding Company	DE	UIP	Argent Investors Management Corporation	Ownership, Board of Directors, Management	11.3	Geneve Holdings, Inc.	0
00000		00000	06-1399985				SLH Associates, L.P.	DE	NIA	Argent Investors Management Corporation	Ownership, Board of Directors, Management	0.0	Geneve Holdings, Inc.	2
00000		00000	13-3255417				Independence Capital Corp.	DE	UDP	Independence Holding Company	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc.	0
00000		00000	58-1407235				Independence Preferred Trust I	DE	NIA	Independence Holding Company	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc.	0
00000		00000	58-1407235				Independence Preferred Trust II	DE	NIA	Independence Holding Company	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc.	0
00000		00000	58-1407235				Independence Preferred Trust III	DE	NIA	Independence Holding Company	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc.	0

STATEMENT AS OF MARCH 31, 2014 OF THE STANDARD SECURITY LIFE INSURANCE COMPANY OF NEW YORK

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Name of Parent Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/ Person(s)	*
00000		00000	39-1434905				Madison Standard Corp.	WI	NIA	Independence Holding Company	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc.	0
00450	Geneve Holdings, Inc.	65781	39-0990296				Madison National Life Insurance Company, Inc.	WI	IA	Independence Capital Corp.	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc.	0
00000		00000	26-2904343				IHC Financial Group, Inc.	DE	NIA	Madison National Life Insurance Company, Inc.	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc.	0
00000		00000	39-1746479				Madison Investors Corporation	DE	NIA	Madison National Life Insurance Company, Inc.	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc.	0
00000		00000	11-1817252		0000097196	NASDAQ	American Independence Corp.	DE	NIA	Madison Investors Corporation	Ownership, Board of Directors, Management	55.3	Geneve Holdings, Inc.	0
00000		00000	11-1817252		0000097196	NASDAQ	American Independence Corp.	DE	NIA	Independence Holding Company	Ownership, Board of Directors, Management	34.7	Geneve Holdings, Inc.	0
00000		00000	51-0430387				Independence American Holdings Corp.	DE	NIA	American Independence Corp.	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc.	0
00450	Geneve Holdings, Inc.	26581	74-1746542				Independence American Insurance Company	DE	IA	Independence American Holdings Corp.	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc.	0
00000		00000	82-0584553				IHC Risk Solutions, LLC	DE	NIA	Independence American Holdings Corp.	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc.	0
00000		00000	26-1314374				HealthInsurance.org, LLC	DE	NIA	Independence American Holdings Corp.	Ownership, Board of Directors, Management	51.0	Geneve Holdings, Inc.	0
00000		00000	26-2409868				IPA Family, LLC	DE	NIA	IHC SB Holdings, LLC	Ownership, Board of Directors, Management	90.2	Geneve Holdings, Inc.	0
00450	Geneve Holdings, Inc.	69078	13-5679267				Standard Security Life Insurance Company of New York	NY		Independence Capital Corp.	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc.	0
00000		00000	27-1463358				Alliance Underwriters, LLC	DE	DS	Standard Security Life Insurance Company of New York	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc.	0
00000		00000	13-2814032				Standard Security Investors Corp.	NY	DS	Standard Security Life Insurance Company of New York	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc.	0
00000		00000	20-1343988				Majestic Underwriters LLC	DE	DS	Standard Security Life Insurance Company of New York	Ownership, Board of Directors, Management	77.0	Geneve Holdings, Inc.	0

13.3

STATEMENT AS OF MARCH 31, 2014 OF THE STANDARD SECURITY LIFE INSURANCE COMPANY OF NEW YORK

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Name of Parent Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/ Person(s)	*
00000		00000	20-1343988				Majestic Underwriters LLC	DE	DS	Independence American Holdings Corp	Ownership, Board of Directors, Management	23.0	Geneve Holdings, Inc	.0
00000		00000	20-2006590				IHC Health Holdings Corp	DE	DS	Standard Security Life Insurance Company of New York	Ownership, Board of Directors, Management	52.7	Geneve Holdings, Inc	.0
00000		00000	20-2006590				IHC Health Holdings Corp	DE	DS	Independence Holding Company	Ownership, Board of Directors, Management	16.8	Geneve Holdings, Inc	.0
00000		00000	20-2006590				IHC Health Holdings Corp	DE	DS	Madison National Life Insurance Company, Inc	Ownership, Board of Directors, Management	30.5	Geneve Holdings, Inc	.0
00000		00000	36-3439979				Health Plan Administrators, Inc	IL	DS	IHC Health Holdings Corp	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	.0
00000		00000	45-4167263				Innovative Medical Risk Management, Inc	DE	DS	IHC Health Holdings Corp	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	.0
00000		00000	35-1640589				IHC Health Solutions, Inc	IN	DS	IHC Health Holdings Corp	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	.0
00000		00000	86-0344191				IHC Administrative Services, Inc	AZ	DS	IHC Health Holdings Corp	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	.0
00000		00000	86-0712134				IHC Management Services, Inc	AZ	DS	IHC Health Holdings Corp	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	.0
00000		00000	45-4831831				IHC Specialty Benefits, Inc	DE	NIA	IHC SB Holdings, LLC	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	.0
00000		00000	45-2506388				IPA Direct, LLC	DE	NIA	IHC SB Holdings, LLC	Ownership, Board of Directors, Management	92.2	Geneve Holdings, Inc	.0
00000		00000	46-3754615				IHC Carrier Solutions, Inc	DE	DS	IHC Health Holdings Corp	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	.0
00000		00000	46-4938933				IHC SB Holdings, LLC	DE	NIA	Independence American Holdings Corp	Ownership, Board of Directors, Management	100.0	Geneve Holdings, Inc	.0
												.0		.0
												.0		.0
												.0		.0
												.0		.0
												.0		.0
												.0		.0

13.4

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Asterisk	Explanation
1	Geneve Capital Group, Inc. is the sole general partner
2	Argent Investors Management Corporation is the sole general partner

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

	<u>RESPONSE</u>
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?NO.....
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?NO.....
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?NO.....
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?NO.....
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?NO.....
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?NO.....
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?NO.....

Explanation:

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.

Bar Code:



7.



OVERFLOW PAGE FOR WRITE-INS

SCHEDULE A – VERIFICATION

Real Estate

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year0	.0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition0	.0
2.2 Additional investment made after acquisition0	.0
3. Current year change in encumbrances0	.0
4. Total gain (loss) on disposals0	.0
5. Deduct amounts received on disposals0	.0
6. Total foreign exchange change in book/adjusted carrying value0	.0
7. Deduct current year's other-than-temporary impairment recognized0	.0
8. Deduct current year's depreciation0	.0
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)0	.0
10. Deduct total nonadmitted amounts0	.0
11. Statement value at end of current period (Line 9 minus Line 10)	0	0

NONE

SCHEDULE B – VERIFICATION

Mortgage Loans

	1 Year To Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year0	.0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition0	.0
2.2 Additional investment made after acquisition0	.0
3. Capitalized deferred interest and other0	.0
4. Accrual of discount0	.0
5. Unrealized valuation increase (decrease)0	.0
6. Total gain (loss) on disposals0	.0
7. Deduct amounts received on disposals0	.0
8. Deduct amortization of premium and mortgage interest points and commitment fees0	.0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest0	.0
10. Deduct current year's other-than-temporary impairment recognized0	.0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)0	.0
12. Total valuation allowance0	.0
13. Subtotal (Line 11 plus Line 12)0	.0
14. Deduct total nonadmitted amounts0	.0
15. Statement value at end of current period (Line 13 minus Line 14)	0	0

NONE

SCHEDULE BA – VERIFICATION

Other Long-Term Invested Assets

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	8,803,119	8,673,474
2. Cost of acquired:		
2.1 Actual cost at time of acquisition0	1,050,853
2.2 Additional investment made after acquisition0	.0
3. Capitalized deferred interest and other0	.0
4. Accrual of discount0	.0
5. Unrealized valuation increase (decrease)	(106,600)	(921,208)
6. Total gain (loss) on disposals0	.0
7. Deduct amounts received on disposals0	.0
8. Deduct amortization of premium and depreciation0	.0
9. Total foreign exchange change in book/adjusted carrying value0	.0
10. Deduct current year's other-than-temporary impairment recognized0	.0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	8,696,519	8,803,119
12. Deduct total nonadmitted amounts0	.0
13. Statement value at end of current period (Line 11 minus Line 12)	8,696,519	8,803,119

SCHEDULE D – VERIFICATION

Bonds and Stocks

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	167,453,406	155,684,580
2. Cost of bonds and stocks acquired	38,594,184	155,324,454
3. Accrual of discount	102,301	479,952
4. Unrealized valuation increase (decrease)	(431,514)	(1,081,204)
5. Total gain (loss) on disposals	(143,707)	1,422,775
6. Deduct consideration for bonds and stocks disposed of	37,699,831	142,685,558
7. Deduct amortization of premium	430,145	1,691,593
8. Total foreign exchange change in book/adjusted carrying value0	.0
9. Deduct current year's other-than-temporary impairment recognized0	.0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	167,444,694	167,453,406
11. Deduct total nonadmitted amounts0	.0
12. Statement value at end of current period (Line 10 minus Line 11)	167,444,694	167,453,406

STATEMENT AS OF MARCH 31, 2014 OF THE STANDARD SECURITY LIFE INSURANCE COMPANY OF NEW YORK

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a).....	126,919,528	32,400,240	33,621,333	(330,566)	125,367,869	0	0	126,919,528
2. NAIC 2 (a).....	4,855,178		20,800	2,723	4,837,101	0	0	4,855,178
3. NAIC 3 (a).....	0				0	0	0	0
4. NAIC 4 (a).....	0				0	0	0	0
5. NAIC 5 (a).....	0				0	0	0	0
6. NAIC 6 (a).....	0				0	0	0	0
7. Total Bonds	131,774,706	32,400,240	33,642,133	(327,843)	130,204,970	0	0	131,774,706
PREFERRED STOCK								
8. NAIC 1.....	1,785,413				1,785,413	0	0	1,785,413
9. NAIC 2.....	3,762,885				3,762,885	0	0	3,762,885
10. NAIC 3.....	11,500,000				11,500,000	0	0	11,500,000
11. NAIC 4.....	0				0	0	0	0
12. NAIC 5.....	0				0	0	0	0
13. NAIC 6.....	0				0	0	0	0
14. Total Preferred Stock.....	17,048,298	0	0	0	17,048,298	0	0	17,048,298
15. Total Bonds & Preferred Stock	148,823,004	32,400,240	33,642,133	(327,843)	147,253,268	0	0	148,823,004

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$; NAIC 2 \$;
NAIC 3 \$; NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

S102

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year To Date	Paid for Accrued Interest Year To Date
9199999	981	XXX			

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	.0	.456
2. Cost of short-term investments acquired981	(456)
3. Accrual of discount0
4. Unrealized valuation increase (decrease).....		.0
5. Total gain (loss) on disposals0
6. Deduct consideration received on disposals0
7. Deduct amortization of premium.....		.0
8. Total foreign exchange change in book/adjusted carrying value.....		.0
9. Deduct current year's other-than-temporary impairment recognized.....		.0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	.981	.0
11. Deduct total nonadmitted amounts.....		.0
12. Statement value at end of current period (Line 10 minus Line 11)	.981	0

Schedule DB - Part A - Verification

NONE

Schedule DB - Part B - Verification

NONE

Schedule DB - Part C - Section 1

NONE

Schedule DB - Part C - Section 2

NONE

Schedule DB - Verification

NONE

SCHEDULE E - VERIFICATION

(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	7,152,000	11,764,000
2. Cost of cash equivalents acquired	6,890,000	0
3. Accrual of discount		0
4. Unrealized valuation increase (decrease)		0
5. Total gain (loss) on disposals.....		0
6. Deduct consideration received on disposals		4,612,000
7. Deduct amortization of premium		0
8. Total foreign exchange change in book/adjusted carrying value		0
9. Deduct current year's other than temporary impairment recognized		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	14,042,000	7,152,000
11. Deduct total nonadmitted amounts		0
12. Statement value at end of current period (Line 10 minus Line 11)	14,042,000	7,152,000

Schedule A - Part 2

NONE

Schedule A - Part 3

NONE

Schedule B - Part 2

NONE

Schedule B - Part 3

NONE

Schedule BA - Part 2

NONE

Schedule BA - Part 3

NONE

STATEMENT AS OF MARCH 31, 2014 OF THE STANDARD SECURITY LIFE INSURANCE COMPANY OF NEW YORK

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
Bonds - All Other Governments									
085209-AB-0	GOVT OF BERMUDA BERMUD 4.138% 1-3-23 4	R	01/22/2014	Various		1,548,000	1,600,000	3,724	1FE
1099999 - Bonds - All Other Governments						1,548,000	1,600,000	3,724	XXX
Bonds - U.S. States, Territories and Possessions									
207900-CF-6	CT ST DEV AUTH CTSWTR 5.00% 12-1-21 5		02/06/2014	CREWS ASSOC		487,733	450,000	4,375	1FE
56052E-3L-0	MAINE STATE HSG AUTH MTG REV MESHSG 3.45		03/04/2014	MESEROW		2,916,125	2,845,000	30,536	1FE
64988P-J7-2	NEW YORK ST MTGE AGY NYSHSG 4.80% 10-1-3		01/23/2014	RBC WEALTH MGMT		2,518,750	2,500,000	39,000	1FE
1799999 - Bonds - U.S. States, Territories and Possessions						5,922,608	5,795,000	73,911	XXX
Bonds - U.S. Political Subdivisions of States, Territories and Possessions									
274722-FS-1	EAST ROCHESTER N Y HSG AUTH RE 2010B 5		03/27/2014	ESSEX FINANCIAL SRVS		3,423,333	3,145,000	70,326	1FE
64971W-AD-2	NY CITY TRANSITIONAL FIN AUTH NYCEN 2.8		02/27/2014	US BANK		2,488,650	2,500,000	5,833	1FE
79765A-7A-8	SAN FRANCISCO CITY & CNTY ARPT SFOAPT 4		03/20/2014	BB&T CAPITAL MARKETS		2,222,000	2,000,000	39,200	1FE
2499999 - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						8,133,983	7,645,000	115,359	XXX
Bonds - Industrial and Miscellaneous (Unaffiliated)									
149123-BX-8	CATERPILLAR INC CAT 2.60% 6/26/22 2.60		02/14/2014	CAROLINA CAPITAL		1,430,130	1,500,000	5,850	1FE
260003-AJ-7	DOVER CORP DOV 4.30% 3-1-21 4.300% 03/		01/29/2014	DA DAVIDSON		1,081,660	1,000,000	18,156	1FE
369626-4R-2	GENERAL ELEC CAP CORP MTN BE GE 4.375% 9		02/19/2014	CAROLINA CAPITAL		1,647,090	1,500,000	28,802	1FE
494368-BH-5	KIMBERLY-CLARK CORP KMB 2.40% 3-1-22 2		02/04/2014	WILLIAM BLAIR		962,020	1,000,000	10,400	1FE
656836-AB-9	NORTH AMERICA DEV NADB 2.40% 10-26-22		03/19/2014	Various		6,507,550	7,000,000	62,367	1FE
66989H-AG-3	NOVARTIS CAPITAL CORP NOVNVX 3.40% 5-6-2		02/18/2014	SEA PORT GROUP SECURITIES		1,493,640	1,500,000		1FE
74438G-AC-5	PRUDENTIAL HLDS LLC 7.245% 12/18/23 7		01/21/2014	RAYMOND JAMES & ASSOC		2,420,960	2,000,000	14,490	1FE
669771-AS-6	TRANSCANAD CORP TRPCN 7.875% 4/11/23 7	A	01/09/2014	SUSQUEHANA		1,252,600	1,000,000	22,531	1FE
3899999 - Bonds - Industrial and Miscellaneous (Unaffiliated)						16,795,650	16,500,000	162,596	XXX
8399997 - Subtotals - Bonds - Part 3						32,400,241	31,540,000	355,590	XXX
8399999 - Subtotals - Bonds						32,400,241	31,540,000	355,590	XXX
Common Stocks - Industrial and Miscellaneous									
00206R-10-2	AT&T INC COM		01/30/2014	GABELLI	2,100,000	69,849			
00971T-10-1	AKAMA I TECHNOLOGIES INC COM		02/07/2014	JP MORGAN	840,000	47,804			
02503X-10-5	AMERICAN CAPITAL AGENCY CORP		02/06/2014	Various	8,400,000	180,838			
031100-10-0	AMETEK INC NEW		02/07/2014	JEFFERIES LLC	2,100,000	103,746			
032511-10-7	ANADARKO PETE CORP		02/10/2014	Various	4,200,000	343,625			
037411-10-5	APACHE CORP		03/24/2014	BREAN MURRAY & CARROT	3,150,000	254,956			
097023-10-5	BOEING CO COM		01/09/2014	JP MORGAN	1,260,000	178,570			
126650-10-0	CVS CAREMARK CORPORATION COM		02/18/2014	Various	4,200,000	291,867			
17275R-10-2	CISCO SYS INC COM		01/22/2014	Various	14,700,000	331,377			
191216-10-0	COCA COLA CO COM		02/10/2014	BREAN MURRAY & CARROT	4,200,000	159,733			
260003-10-8	DOVER CORP		03/03/2014	DIRECT - NO BROKER	1,355,000	102,959			
260543-10-3	DOW CHEMICAL		01/30/2014	JP MORGAN	1,260,000	57,275			
302445-10-1	FLIR SYS INC COM		02/27/2014	Various	4,620,000	153,218			
311642-10-2	FARO TECHNOLOGIES INC		01/07/2014	JP MORGAN	1,260,000	71,515			
458140-10-0	INTEL CORP COM		03/07/2014	Various	12,600,000	322,135			
478160-10-4	JOHNSON & JOHNSON		01/09/2014	JEFFERIES LLC	2,100,000	199,907			
494550-10-6	KINDER MORGAN ENERGY PARTNERS		02/21/2014	JEFFERIES LLC	2,100,000	164,785			
49926D-10-9	KNOWLES CORP		03/03/2014	DIRECT - NO BROKER	677,000	21,766			
608753-10-9	MOLYCORP INC		03/07/2014	Merlin	25,200,000	125,816			
674599-10-5	OCCIDENTAL PETROLEUM CORP		02/04/2014	Various	4,200,000	368,229			
73936Q-76-9	POWERSHARES SENIOR LOAN		03/27/2014	Various	16,800,000	418,072			
74347R-29-7	PROSHARES TR UTRASHORT 20+YR TREAS		03/13/2014	Merlin	4,350,000	300,023			
747525-10-3	QUALCOMM INC		03/27/2014	Various	6,300,000	500,544			
773903-10-9	ROCKWELL AUTOMATION INC		01/16/2014	JP MORGAN	1,470,000	173,723			
81369Y-20-9	SELECT SECTOR SPDR TR HEALTH CARE SELECT		02/12/2014	JEFFERIES LLC	4,200,000	243,098			
81369Y-60-5	SELECT SECTOR SPDR TR SBI INT-FINL		03/21/2014	Various	10,500,000	234,732			
872590-10-4	T-MOBILE US INC		01/21/2014	Various	4,620,000	152,437			
88554D-20-5	3D SYSTEMS CORP		02/11/2014	JP MORGAN	2,940,000	227,386			
960908-30-9	WESTPORT INNOVATIONS INC		03/13/2014	Various	9,450,000	165,489			
000375-20-4	ABB LTD SPONSORED ADR	F	03/05/2014	Merlin	6,300,000	162,159			
251542-10-6	DEUTSCHE BOERSE AG	R	02/06/2014	JEFFERIES LLC	8,400,000	66,310			

E04

STATEMENT AS OF MARCH 31, 2014 OF THE STANDARD SECURITY LIFE INSURANCE COMPANY OF NEW YORK

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
9099999 - Common Stocks - Industrial and Miscellaneous (Unaffiliated)						6,193,943	XXX	0	XXX
9799997 - Subtotals - Common Stocks - Part 3						6,193,943	XXX	0	XXX
9799999 - Subtotals - Common Stocks						6,193,943	XXX	0	XXX
9899999 - Subtotals- Preferred and Common Stocks						6,193,943	XXX	0	XXX
9999999 Totals						38,594,184	XXX	355,590	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

E04.1

STATEMENT AS OF MARCH 31, 2014 OF THE STANDARD SECURITY LIFE INSURANCE COMPANY OF NEW YORK

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
Bonds - U.S. Governments																					
83164G-CX-8...	SBA PC VAR QTRLY ADJ SBA Q...		03/01/2014	Paydown		40,637	40,637	43,502	41,167		(529)		(529)		40,637			0	280	03/25/2015	1
000000-00-0...	Physical SBA USDA FALCON PROPERTIES LLC		01/01/2014	Paydown		7,828	7,828	8,533	8,462		(634)		(634)		7,828			0	45	11/12/2035	1
000000-00-0...	Physical SBA USDA BILLBOARD WORLD LLC		01/01/2014	Paydown		11,254	11,254	12,267	12,134		(880)		(880)		11,254			0	65	11/12/2030	1
000000-00-0...	Physical SBA USDA NIRVANA, INC 6.625%		03/20/2014	CALL		3,686,135	3,686,135	4,057,882	4,012,695		(4,120)		(4,120)		4,008,575		(322,440)	(322,440)	82,719	11/23/2030	1
000000-00-0...	Physical SBA USDA NIRVANA, INC 6.625%		01/01/2014	Paydown		19,050	19,050	20,971	20,737		(1,688)		(1,688)		19,050			0	158	11/23/2030	1
000000-00-0...	Physical SBA USDA LIU POULTRY 3.725% 0...		01/15/2014	Paydown		19,268	19,268	19,961	19,870		(602)		(602)		19,268			0	90	08/25/2026	1
000000-00-0...	Physical SBA USDA TIMOTHY J LEACH OD APO		01/01/2014	Paydown		10,354	10,354	10,354	10,354				0		10,354			0	35	12/21/2021	1
0599999 - Bonds - U.S. Governments						3,794,526	3,794,526	4,173,470	4,125,419	0	(8,453)	0	(8,453)	0	4,116,966	0	(322,440)	(322,440)	83,392	XXX	XXX
Bonds - U.S. States, Territories and Possessions																					
010608-08-3...	ALABAMA ST PUB SCH & COLLEGE A 5.76% Tx		03/15/2014	Maturity		14,400	14,400	9,781	13,820		580		580		14,400			0		03/15/2014	1FE
130333-BW-6...	CALIFORNIA HSG FIN AGY RESIDEN CASHSG 4...		03/19/2014	Various		628,000	600,000	600,000	600,000		0		0		600,000		28,000	28,000	18,285	08/01/2028	1FE
130333-CA-3...	CALIFORNIA HSG FIN AGY RESIDEN CASHSG 2...		03/27/2014	CALL		81,133	81,133	81,133	81,133		0		0		81,133			0	18,261	02/01/2042	1FE
13033K-W6-2...	REV CASHSG VAR 8- CT ST HSG FIN AUTH CTSHSG		02/03/2014	CALL		15,000	15,000	12,375	12,430		7		7		12,438		2,562	2,562	26	08/01/2039	1FE
20775B-A2-2...	DELAWARE ST HSG AUTH REV 2.75% 5-15-26		02/25/2014	FIRST DISCOUNT		1,983,400	2,110,000	1,933,288	1,934,469		1,779		1,779		1,936,248		47,152	47,152	16,602	05/15/2026	1FE
246395-WY-9...	DELAWARE ST HSG AUTH REV M MTG REV BDS		03/05/2014	CALL		50,000	50,000	51,980	51,810		(14)		(14)		51,796		(1,796)	(1,796)	1,552	07/01/2029	1FE
246395-YC-5...	KENTUCKY HSG CORP HSG REV DELHSG 2.75% 12		02/07/2014	CALL		15,000	15,000	15,000	15,000		0		0		15,000			0	80	12/01/2041	1FE
49130T-PT-7...	KYSHSG 3.00% 1- MINN HSG FINANCE AGENCY		03/07/2014	CALL		90,000	90,000	90,090	90,089		0		0		90,089		(89)	(89)	613	11/01/2041	1FE
604160-FV-1...	NEVADA HSG DIV SINGLE WNSHSG 2.35% 3-1		03/27/2014	CALL		38,307	38,307	38,307	38,307		0		0		38,307			0	18,244	03/01/2043	1FE
641279-HX-5...	FAMILY M 2011-A NC ST EASTRN MUNI PWR AGY		03/05/2014	CALL		105,000	105,000	105,000	105,000		0		0		105,000			0		04/01/2017	1FE
658196-U3-2...	ESSEX FINANCIAL SRVS NCEPWR 6.55% 1- PENNSYLVANIA ECONOMIC DEV		03/19/2014	ESSEX FINANCIAL SRVS		1,162,500	1,000,000	1,116,000	1,113,187		(2,122)		(2,122)		1,111,065		51,435	51,435	47,851	01/01/2024	1FE
70869R-AC-7...	SC ST HSG FIN & DEV AUTH FING 2008 A		03/19/2014	ESSEX FINANCIAL SRVS		535,900	460,000	502,955	483,406		(1,290)		(1,290)		482,116		53,784	53,784	13,816	10/01/2017	1FE
83712T-DA-6...	TEXAS ST AFFORDABLE HSG SC SHSG 2.95% 1-		03/17/2014	CALL		25,000	25,000	25,000	25,000		0		0		25,000			0	172	01/01/2041	1FE
88271H-FL-8...	CORP S TXSSFH 2 VIRGINIA ST HSG DEV AUTH		03/31/2014	CALL		40,000	40,000	40,000	40,000		0		0		40,000			0	363	09/01/2041	1FE
92812U-XV-5...	COMMONL BDS WASHINGTON ST HSG WASHSG		03/19/2014	ESSEX FINANCIAL SRVS		1,090,000	1,000,000	1,068,860	1,039,203		(2,489)		(2,489)		1,036,714		53,286	53,286	34,336	07/01/2017	1FE
93978T-NU-9...	WASHINGTON ST HSG WASHSG 2.60% 12-1-20		01/28/2014	FIRST DISCOUNT		165,000	165,000	165,000	165,000		0		0		165,000			0	715	12/01/2020	1FE
93978T-PA-1...	WASHINGTON ST HSG WASHSG 3.15% 12-1-23		01/07/2014	FIRST DISCOUNT		130,000	130,000	130,000	130,000		0		0		130,000			0	444	12/01/2023	1FE
1799999 - Bonds - U.S. States, Territories and Possessions						6,168,640	5,938,840	5,984,769	5,937,854	0	(3,549)	0	(3,549)	0	5,934,306	0	234,334	234,334	171,360	XXX	XXX
Bonds - U.S. Political Subdivisions of States, Territories and Possessions																					
43232G-AX-2...	HILLSBOROUGH CNTY FLA HSG FIN 2007-1 5		03/11/2014	CALL		80,000	80,000	84,040	83,915		(3)		(3)		83,912		(3,912)	(3,912)	784	10/01/2049	1FE
445199-AL-6...	HUMBOLDT CNTY NV POLL CONTROL HUMPOL 5.1		03/27/2014	ESSEX FINANCIAL SRVS		1,426,750	1,300,000	1,406,314	1,405,890		(1,953)		(1,953)		1,403,937		22,813	22,813	44,633	12/01/2024	1FE
56184N-AB-5...	MANATEE CNTY FLA HSG FIN AUTH REV BDS		03/20/2014	CALL		20,000	20,000	20,850	20,803		(3)		(3)		20,800		(800)	(800)	688	09/01/2040	2FE
586169-DK-7...	MEMPHIS TENN HEALTH EDL & HSG ADJ LY HSG		03/17/2014	CALL		2,415,000	2,415,000	2,548,830	2,541,865		(698)		(698)		2,541,167		(126,167)	(126,167)	33,434	06/15/2038	1FE
686439-AB-6...	ORLANDO FLORIDA HSG AUTHORITY ORLHSG 5.0		01/15/2014	CALL		15,000	15,000	15,911	15,875		(2)		(2)		15,873		(873)	(873)	379	08/01/2033	1FE
71881L-AC-2...	PHOENIX ARIZ & PIMA CNTY ARIZ DS		03/27/2014	CALL		19,615	19,615	19,299	19,323		1		1		19,324		291	291	205	08/01/2038	1FE

EO5

STATEMENT AS OF MARCH 31, 2014 OF THE STANDARD SECURITY LIFE INSURANCE COMPANY OF NEW YORK

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)	
796268-BA-7	SAN ANTONIO TEX HSG TR FIN COR 2006 5		03/03/2014	CALL		65,206	65,206	68,466	68,282		(10)		(10)		68,272		(3,066)	(3,066)	844	10/01/2039	1FE	
79765A-7A-8	SAN FRANCISCO CITY & CNTY ARPT SFOAPT 4		03/19/2014	BB&T CAPITAL MARKETS		2,220,000	2,000,000	2,120,000	2,119,186		(1,241)		(1,241)		2,117,945		102,055	102,055	38,928	05/01/2029	1FE	
89873Q-AA-7	TUCSON AZ INDL DEV AUTHS JT SI DS		01/06/2014	CALL		1,075,000	1,075,000	1,137,565	1,133,787		(166)		(166)		1,133,621		(58,621)	(58,621)	33,549	01/01/2038	1FE	
919061-EY-3	VALDEZ AK MARINE TERMINAL REV VALTRN 5.0		03/04/2014	MESEROW		2,340,000	2,000,000	2,296,640	2,290,242		(7,003)		(7,003)		2,283,239		56,761	56,761	68,333	01/01/2021	1FE	
2499999 - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						9,676,571	8,989,821	9,717,915	9,699,168	0	(11,078)	0	(11,078)	0	9,688,090	0	(11,519)	(11,519)	221,777	XXX	XXX	
Bonds - Industrial and Miscellaneous (Unaffiliated)																						
12189P-AE-2	BURLINGTON NOTRH SF 2002- 1 BRK 7.16% 1/0		01/02/2014	Paydown		249,895	249,895	295,608	280,333		(30,438)		(30,438)		249,895				8,946	01/02/2020	1FE	
452308-AJ-8	ILLINOIS TOOL WORKS ITW 6.25% 4-1-19 6		02/19/2014	CAROLINA CAPITAL		1,200,450	1,000,000	1,243,180	1,183,596		(4,814)		(4,814)		1,178,782		21,668	21,668	24,826	04/01/2019	1FE	
656836-AB-9	NORTH AMERICA DEV NADB 2.40% 10-26-22		03/20/2014	CAROLINA CAPITAL		6,548,500	7,000,000	6,507,550			2,647		2,647		6,510,197		38,303	38,303	69,533	10/26/2022	1FE	
694756-AA-5	PACIFIC PILOT FDG PACLIF FLT 10/20/16		01/20/2014	Paydown		1,295,684	1,295,684	1,211,169	1,242,406		53,278		53,278		1,295,684				3,285	10/20/2016	1FE	
784419-AG-8	SLC STUDENT LOAN TRUST SCLCT FLT 7/15/36		01/08/2014	IFS STERNE AGEE & LEACH		3,360,000	4,000,000	3,320,000	3,325,436		820		820		3,326,256		33,744	33,744	6,936	07/15/2036	1FE	
822582-AM-4	SHELL INTL FINANCE RDSALN 4.375% 3-25-20		02/04/2014	WILLIAM BLAIR		1,112,470	1,000,000	1,108,650	1,102,834		(1,517)		(1,517)		1,101,318		11,152	11,152	16,042	03/25/2020	1FE	
907833-AE-7	UNION PACIFIC RR CO 1998- A UNP 6.70% 2/2		02/21/2014	Paydown		240,641	240,641	266,026	266,026		(25,385)		(25,385)		240,641				0	02/23/2019	1FE	
3899999 - Bonds - Industrial and Miscellaneous (Unaffiliated)						14,007,640	14,786,220	13,686,157	7,400,631	0	(5,409)	0	(5,409)	0	13,902,773	0	104,867	104,867	129,568	XXX	XXX	
8399997 - Subtotals - Bonds - Part 4						33,647,377	33,509,407	33,562,311	27,163,072	0	(28,489)	0	(28,489)	0	33,642,135	0	5,242	5,242	606,097	XXX	XXX	
8399999 - Subtotals - Bonds						33,647,377	33,509,407	33,562,311	27,163,072	0	(28,489)	0	(28,489)	0	33,642,135	0	5,242	5,242	606,097	XXX	XXX	
Common Stocks - Industrial and Miscellaneous (Unaffiliated)																						
00206R-10-2	AT&T INC COM		03/05/2014	Various	6,300,000	208,622	XXX	223,360	221,508	1,852			1,852		223,360		(14,739)	(14,739)	2,898	XXX	L	
00971T-10-1	AKAMAI TECHNOLOGIES INC		02/05/2014	JANNEY MONTGOMERY SCOT	2,100,000	99,769	XXX	109,154	99,078	10,076			10,076		109,154		(9,385)	(9,385)		XXX	L	
02503X-10-5	AMETEK INC NEW		03/14/2014	WELLS FARGO CO	8,400,000	189,965	XXX	180,838						180,838		9,127	9,127		XXX	L		
031100-10-0	ANADARKO PETE CORP		01/02/2014	JEFFERIES LLC	3,150,000	164,444	XXX	142,202	165,911	(23,708)			(23,708)		142,202		22,242	22,242		XXX	L	
032511-10-7	AMETEK INC NEW		03/24/2014	WELLS FARGO CO	4,200,000	346,687	XXX	343,625						343,625		3,063	3,063	756	XXX	L		
097023-10-5	BOEING CO COM		02/03/2014	JP MORGAN	1,260,000	156,704	XXX	178,570						178,570		(21,865)	(21,865)		XXX	L		
191216-10-0	COCA COLA CO COM		02/18/2014	JP MORGAN	2,131,000	79,748	XXX	84,077	88,032	(3,954)			(3,954)		84,077		(4,329)	(4,329)		XXX	L	
260003-10-8	DOVER CORP		03/03/2014	DIRECT - NO BROKER	1,355,000	124,724	XXX	124,724	130,812	(6,087)			(6,087)		124,724					XXX	L	
260543-10-3	DOWN CHEMICAL		01/28/2014	GABELLI	2,100,000	90,067	XXX	85,794	93,240	(7,446)			(7,446)		85,794		4,273	4,273	672	XXX	L	
302104-10-4	EXONE CO		03/12/2014	Various	1,890,000	93,638	XXX	112,482	114,269	(1,788)			(1,788)		112,482		(18,843)	(18,843)		XXX	L	
311642-10-2	FARO TECHNOLOGIES INC		01/27/2014	JEFFERIES LLC	2,100,000	110,356	XXX	127,792	122,430	5,362			5,362		127,792		(17,435)	(17,435)		XXX	L	
478160-10-4	JOHNSON & JOHNSON		01/23/2014	JANNEY MONTGOMERY SCOT	3,780,000	349,710	XXX	349,095	349,095	(615)			(615)		349,095		615	615		XXX	L	
494550-10-6	KINDER MORGAN ENERGY PARTNERS		02/24/2014	JEFFERIES LLC	2,100,000	156,670	XXX	164,785						164,785		(8,115)	(8,115)		XXX	L		
744320-10-2	PRUDENTIAL FINL INC		02/03/2014	JP MORGAN	2,100,000	172,169	XXX	170,586	193,662	(23,076)			(23,076)		170,586		1,583	1,583		XXX	L	
773903-10-9	ROCKWELL AUTOMATION INC		01/24/2014	JP MORGAN	1,470,000	165,942	XXX	173,723						173,723		(7,781)	(7,781)		XXX	L		
806857-10-8	SCHLUMBERGER LTD COM		01/27/2014	JEFFERIES LLC	2,100,000	184,693	XXX	180,905	189,231	(8,326)			(8,326)		180,905		3,788	3,788		XXX	L	
81369Y-40-7	SELECT SECTOR SPDR TR CONSUMER DISCRETIO		01/13/2014	JANNEY MONTGOMERY SCOT	4,200,000	274,694	XXX	271,985	280,686	(8,701)			(8,701)		271,985		2,709	2,709		XXX	L	
81369Y-60-5	INT-FINL		03/12/2014	JEFFERIES LLC	4,200,000	92,773	XXX	91,424	91,812	(389)			(389)		91,424		1,350	1,350		XXX	L	
81369Y-70-4	INDUSTRIAL SELECT		01/23/2014	Various	6,300,000	325,159	XXX	318,080	329,238	(11,158)			(11,158)		318,080		7,079	7,079		XXX	L	
81369Y-88-6	UTILITIES SELECT		01/10/2014	JEFFERIES LLC	4,200,000	160,480	XXX	158,267	159,474	(1,207)			(1,207)		158,267		2,213	2,213		XXX	L	
88554D-20-5	3D SYSTEMS CORP		03/11/2014	Various	2,940,000	208,741	XXX	227,386						227,386		(18,645)	(18,645)		XXX	L		
91338E-10-1	UNIVERSAL AMERICAN CORP		02/07/2014	JEFFERIES LLC	22,847,000	155,508	XXX	217,062	166,783	50,279			50,279		217,062		(61,554)	(61,554)		XXX	L	
960908-30-9	WESTPORT INNOVATIONS INC		03/25/2014	WELLS FARGO CO	9,450,000	141,188	XXX	165,489						165,489		(24,301)	(24,301)		XXX	L		
9099999 - Common Stocks - Industrial and Miscellaneous (Unaffiliated)						4,052,451	XXX	4,201,405	2,600,037	(32,954)	0	0	(32,954)	0	4,201,405	0	(148,950)	(148,950)	4,326	XXX	XXX	
9799997 - Subtotals - Common Stocks - Part 4						4,052,451	XXX	4,201,405	2,600,037	(32,954)	0	0	(32,954)	0	4,201,405	0	(148,950)	(148,950)	4,326	XXX	XXX	
9799999 - Subtotals - Common Stocks						4,052,451	XXX	4,201,405	2,600,037	(32,954)	0	0	(32,954)	0	4,201,405	0	(148,950)	(148,950)	4,326	XXX	XXX	
9899999 - Subtotals - Preferred and Common Stocks						4,052,451	XXX	4,201,405	2,600,037	(32,954)	0	0	(32,954)	0	4,201,405	0	(148,950)	(148,950)	4,326	XXX	XXX	
9999999 Totals						37,699,828	XXX	37,763,716	29,763,109	(32,954)	(28,489)	0	0	(61,443)	0	37,843,540	0	(143,708)	(143,708)	610,423	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

EO5.1

Schedule DB - Part A - Section 1

NONE

Schedule DB - Part B - Section 1

NONE

Schedule DB - Part D - Section 1

NONE

Schedule DB - Part D - Section 2

NONE

Schedule DL - Part 1

NONE

Schedule DL - Part 2

NONE

