

SERFF Tracking Number: PRUD-126476920 State: Arkansas
Filing Company: Prudential Retirement Insurance and Annuity Company State Tracking Number: 45161
Company Tracking Number: PRGA-GA-2008 APP LA-AR
TOI: A02.1G Group Annuities - Deferred Non-Variable and Variable Sub-TOI: A02.1G.002 Flexible Premium
Product Name: Application Filing
Project Name/Number: GA-2008 APP/GA-2008 APP

Filing at a Glance

Company: Prudential Retirement Insurance and Annuity Company

Product Name: Application Filing

SERFF Tr Num: PRUD-126476920 State: Arkansas

TOI: A02.1G Group Annuities - Deferred Non-Variable and Variable

SERFF Status: Closed-Approved-Closed State Tr Num: 45161

Sub-TOI: A02.1G.002 Flexible Premium

Co Tr Num: PRGA-GA-2008 APP State Status: Approved-Closed LA-AR

Filing Type: Form

Reviewer(s): Linda Bird

Authors: Kenneth Costello, Susan Eckler-Kerns, Lois Kelly, Samantha Michaud, Jessica Roman, John Blodgett, Laurie Alapick, Erin Laurent

Disposition Date: 03/16/2010

Date Submitted: 03/10/2010

Disposition Status: Approved-Closed

Implementation Date Requested: On Approval

Implementation Date:

State Filing Description:

General Information

Project Name: GA-2008 APP

Project Number: GA-2008 APP

Requested Filing Mode: Review & Approval

Status of Filing in Domicile: Authorized

Date Approved in Domicile: 02/03/2009

Domicile Status Comments: Domicile is Connecticut

Explanation for Combination/Other:

Market Type: Group

Submission Type: New Submission

Group Market Size: Small and Large

Overall Rate Impact:

Group Market Type: Employer, Association, Trust

Filing Status Changed: 03/16/2010

Explanation for Other Group Market Type:

State Status Changed: 03/16/2010

Deemer Date:

Created By: John Blodgett

Submitted By: Laurie Alapick

Corresponding Filing Tracking Number:

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Filing Description:

We enclose for approval Group Annuity Application Form GA-2008 APP (the "Form"). The Form is a new form and does not replace any form previously filed with the department.

The Form will be issued in connection with previously approved group annuity contract forms where such an application form is appropriate. The Form will also be issued in connection with group annuity contract forms subsequently approved by the department for which the Form would be appropriate.

For ease of administration purposes, we have created generic fraud warning language, which we believe is substantially similar to the state's language.

The Form was approved by the State of Connecticut, our domiciliary state, on February 3, 2009.

The filing fee of \$50.00 is being sent via EFT. The fee was calculated on this basis: 1 Form @ \$50.00 = \$50.00.

Please note that our domicile state, Connecticut, does not charge a filing fee, therefore, retaliatory filing fees are not applicable.

A Memorandum of Variability describing the variable provisions is enclosed.

This form, when issued, may vary in format.

Please contact us at 732-482-8758 if you have any questions regarding this filing.

Company and Contact

Filing Contact Information

Timothy Hopkin, Second Vice President Timothy.Hopkin@Prudential.com
Prudential 732-482-8758 [Phone]
200 Wood Avenue South 732-482-8034 [FAX]
Iselin, NJ 08830

Filing Company Information

Prudential Retirement Insurance and Annuity Company CoCode: 93629 State of Domicile: Connecticut
280 Trumbull Street Group Code: 304 Company Type: Life
Hartford, CT 06103 Group Name: State ID Number:

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Correspondence Summary

Dispositions

Status	Created By	Created On	Date Submitted
Approved-Closed	Linda Bird	03/16/2010	03/16/2010

SERFF Tracking Number: PRUD-126476920 *State:* Arkansas
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Disposition

Disposition Date: 03/16/2010

Implementation Date:

Status: Approved-Closed

Comment:

Rate data does NOT apply to filing.

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Schedule	Schedule Item	Schedule Item Status	Public Access
Supporting Document	Flesch Certification	No	No
Supporting Document	Application	No	No
Supporting Document	Life & Annuity - Actuarial Memo	No	No
Supporting Document	Memorandum of Variability	Yes	Yes
Supporting Document	Arkansas Certification	Yes	Yes
Form	Group Annuity Application Form GA-2008 APP	Yes	Yes

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Form Schedule

Lead Form Number: GA-2008 APP

Schedule Item Status	Form Number	Form Type	Form Name	Action	Action Specific Data	Readability	Attachment
	GA-2008 APP	Application/Group Annuity Enrollment Form	Application Form GA-2008 APP	Initial			GA-2008-APP_FINAL.pdf

APPLICATION FOR [INVESTMENT AGREEMENT]^A
to be issued by
PRUDENTIAL RETIREMENT INSURANCE AND ANNUITY COMPANY ("PRIAC")
[280 Trumbull Street, Hartford, CT 06103]^C

Name of Applicant as it should appear on the [Investment Agreement]^A:

Street Address:

City, State & Zip Code:

The Applicant is the (choose one) Trustee Plan Sponsor/Employer Named fiduciary of the following Plan(s):

Such Plan(s) is/are intended to meet the requirements of the following sections of the Internal Revenue Code:

401(a) 401(k) 403(b) 414(d) 457(b) 457(f) Other _____

The Applicant hereby applies for a PRIAC [Investment Agreement (a group annuity contract)]^A with the following investment product(s):

This Application will be attached to and form a part of the [Investment Agreement]^A.

[Any person who knowingly, and with intent to injure, defraud or deceive, includes any false or misleading information on an application for an insurance policy may be subject to potential criminal and/or civil penalties.]^B

The undersigned individuals represent that they have the requisite power and authority to apply for [a(n) Investment Agreement]^A on behalf of the Plan(s).

By _____	By _____
Title _____	Title _____
By _____	By _____
Title _____	Title _____
Dated at _____ on _____	
(city, state)	(date)

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Supporting Document Schedules

	Item Status:	Status Date:
Bypassed - Item: Flesch Certification		
Bypass Reason: Flesch Certification is not applicable for this type of form.		
Comments:		

	Item Status:	Status Date:
Bypassed - Item: Application		
Bypass Reason: Not applicable for this filing.		
Comments:		

	Item Status:	Status Date:
Satisfied - Item: Memorandum of Variability		
Comments: Attached is the Memorandum of Variability for Group Annuity Application Form GA-2020 APP.		
Attachment: GA-2008 APP_Memorandum of Variability_FINAL.pdf		

	Item Status:	Status Date:
Satisfied - Item: Arkansas Certification		
Comments: Attached is the completed Arkansas Certification.		
Attachment: Arkansas_Certification.pdf		

MEMORANDUM OF VARIABILITY

Nature and Scope of Changes in Portions of Application Form GA-2008 APP

Application Form GA-2008 APP (the "Form") is intended for use with group annuity contracts applied for by investors and issued by Prudential Retirement Insurance and Annuity Company ("PRIAC").

Variable bracketed text is indicated by brackets keyed to the following description of the scope of permissible changes:

- A. Provides for modifications to identify whether the Form is to be used with a form titled Investment Agreement or Group Annuity Contract.
- B. This section will accommodate any Application notices required by the state, such as a fraud warning. For ease of administration, the fraud warning shown is generic wording formulated to meet the requirements of most states. If a fraud warning or other notice is not required by a state's Insurance Department, this section may be removed.
- C. Provides for changes to PRIAC's address.

PRUDENTIAL RETIREMENT INSURANCE AND ANNUITY CO.

CERTIFICATION

We certify that in our judgment the following policy forms which are included in the filing are in compliance with Regulation 19, Regulation 49, and ACA 23-79-138 as well as all applicable requirements of the Department.

Group Annuity Application Form GA-2008 APP

Timothy Hopkin
Second Vice President

Date