

SERFF Tracking Number: FRCS-127838185 State: Arkansas
Filing Company: Hartford Life and Annuity Insurance Company State Tracking Number: 50326
Company Tracking Number: 5655
TOI: A07I Individual Annuities - Special Sub-TOI: A07I.001 Equity Indexed
Product Name: Disclosure Endorsement LA-FIA-INDXDISC-11
Project Name/Number: HARTFORD/66/66

Filing at a Glance

Company: Hartford Life and Annuity Insurance Company

Product Name: Disclosure Endorsement LA- FIA-INDXDISC-11 SERFF Tr Num: FRCS-127838185 State: Arkansas

TOI: A07I Individual Annuities - Special SERFF Status: Closed-Approved- Closed State Tr Num: 50326

Sub-TOI: A07I.001 Equity Indexed Co Tr Num: 5655 State Status: Approved-Closed
Filing Type: Form Reviewer(s): Linda Bird

Author: Exselsa Cartwright Disposition Date: 11/29/2011
Date Submitted: 11/22/2011 Disposition Status: Approved-Closed

Implementation Date Requested: On Approval
State Filing Description:

Implementation Date:

General Information

Project Name: HARTFORD/66
Project Number: 66
Requested Filing Mode: Review & Approval

Explanation for Combination/Other:
Submission Type: New Submission
Overall Rate Impact:

Deemer Date:
Submitted By: Exselsa Cartwright
Filing Description:

We have been retained by Hartford Life and Annuity Insurance Company to file the enclosed captioned form for approval in your State.

Our fee of \$50 has been sent by EFT on this same date.

Attached is the captioned individual annuity endorsement form for your review and approval. This form is new and does not replace any previously approved form. This form will be used with the Company's Individual Single Premium Deferred Annuity Contracts LA-FIA-A-11 and LA-FIA-B-11 that were approved by your state on 10/10/11, under SERFF

SERFF Tracking Number: FRCS-127838185 State: Arkansas
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Company Tracking Number: 5655
TOI: A071 Individual Annuities - Special Sub-TOI: A071.001 Equity Indexed
Product Name: Disclosure Endorsement LA-FIA-INDXDISC-11
Project Name/Number: HARTFORD/66/66
Tracking Number FRCS-127278789 and FRCS-127281637.

This endorsement modifies the Contract to provide required third party index disclosures, and does not affect the contractual obligations of the Contract. The Company intends to provide this endorsement to new and existing contract owners.

The signatures on the endorsement have been bracketed to allow for changes in the future.

To the best of our knowledge, this filing is complete and intended to comply with the insurance laws of your jurisdiction.

If you have any questions or need additional information, please call toll-free 1-800-927-2730. Thank you for your assistance.

Company and Contact

Filing Contact Information

Exselsa Cartwright, Senior Compliance exselsa.cartwright@firstconsulting.com
Specialist
1020 Central 800-927-2730 [Phone] 2757 [Ext]
Suite 201 816-391-2755 [FAX]
Kansas City, MO 64105

Filing Company Information

(This filing was made by a third party - FC01)

Hartford Life and Annuity Insurance Company CoCode: 71153 State of Domicile: Connecticut
200 Hopmeadow Street Group Code: 91 Company Type:
Simsbury, CT 06089 Group Name: Hartford Life Group State ID Number:
(414) 977-1503 ext. [Phone] FEIN Number: 39-1052598

Filing Fees

Fee Required? Yes
Fee Amount: \$50.00
Retaliatory? No
Fee Explanation: \$50.00 per form
Per Company: No

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COMPANY	AMOUNT	DATE PROCESSED	TRANSACTION #
Hartford Life and Annuity Insurance Company	\$50.00	11/22/2011	54001793

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Correspondence Summary

Dispositions

Status	Created By	Created On	Date Submitted
Approved-Closed	Linda Bird	11/29/2011	11/29/2011

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Disposition

Disposition Date: 11/29/2011

Implementation Date:

Status: Approved-Closed

Comment:

Rate data does NOT apply to filing.

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Form Schedule

Lead Form Number: LA-FIA-INDXDISC-11

Schedule Item Status	Form Number	Form Type Form Name	Action	Action Specific Data	Readability	Attachment
	LA-FIA-INDXDISC-11	Policy/Cont Index Disclosure ract/Fratern Endorsement Certificate: Amendmen t, Insert Page, Endorseme nt or Rider	Initial		52.500	LA-FIA INDXDISC- 11.pdf



Hartford Life and Annuity Insurance Company
 200 Hopmeadow Street
 Simsbury, CT 06089

INDEX DISCLOSURE ENDORSEMENT

This endorsement is issued as part of the Contract to which it is attached, and is effective on the date it is issued to You. Your Contract may include references to indices provided by third parties. This endorsement modifies the Contract to provide required index disclosures of the Contract as described below. None of the statements below affect Our Contract obligation to You.

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Signed for **Hartford Life and Annuity Insurance Company**

[



David N. Levenson, *President*



Terence Shields, *Corporate Secretary*]

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Supporting Document Schedules

	Item Status:	Status Date:
Satisfied - Item: Flesch Certification Comments: Attachments: AR RDB.pdf AR COC.pdf		
Bypassed - Item: Application Bypass Reason: Not applicable for this filing. Comments:		
Bypassed - Item: Life & Annuity - Acturial Memo Bypass Reason: Not required for this filing. Comments:		
Satisfied - Item: Third Party Authorization Comments: Attachment: Authorization Signed_LA.pdf		

**STATE OF ARKANSAS
READABILITY CERTIFICATION**

COMPANY NAME: Hartford Life and Annuity Insurance Company

This is to certify that the form(s) referenced below has achieved a Flesch Reading Ease Score as indicated below and complies with the requirements of Ark. Stat. Ann. Section 66-3251 through 66-3258, cited as the Life and Disability Insurance Policy Language Simplification Act.

Form Number	Score
LA-FIA-INDXDISC-11	52.5



Michael McCauley
Assistant Vice President

November 21, 2011
Date

**STATE OF ARKANSAS
CERTIFICATION OF COMPLIANCE**

Company Name: Hartford Life and Annuity Insurance Company

Form Title(s): Index Disclosure Endorsement

Form Number(s): LA-FIA-INDXDISC-11

I hereby certify that to the best of my knowledge and belief, the above form(s) and submission complies with Reg. 19, as well as the other laws and regulations of the State of Arkansas.



Michael McCauley
Assistant Vice President

November 21, 2011
Date

May 24, 2011



To: The Insurance Commissioner

Authorization

This letter, or a copy thereof, will authorize the consulting firm of First Consulting & Administration, Inc., Kansas City, Missouri, to represent this Company in matters before the Insurance Department pursuant to written agreements between Hartford Life and Annuity Insurance Company and First Consulting & Administration, Inc.

This Authorization shall be valid until revoked by us.

Hartford Life and Annuity Insurance Company

By: Anna M. Alessi

Title: VP FCCO Global Annuities