

SERFF Tracking Number: NYLC-126169532 State: Arkansas  
Filing Company: New York Life Insurance Company State Tracking Number: 42530  
Company Tracking Number: 207-135  
TOI: L04I Individual Life - Term Sub-TOI: L04I.003 Single Life - Single Premium  
Product Name: AD109 IPT 1st Year Rate Change Informational Filing  
Project Name/Number: AD109 IPT 1st Year Rate Change Informational Filing/207-135

## Filing at a Glance

Company: New York Life Insurance Company  
Product Name: AD109 IPT 1st Year Rate Change Informational Filing SERFF Tr Num: NYLC-126169532 State: Arkansas  
Change Informational Filing  
TOI: L04I Individual Life - Term SERFF Status: Closed-Accepted State Tr Num: 42530  
For Informational Purposes  
Sub-TOI: L04I.003 Single Life - Single Premium Co Tr Num: 207-135 State Status: FEES PAID  
Filing Type: Form Reviewer(s): Linda Bird  
Disposition Date: 06/03/2009  
Authors: Team Leader, Sean Hebron  
Date Submitted: 05/29/2009 Disposition Status: Accepted For Informational Purposes  
Implementation Date:   
Implementation Date Requested: On Approval  
State Filing Description:

## General Information

Project Name: AD109 IPT 1st Year Rate Change Informational Filing Status of Filing in Domicile:  
Project Number: 207-135 Date Approved in Domicile:  
Requested Filing Mode: Informational Domicile Status Comments:  
Explanation for Combination/Other: Market Type: Individual  
Submission Type: New Submission Group Market Size:  
Overall Rate Impact: Group Market Type:  
Filing Status Changed: 06/03/2009 Explanation for Other Group Market Type:  
State Status Changed: 06/02/2009  
Deemer Date: Created By: Sean Hebron  
Submitted By: Sean Hebron Corresponding Filing Tracking Number:  
Filing Description:  
RE: New York Life Insurance Company  
Individual Term Insurance  
Informational Change for:  
FEIN 13-55822869  
NAIC 8266915  
Increasing Premium Convertible Term Policy, Form 207-135

SERFF Tracking Number: NYLC-126169532 State: Arkansas  
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TOI: L041 Individual Life - Term Sub-TOI: L041.003 Single Life - Single Premium  
Product Name: AD109 IPT 1st Year Rate Change Informational Filing  
Project Name/Number: AD109 IPT 1st Year Rate Change Informational Filing/207-135

Dear Commissioner:

In the interest of keeping your Department up to date, we are sending this letter to inform you of our intention to change the first year premium rate for the policy form indicated above.

The Increasing Premium Convertible Term (IPT) policy, form 207-135, was approved by your Department on 2/13/2007.

We expect to make this revision effective for any policy that is applied for on or after September 14, 2009. This change to the premium rate for the first year will be applicable only to new issues of the term policy indicated above and will not apply to any in-force policy.

The supporting actuarial material is enclosed to update your files. The original Actuarial Memorandum for this policy form is attached and continues to be valid. An actuarial certification has been attached to the actuarial material.

I hope that this information is satisfactory and that we may receive your Department's acknowledgement of this letter, at your earliest convenience. If you have any questions concerning this submission, please do not hesitate to contact me at my toll-free number, 1-877-464-0198.

Sincerely,

Corporate Vice President  
Individual Life Department  
Encl.

## Company and Contact

### Filing Contact Information

Sean Hebron, Senior Contract Assistant Sean\_Hebron@nyl.com  
51 Madison Avenue 212-576-2681 [Phone]  
Room 606 212-447-4141 [FAX]  
New York, NY 10010

### Filing Company Information

New York Life Insurance Company CoCode: 66915 State of Domicile: New York  
51 Madison Avenue Group Code: 826 Company Type: Life  
New York, NY 10010 Group Name: State ID Number:  
(212) 576-4809 ext. [Phone] FEIN Number: 13-5582869

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## Filing Fees

Fee Required? Yes  
Fee Amount: \$50.00  
Retaliatory? Yes  
Fee Explanation: \$50.00 per informational filing  
Per Company: No

COMPANY	AMOUNT	DATE PROCESSED	TRANSACTION #
New York Life Insurance Company	\$50.00	05/29/2009	28185915

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## Correspondence Summary

### Dispositions

Status	Created By	Created On	Date Submitted
Accepted For Informational Purposes	Linda Bird	06/03/2009	06/03/2009

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## **Disposition**

Disposition Date: 06/03/2009

Implementation Date:

Status: Accepted For Informational Purposes

Comment:

Rate data does NOT apply to filing.

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<b>Schedule</b>	<b>Schedule Item</b>	<b>Schedule Item Status</b>	<b>Public Access</b>
<b>Supporting Document</b>	Flesch Certification		No
<b>Supporting Document</b>	Application		Yes
<b>Supporting Document</b>	Life & Annuity - Acturial Memo		No

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## Supporting Document Schedules

		<b>Item Status:</b>	<b>Status Date:</b>
<b>Bypassed - Item:</b>	Flesch Certification		
<b>Bypass Reason:</b>	N/A		
<b>Comments:</b>			

		<b>Item Status:</b>	<b>Status Date:</b>
<b>Satisfied - Item:</b>	Application		
<b>Comments:</b>			
209-501 approved on 2/12/2009			