

SERFF Tracking Number: THRV-126379785 State: Arkansas
 Filing Company: Thrivent Financial for Lutherans State Tracking Number: 44062
 Company Tracking Number:
 TOI: A10 Annuities - Other Sub-TOI: A10.000 Annuities - Other
 Product Name: ROTH IRA Endorsement (2009)
 Project Name/Number: /

Filing at a Glance

Company: Thrivent Financial for Lutherans

Product Name: ROTH IRA Endorsement (2009) SERFF Tr Num: THRV-126379785 State: Arkansas

TOI: A10 Annuities - Other SERFF Status: Closed-Accepted State Tr Num: 44062
 For Informational Purposes

Sub-TOI: A10.000 Annuities - Other Co Tr Num: State Status: Filed-Closed

Filing Type: Form

Reviewer(s): Linda Bird

Author: Karen Guyette

Disposition Date: 11/16/2009

Date Submitted: 11/11/2009

Disposition Status: Accepted For

Informational Purposes

Implementation Date:

Implementation Date Requested: On Approval

State Filing Description:

General Information

Project Name:

Status of Filing in Domicile:

Project Number:

Date Approved in Domicile:

Requested Filing Mode: Informational

Domicile Status Comments:

Explanation for Combination/Other:

Market Type: Individual

Submission Type: New Submission

Group Market Size:

Overall Rate Impact:

Group Market Type:

Filing Status Changed: 11/16/2009

Explanation for Other Group Market Type:

State Status Changed: 11/16/2009

Deemer Date:

Created By: Karen Guyette

Submitted By: Karen Guyette

Corresponding Filing Tracking Number:

Filing Description:

Roth Individual Retirement Annuity Endorsement, form AM-WR-ROTHA IRA (07), was approved by your Department on 8/14/2007 (SERFF Tracking No. THRV-125240634, State Tracking No. 36615). At this time, we would like to begin using this endorsement with inforce issues of the following contracts:

Flexible Premium Retirement Annuity Contract, Form 1-FPRA-1

Single Premium Deferred Annuity Contract, Form 1-SPDA-1

Flexible Premium Deferred Annuity Contract, Form 1-FPDA-1

Flexible Premium Deferred Annuity Contract, Form 1-FPDA-1 A

Flexible Premium Deferred Annuity Contract, Form 1-FPDA-1 B

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Fee Required? No
Retaliatory? No
Fee Explanation:
Per Company: No

COMPANY	AMOUNT	DATE PROCESSED	TRANSACTION #
Thrivent Financial for Lutherans	\$0.00	11/11/2009	

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Correspondence Summary

Dispositions

Status	Created By	Created On	Date Submitted
Accepted For Informational Purposes	Linda Bird	11/16/2009	11/16/2009

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State: *Arkansas*

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Disposition

Disposition Date: 11/16/2009

Implementation Date:

Status: Accepted For Informational Purposes

Comment:

Rate data does NOT apply to filing.

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Schedule	Schedule Item	Schedule Item Status	Public Access
Supporting Document	Flesch Certification		No
Supporting Document	Application		No
Supporting Document	Life & Annuity - Acturial Memo		No

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Supporting Document Schedules

		Item Status:	Status Date:
<p> Bypassed - Item: Flesch Certification Bypass Reason: N/A - informational filing only. Comments: </p>			
<p> Bypassed - Item: Application Bypass Reason: N/A - no policy being submitted. Comments: </p>		Item Status:	Status Date:
<p> Bypassed - Item: Life & Annuity - Acturial Memo Bypass Reason: N/A - informational filing only. Comments: </p>		Item Status:	Status Date: