

SERFF Tracking Number: NYLC-127049858 State: Arkansas  
Filing Company: New York Life Insurance and Annuity Corporation State Tracking Number: 48077  
Company Tracking Number: 898-60.55CS  
TOI: L09I Individual Life - Flexible Premium Sub-TOI: L09I.001 Single Life  
Adjustable Life  
Product Name: 2011 AP Revised Premium Load  
Project Name/Number: 2011 AP Revised Premium Load/898-60.55CS

## Filing at a Glance

Company: New York Life Insurance and Annuity Corporation

Product Name: 2011 AP Revised Premium Load SERFF Tr Num: NYLC-127049858 State: Arkansas

TOI: L09I Individual Life - Flexible Premium Adjustable Life SERFF Status: Closed-Filed-Closed State Tr Num: 48077

Sub-TOI: L09I.001 Single Life Co Tr Num: 898-60.55CS State Status: Filed-Closed

Filing Type: Form Reviewer(s): Linda Bird

Authors: Team Leader, Ariana Little Disposition Date: 03/01/2011

Date Submitted: 02/24/2011 Disposition Status: Filed-Closed

Implementation Date Requested: 05/06/2011

Implementation Date:

State Filing Description:

## General Information

Project Name: 2011 AP Revised Premium Load

Project Number: 898-60.55CS

Requested Filing Mode: Review & Approval

Explanation for Combination/Other:

Submission Type: New Submission

Overall Rate Impact:

Deemer Date:

Submitted By: Ariana Little

Filing Description:

RE: New York Life Insurance and Annuity Corporation (NYLIAC)

Individual Life Insurance

NAIC #: 82691596

FEIN #: 13-3044743

Policy Form # 898-60.55CS

Status of Filing in Domicile:

Date Approved in Domicile:

Domicile Status Comments:

Market Type: Individual

Individual Market Type:

Filing Status Changed: 03/01/2011

State Status Changed: 03/01/2011

Created By: Ariana Little

Corresponding Filing Tracking Number:

Dear Commissioner:

SERFF Tracking Number: NYLC-127049858 State: Arkansas  
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We are writing to inform you that we plan to increase the actual (current) premium expense charge applied to the initial premium payments made under our previously approved Universal Life Insurance Policy with Accelerated Benefits, form 898-60.55CS approved by your Department on 6/18/2007 under Department File No. (DOI 36049).

The actual premium expense charge applied to the initial premium will be increased from 5.5% to 6%. The guaranteed premium expense charge remains unchanged. We expect to make this change effective for all new policies issued on and after May 6, 2011. This change will not apply to inforce policies. No changes are made to the Actuarial Memorandum previously submitted or to the approved policy form.

I hope this information is satisfactory and that we will receive your Department's approval of this submission at your earliest convenience. If you need additional information, please feel free to call me toll free at 1-877-464-0198.

Sincerely,  
Linda E. LoPinto

Corporate Vice President  
Agency Life Operations

Encl

## Company and Contact

### Filing Contact Information

Kimeesha-Ariana Little, Associate klittle2@nyl.com  
51 Madison Avenue 212-576-7881 [Phone]  
Room 606 212-447-4141 [FAX]  
New York, NY 10010

### Filing Company Information

New York Life Insurance and Annuity Corporation CoCode: 91596 State of Domicile: Delaware  
51 Madison Ave Group Code: 826 Company Type: Life  
New York, NY 10010 Group Name: NYLIC State ID Number:  
(212) 576-4809 ext. [Phone] FEIN Number: 13-3044743

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## Filing Fees

Fee Required? Yes  
Fee Amount: \$50.00  
Retaliatory? Yes  
Fee Explanation:  
Per Company: No

COMPANY	AMOUNT	DATE PROCESSED	TRANSACTION #
New York Life Insurance and Annuity Corporation	\$50.00	02/24/2011	45003667

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## Correspondence Summary

### Dispositions

Status	Created By	Created On	Date Submitted
Filed-Closed	Linda Bird	03/01/2011	03/01/2011



