

SERFF Tracking Number: HARL-126708458 State: Arkansas
Filing Company: Hartford Life Insurance Company State Tracking Number: 46143
Company Tracking Number: HL-21157
TOI: A02.1G Group Annuities - Deferred Non- Sub-TOI: A02.1G.002 Flexible Premium
Variable and Variable
Product Name: GROUP ANNUITY CONTRACT Endorsements
Project Name/Number: Phones Plus/HL-21157

Filing at a Glance

Company: Hartford Life Insurance Company

Product Name: GROUP ANNUITY CONTRACT SERFF Tr Num: HARL-126708458 State: Arkansas

Endorsements

TOI: A02.1G Group Annuities - Deferred Non- SERFF Status: Closed-Approved- State Tr Num: 46143
Variable and Variable Closed

Sub-TOI: A02.1G.002 Flexible Premium Co Tr Num: HL-21157 State Status: Approved-Closed
Filing Type: Form Reviewer(s): Linda Bird

Authors: Anthony DePaolis, LindsayDisposition Date: 07/08/2010

Cooper, Ginger Morgan, Joyce

Schiaffo, Tiffany Heist

Date Submitted: 07/07/2010 Disposition Status: Approved-Closed

Implementation Date Requested: On Approval

Implementation Date:

State Filing Description:

General Information

Project Name: Phones Plus

Project Number: HL-21157

Requested Filing Mode: Review & Approval

Explanation for Combination/Other:

Submission Type: New Submission

Overall Rate Impact:

Filing Status Changed: 07/08/2010

Status of Filing in Domicile: Pending

Date Approved in Domicile:

Domicile Status Comments:

Market Type: Group

Group Market Size: Small and Large

Group Market Type: Employer, Trust

Explanation for Other Group Market Type:

State Status Changed: 07/08/2010

Created By: Ginger Morgan

Corresponding Filing Tracking Number:

Deemer Date:

Submitted By: Ginger Morgan

Filing Description:

Re: Hartford Life Insurance Company

NAIC 88072-091 FEIN No. 06-0974148

Group Variable Funding Agreement Endorsement Form HL-21157

Group Variable Annuity Contract Endorsement Form HL-21158

Group Funding Agreement Endorsement Form HL-21159

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Project Name/Number: Phones Plus/HL-21157
Group Annuity Contract Endorsement Form HL-21160
Group Annuity Contract Endorsement Form HL-21161

Enclosed for your review and approval on a general-use basis are Group Variable Funding Agreement Endorsement Form HL-21157, Group Variable Annuity Contract Endorsement Form HL-21158, Group Funding Agreement Endorsement Form HL-21159, Group Annuity Contract Endorsement Form HL-21160, and Group Annuity Contract Endorsement Form HL-21161. These forms are new and do not replace any existing forms.

These endorsement forms contain changes to previously approved forms HL-20326, HL-20329, HL-16553, HL-14991 and HL-15092-R respectively:

Form HL-20326 was previously approved by your department on 01-06-2009.
Form HL-20329 was previously approved by your department on 06-09-2009.
Form HL-16553 was previously approved by your department on 01-09-2001.
Form HL-14991 was previously approved by your department on 04-25-1996.
Form HL-15092-R was previously approved by your department on 09-01-1998.

The changes set forth in the endorsements are generally intended to pertain to the section of the contract or agreement entitled: "Changes to the Funds and Separate Account" and supersede any conflicting language in the Group Variable Annuity Contract, Group Annuity Contract, Group Funding Agreement or Group Variable Funding Agreement that it is endorsing. In some instances, a new paragraph has been added to the contract or funding agreement. Variable items have been bracketed. The bracketed variable material in item II. of the Endorsement will reflect the Effective Date of the Endorsement (which will not be earlier than the date these forms are approved by your department) and the Officers signatures, which will permit Hartford to change the names of the Officers, as required.

These Endorsements will only be issued to new Group Variable Annuity Contract Owners, Group Annuity Contract Owners, Group Funding Agreement Owners and/or Group Variable Funding Agreement Owners.

Please do not hesitate to contact me, or Anthony DePaolis (860) 843-4003, if we may be of any assistance.

Sincerely,

Joyce J. Schiaffo
Compliance/Sr. Contract Consultant
Annuity State Filing

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Correspondence Summary

Dispositions

Status	Created By	Created On	Date Submitted
Approved- Closed	Linda Bird	07/08/2010	07/08/2010

SERFF Tracking Number: *HARL-126708458* *State:* *Arkansas*
Filing Company: *Hartford Life Insurance Company* *State Tracking Number:* *46143*
Company Tracking Number: *HL-21157*
TOI: *A02.1G Group Annuities - Deferred Non-* *Sub-TOI:* *A02.1G.002 Flexible Premium*
 Variable and Variable
Product Name: *GROUP ANNUITY CONTRACT Endorsements*
Project Name/Number: *Phones Plus/HL-21157*

Disposition

Disposition Date: 07/08/2010

Implementation Date:

Status: Approved-Closed

Comment:

Rate data does NOT apply to filing.

SERFF Tracking Number: HARL-126708458 State: Arkansas
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Schedule	Schedule Item	Schedule Item Status	Public Access
Supporting Document	Flesch Certification		No
Supporting Document	Application		No
Supporting Document	Life & Annuity - Acturial Memo		No
Supporting Document	Variable Material		Yes
Form	Group Funding Agreement Endorsement		Yes
Form	Group Annuity Contract Endorsement		Yes
Form	Group Funding Agreement Endorsement		Yes
Form	Group Annuity Contract Endorsement		Yes
Form	Group Annuity Contract Endorsement		Yes

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Form Schedule

Lead Form Number: HL-21157

Schedule Item Status	Form Number	Form Type Form Name	Action	Action Specific Data	Readability	Attachment
	HL-21157	Policy/Cont Group Funding ract/Fratern Agreement al Endorsement Certificate: Amendmen t, Insert Page, Endorseme nt or Rider	Initial		0.000	HL-21157 Aviator endorsement. pdf
	HL-21158	Policy/Cont Group Annuity ract/Fratern Contract al Endorsement Certificate: Amendmen t, Insert Page, Endorseme nt or Rider	Initial		0.000	HL-21158 Cash Balance endorsement. pdf
	HL-21159	Policy/Cont Group Funding ract/Fratern Agreement al Endorsement Certificate: Amendmen t, Insert Page, Endorseme nt or Rider	Initial		0.000	HL-21159 GFA endorsement. pdf
	HL-21160	Policy/Cont Group Annuity ract/Fratern Contract al Endorsement	Initial		0.000	HL-21160 Hartford Advantage

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	Certificate:			endorsement.
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HI-21161	Policy/Cont Group Annuity	Initial	0.000	HL-21161
	ract/Fratern Contract			IYM
	al Endorsement			endorsement.
	Certificate:			pdf
	Amendmen			
	t, Insert			
	Page,			
	Endorseme			
	nt or Rider			



Group Variable Funding Agreement Endorsement

This Endorsement shall be issued as a part of the Group Variable Funding Agreement to which it is attached. The conditions and provisions of the Group Variable Funding Agreement continue to apply except as amended herein. The provisions set forth in this Endorsement shall supersede and control any conflicting provisions in the Group Variable Funding Agreement and any Riders, Amendments or Endorsements attached thereto.

I. **Section 4.0, General Provisions**, paragraph 4.5 of the Group Variable Funding Agreement is hereby replaced with the following:

“4.5 Changes to the Funds and Separate Account: Where permitted by applicable law, we may:

- (a) add new Funds to the Separate Account as new Sub-Accounts;
- (b) make Sub-Accounts (including new Sub-Accounts) available to such classes of Agreements as we may determine;
- (c) only cease to offer any Sub-Account (including closing a Sub-Account to new Contributions and transfers of Agreement value) that becomes unavailable due to changes in applicable law, or any Fund-initiated changes, events or activity, including, but not limited to, Fund mergers, Fund liquidations, Fund closures or other Fund-initiated activity; and
- (d) combine separate accounts, including the Separate Account(s).

We will send Agreement Owners any required notice of an applicable change to the Separate Account or Fund’s availability.”

II. This Endorsement shall be effective on [August 1, 2010].

Signed by Hartford Life Insurance Company:[

Donald C. Hunt, Secretary

John C. Walters, President

]



Group Variable Annuity Contract Endorsement

This Endorsement shall be issued as a part of the Group Variable Annuity Contract to which it is attached. The conditions and provisions of the Group Variable Annuity Contract continue to apply except as amended herein. The provisions set forth in this Endorsement shall supersede and control any conflicting provisions in the Group Variable Annuity Contract and any Riders, Amendments or Endorsements.

I. **Section 4.0, General Provisions**, paragraph 4.6 of the Group Variable Annuity Contract is hereby replaced with the following:

“4.6 Changes to the Funds and Separate Account: Where permitted by applicable law, we may:

- (a) add new Funds to the Separate Account as new Sub-Accounts;
- (b) make Sub-Accounts (including new Sub-Accounts) available to such classes of Contracts as we may determine;
- (c) only cease to offer any Sub-Account (including closing a Sub-Account to new Contributions and transfers of Contract value) that becomes unavailable due to changes in applicable law, or any Fund-initiated changes, events or activity, including, but not limited to, Fund mergers, Fund liquidations, Fund closures or other Fund-initiated activity; and
- (d) combine separate accounts, including the Separate Account(s).

We will send Contract Owners any required notice of an applicable change to the Separate Account or Fund’s availability.”

II. This Endorsement shall be effective on [August 1, 2010].

Signed by Hartford Life Insurance Company:[

Donald C. Hunt, Secretary

John C. Walters, President

]



Group Funding Agreement Endorsement

This Endorsement shall be issued as a part of the Group Funding Agreement to which it is attached. The conditions and provisions of the Group Funding Agreement continue to apply except as amended herein. The provisions set forth in this Endorsement shall supersede and control any conflicting provisions in the Group Funding Agreement and any Riders, Amendments or Endorsements.

I. The “Separate Account(s)” section of the Group Funding Agreement Specifications is hereby amended by deleting the last two paragraphs of the section and replacing with the following:

“Where permitted by applicable law, we may:

- (a) add new Funds to the Separate Account as new Sub-Accounts;
- (b) make Sub-Accounts (including new Sub-Accounts) available to such classes of Agreements as we may determine;
- (c) only cease to offer any Sub-Account (including closing a Sub-Account to new Contributions and transfers of Agreement value) that becomes unavailable due to changes in applicable law, or any Fund-initiated changes, events or activity, including, but not limited to, Fund mergers, Fund liquidations, Fund closures or other Fund-initiated activity; and
- (d) combine separate accounts, including the Separate Account(s).

We will send Group Funding Agreement Owners any required notice of an applicable change to the Separate Account or Fund’s availability.”

II. This Endorsement shall be effective on [August 1, 2010].

Signed by Hartford Life Insurance Company:[

Donald C. Hunt, Secretary

John C. Walters, President

]



Group Annuity Contract Endorsement

This Endorsement shall be issued as a part of the Group Annuity Contract to which it is attached. The conditions and provisions of the Group Annuity Contract continue to apply except as amended herein. The provisions set forth in this Endorsement shall supersede and control any conflicting provisions in the Group Annuity Contract and any Riders, Amendments or Endorsements.

I. **Section 4, Operational Agreements**, is hereby amended by the addition of the following paragraph:

“4.12 Fund Availability: Where permitted by applicable law, we may only cease to offer any Sub-Account (including closing a Sub-Account to new Contributions and transfers of Contract value) that becomes unavailable due to changes in applicable law, or any Fund-initiated changes, events or activity, including, but not limited to, Fund mergers, Fund liquidations, Fund closures or other Fund-initiated activity.

We will send Contract Owners any required notice of an applicable change to the Separate Account or Fund’s availability.”

II. This Endorsement shall be effective on [August 1, 2010].

Signed by Hartford Life Insurance Company:[]

Donald C. Hunt, Secretary

John C. Walters, President

]



Group Annuity Contract Endorsement

This Endorsement shall be issued as a part of the Group Annuity Contract to which it is attached. The conditions and provisions of the Group Annuity Contract continue to apply except as amended herein. The provisions set forth in this Endorsement shall supersede and control any conflicting provisions in the Group Annuity Contract and any Riders, Amendments or Endorsements.

I. **Section VI, General Provisions**, is hereby amended by the addition of the following paragraph:

“G. FUND AVAILABILITY. Where permitted by applicable law, we may only cease to offer any Sub-Account (including closing a Sub-Account to new Contributions and transfers of Contract value) that becomes unavailable due to changes in applicable law, or any Fund-initiated changes, events or activity, including, but not limited to, Fund mergers, Fund liquidations, Fund closures or other Fund-initiated activity.

We will send Contract Owners any required notice of an applicable change to the Separate Account or Fund’s availability.”

II. This Endorsement shall be effective on [August 1, 2010].

Signed by Hartford Life Insurance Company:[]

Donald C. Hunt, Secretary

John C. Walters, President

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Supporting Document Schedules

Item Status:

Status

Date:

Satisfied - Item: Variable Material

Comments:

Attachment:

Variable Material .pdf

Hartford Life Insurance Company
Variable Material
Group Variable Funding Agreement Endorsement Form HL-21157
Group Variable Annuity Contract Endorsement Form HL-21158
Group Funding Agreement Endorsement Form HL-21159
Group Annuity Contract Endorsement Form HL-21160
Group Annuity Contract Endorsement Form HL-21161

Page

1

Description

The bracketed variable material in Section II. of the Endorsement will reflect the Effective Date of the Endorsement (which will not be earlier than the date these forms are approved by your department).

1

The bracketed signatures in Item II allows for future changes in the Officer signatures.