

SERFF Tracking Number: NYLC-126437891 State: Arkansas
Filing Company: New York Life Insurance and Annuity Corporation State Tracking Number: 44444
Company Tracking Number: 8952-09
TOI: L09I Individual Life - Flexible Premium Sub-TOI: L09I.001 Single Life
Adjustable Life
Product Name: Corporate-Bank Owned Unisex Endorsement
Project Name/Number: Corporate-Bank Owned Unisex Endorsement/8952-09

Filing at a Glance

Company: New York Life Insurance and Annuity Corporation

Product Name: Corporate-Bank Owned Unisex SERFF Tr Num: NYLC-126437891 State: Arkansas

Endorsement

TOI: L09I Individual Life - Flexible Premium SERFF Status: Closed-Approved- State Tr Num: 44444

Adjustable Life Closed

Sub-TOI: L09I.001 Single Life Co Tr Num: 8952-09 State Status: Approved-Closed

Filing Type: Form Reviewer(s): Linda Bird

Authors: Team Leader, Sean Hebron Disposition Date: 01/06/2010

Hebron

Date Submitted: 12/31/2009 Disposition Status: Approved-

Closed

Implementation Date Requested: On Approval

Implementation Date:

State Filing Description:

General Information

Project Name: Corporate-Bank Owned Unisex Endorsement

Project Number: 8952-09

Requested Filing Mode: Review & Approval

Explanation for Combination/Other:

Submission Type: New Submission

Overall Rate Impact:

Filing Status Changed: 01/06/2010

Deemer Date:

Submitted By: Sean Hebron

Filing Description:

RE: New York Life Insurance and Annuity Corporation (NYLIAC)

Individual Life Insurance

NAIC #: 82691596

FEIN #: 13-3044743

Forms: 8952-09

Status of Filing in Domicile:

Date Approved in Domicile:

Domicile Status Comments:

Market Type: Individual

Group Market Size:

Group Market Type:

Explanation for Other Group Market Type:

State Status Changed: 01/06/2010

Created By: Sean Hebron

Corresponding Filing Tracking Number:

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Dear Commissioner,

We are enclosing for your Department's approval a new unisex endorsement form 8952-09. This form will not replace any form approved by your Department.

This form will be used whenever our NYLIAC Corporate or Bank Owned/Sponsored products are issued on a unisex basis. This endorsement essentially removes all references to gender from the policy and/or any applicable riders.

I hope that this information is satisfactory and that we can receive your Department's approval of this submission as soon as possible.

If you have any questions, please feel free to contact Diana Moody at 1-888-695-4748 ext. 2664064 or via email at dmoody@newyorklife.com.

Sincerely,

Linda E. LoPinto
Corporate Vice President
Individual Life Department

Attachment

Company and Contact

Filing Contact Information

Sean Hebron, Senior Contract Assistant Sean_Hebron@nyl.com
51 Madison Avenue 212-576-2681 [Phone]
Room 606 212-447-4141 [FAX]
New York, NY 10010

Filing Company Information

New York Life Insurance and Annuity Corporation CoCode: 91596 State of Domicile: Delaware
51 Madison Ave Group Code: 826 Company Type: Life
New York, NY 10010 Group Name: NYLIC State ID Number:

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(212) 576-4809 ext. [Phone] FEIN Number: 13-3044743

Filing Fees

Fee Required? Yes
Fee Amount: \$50.00
Retaliatory? Yes
Fee Explanation: \$50.00 per form X 1 form = \$50.00
Per Company: No

COMPANY	AMOUNT	DATE PROCESSED	TRANSACTION #
New York Life Insurance and Annuity Corporation	\$50.00	12/31/2009	33207751

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Correspondence Summary

Dispositions

Status	Created By	Created On	Date Submitted
Approved-Closed	Linda Bird	01/06/2010	01/06/2010

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Disposition

Disposition Date: 01/06/2010

Implementation Date:

Status: Approved-Closed

Comment:

Rate data does NOT apply to filing.

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Schedule	Schedule Item	Schedule Item Status	Public Access
Supporting Document	Flesch Certification		Yes
Supporting Document	Application		No
Supporting Document	Health - Actuarial Justification		No
Supporting Document	Outline of Coverage		No
Form	Modification of Policy Provisions		Yes

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Form Schedule

Lead Form Number: 8952-09

Schedule Item Status	Form Number	Form Type	Form Name	Action	Action Specific Data	Readability	Attachment
	8952-09	Policy/Cont	Modification of Policy Initial ract/Fratern Provisions al Certificate			53.000	E8952-09.pdf

ENDORSEMENT

MODIFICATION OF POLICY PROVISIONS

This endorsement is made a part of the policy to which it is attached.

Any references to the gender of the Insured in this policy and/or any attached riders, if applicable, are deleted.

NEW YORK LIFE INSURANCE AND ANNUITY CORPORATION



Secretary



President

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Supporting Document Schedules

	Item Status:	Status Date:
Satisfied - Item: Flesch Certification Comments: Attachment: Readability Certification - Non-Compact.pdf		

	Item Status:	Status Date:
Bypassed - Item: Application Bypass Reason: N/A - Endorsement Filing Comments:		

	Item Status:	Status Date:
Bypassed - Item: Health - Actuarial Justification Bypass Reason: N/A Comments:		

	Item Status:	Status Date:
Bypassed - Item: Outline of Coverage Bypass Reason: N/A Comments:		

NEW YORK LIFE INSURANCE & ANNUITY CORPORATION

READABILITY CERTIFICATION

I certify that the forms listed on the attached page(s) meet the standards of your State's Readability Laws.

NEW YORK LIFE INSURANCE & ANNUITY CORPORATION

Linda E. LoPinto

Signature

Linda E. LoPinto

Name

Corporate Vice President

Title

December 21, 2009

Date

NEW YORK LIFE INSURANCE & ANNUITY CORPORATION

Flesch Scores for forms submitted with this filing are:

Form No.

Flesch Score

8952-09

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