

SERFF Tracking Number: NYLC-127810083 State: Arkansas
Filing Company: New York Life Insurance Company State Tracking Number: 50237
Company Tracking Number: 211-810C
TOI: L07G Group Life - Whole Sub-TOI: L07G.111 Single Premium - Single Life
Product Name: AAWL Group Insurance Rider
Project Name/Number: AAWL Group Insurance Rider/211-810C

Filing at a Glance

Company: New York Life Insurance Company

Product Name: AAWL Group Insurance Rider

TOI: L07G Group Life - Whole

Sub-TOI: L07G.111 Single Premium - Single Life

Filing Type: Form

SERFF Tr Num: NYLC-127810083 State: Arkansas

SERFF Status: Closed-Approved-Closed State Tr Num: 50237

Co Tr Num: 211-810C

State Status: Approved-Closed

Authors: Team Leader, Robert Williams III

Date Submitted: 11/10/2011

Reviewer(s): Linda Bird

Disposition Date: 11/16/2011

Disposition Status: Approved-Closed

Implementation Date:

Implementation Date Requested: On Approval

State Filing Description:

General Information

Project Name: AAWL Group Insurance Rider

Project Number: 211-810C

Requested Filing Mode:

Explanation for Combination/Other:

Submission Type: New Submission

Group Market Type: Discretionary

Filing Status Changed: 11/16/2011

State Status Changed: 11/16/2011

Created By: Robert Williams III

Corresponding Filing Tracking Number:

Filing Description:

RE: New York Life Insurance Company (NYLIC)

General Product Description: Group Whole Life Insurance

NAIC #: 82666915

FEIN #: 13-5582869

Form No. 211-810C Group Insurance Rider

Status of Filing in Domicile: Authorized

Date Approved in Domicile: 09/16/2011

Domicile Status Comments:

Market Type: Group

Group Market Size: Small

Overall Rate Impact:

Deemer Date:

Submitted By: Robert Williams III

Dear Commissioner:

SERFF Tracking Number: NYLC-127810083 State: Arkansas
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We are enclosing for your Department's approval the new rider form indicated above, which does not replace any form previously approved by your Department. The rider was designed to be used with Group Whole Life Certificate 211-20C.49, which was approved by your Department on 4/29/2011 under SERFF Number NYLC-126930028 - DOI #48109. We are planning to introduce this rider as soon as it is accepted for use by your Department and our computer systems can accommodate the change. Additional information follows.

The name of the underlying Trust that was established in Delaware for the purpose of holding a Master Group Whole Life Policy (approved in Delaware on 1/6/2011) has been amended to "NYLIFE Securities and Eagle Strategies Insurance Trust" (formerly "NYLIFE Securities Asset Allocation Trust"). The purpose of this amendment is to expand the class of eligible members of the group to include clients of NYLIFE Securities, or Eagle Strategies, or their successors for the benefit of its Certificate holders and their beneficiaries. (Delaware approved the amendment on 9/16/2011.)

Since only the Certificate shown above is used in your State, we wish to amend that Certificate via this new rider to reflect the amended name of the Trust. For your information, we are including a copy of Trust Amendment No. 1 between New York Life Insurance Company, as Settlor, and US Bank Trust, N.A., as Trustee.

I hope this information is satisfactory and that we may receive your Department's approval of the enclosed forms at your earliest convenience. Please contact me at 1-877-464-0198 or via email at Linda_E._Lopinto@newyorklife.com if you have questions or comments in this regard.

Sincerely,
Linda E. LoPinto
Corporate Vice President
Individual Life Markets
Encl.

Company and Contact

Filing Contact Information

Robert Williams III, Contract Consultant Robert_Williams_III@nyl.com
51 Madison Avenue 212-576-3449 [Phone]
Room 0154 212-447-4141 [FAX]
New York, NY 10010

Filing Company Information

New York Life Insurance Company CoCode: 66915 State of Domicile: New York
51 Madison Avenue Group Code: 826 Company Type: Life

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Product Name: AAWL Group Insurance Rider
Project Name/Number: AAWL Group Insurance Rider/211-810C
New York, NY 10010 Group Name: State ID Number:
(212) 576-4809 ext. [Phone] FEIN Number: 13-5582869

Filing Fees

Fee Required? Yes
Fee Amount: \$50.00
Retaliatory? No
Fee Explanation:
Per Company: No

COMPANY	AMOUNT	DATE PROCESSED	TRANSACTION #
New York Life Insurance Company	\$50.00	11/10/2011	53648700

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Correspondence Summary

Dispositions

Status	Created By	Created On	Date Submitted
Approved-Closed	Linda Bird	11/16/2011	11/16/2011

SERFF Tracking Number: NYLC-127810083 *State:* Arkansas
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Product Name: AAWL Group Insurance Rider
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Disposition

Disposition Date: 11/16/2011

Implementation Date:

Status: Approved-Closed

Comment:

Rate data does NOT apply to filing.

<i>SERFF Tracking Number:</i>	<i>NYLC-127810083</i>	<i>State:</i>	<i>Arkansas</i>
<i>Filing Company:</i>	<i>New York Life Insurance Company</i>	<i>State Tracking Number:</i>	<i>50237</i>
<i>Company Tracking Number:</i>	<i>211-810C</i>		
<i>TOI:</i>	<i>L07G Group Life - Whole</i>	<i>Sub-TOI:</i>	<i>L07G.111 Single Premium - Single Life</i>
<i>Product Name:</i>	<i>AAWL Group Insurance Rider</i>		
<i>Project Name/Number:</i>	<i>AAWL Group Insurance Rider/211-810C</i>		

Schedule	Schedule Item	Schedule Item Status	Public Access
Supporting Document	Flesch Certification		Yes
Supporting Document	Application		Yes
Form	Group Insurance Rider		Yes

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Form Schedule

Lead Form Number: 211-810C

Schedule Item Status	Form Number	Form Type	Form Name	Action	Action Specific Data	Readability	Attachment
	211-810C	Certificate	Group Insurance Amendmen Rider t, Insert Page, Endorseme nt or Rider	Initial		50.000	211-810C.pdf

NEW YORK LIFE INSURANCE COMPANY

GROUP INSURANCE RIDER

GROUP POLICY NUMBER	LDGT-0001
GROUP POLICYHOLDER	US BANK TRUST, N.A., AS TRUSTEE OF THE NYLIFE SECURITIES ASSET ALLOCATION TRUST
ISSUED IN	DELAWARE
EFFECTIVE DATE	JULY 1, 2011
RIDER NUMBER	1

The Certificate named above is changed as of the Effective Date shown above, as follows.

1. Any reference to "US BANK TRUST, N.A., AS TRUSTEE OF THE NYLIFE SECURITIES ASSET ALLOCATION TRUST" on the Front Cover of the attached Certificate has been changed to "US BANK TRUST, N.A., AS TRUSTEE OF THE NYLIFE SECURITIES AND EAGLE STRATEGIES INSURANCE TRUST".

To accomplish the above, this rider is made a part of the Certificate to which it is attached.

NEW YORK LIFE INSURANCE COMPANY



Secretary



President

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Supporting Document Schedules

	Item Status:	Status Date:
Satisfied - Item: Flesch Certification		
Comments:		
Attachment: Readability Cert.pdf		

	Item Status:	Status Date:
Satisfied - Item: Application		
Comments: Application 211-528AR was approved on 03/02/2011, State Tracking Number: 48109		

NEW YORK LIFE INSURANCE COMPANY

READABILITY CERTIFICATION

I certify that the forms listed on the attached page(s) meet the standards of your State's Readability Laws.

NEW YORK LIFE INSURANCE COMPANY

Linda E. LoPinto

Signature

Linda E. LoPinto

Name

Corporate Vice President

Title

November 9, 2011

Date

NEW YORK LIFE INSURANCE COMPANY

Flesch Scores for forms submitted with this filing are:

<u>Form No.</u>	<u>Flesch Score</u>
211-810C	50