

State: Arkansas **Filing Company:** Life Insurance Company of the Southwest
TOI/Sub-TOI: A10 Annuities - Other/A10.000 Annuities - Other
Product Name: Supplemental Information to the Application for Annuity
Project Name/Number: Supplemental Information to the Application for Annuity/20140(0812)

Filing at a Glance

Company: Life Insurance Company of the Southwest
Product Name: Supplemental Information to the Application for Annuity
State: Arkansas
TOI: A10 Annuities - Other
Sub-TOI: A10.000 Annuities - Other
Filing Type: Form
Date Submitted: 11/14/2012
SERFF Tr Num: NALF-128765322
SERFF Status: Closed-Approved-Closed
State Tr Num:
State Status: Approved-Closed
Co Tr Num: 20140(0812)
Implementation: On Approval
Date Requested:
Author(s): Junan Boldrey, Dionne Wills
Reviewer(s): Linda Bird (primary)
Disposition Date: 11/27/2012
Disposition Status: Approved-Closed
Implementation Date:

State Filing Description:

State: Arkansas
TOI/Sub-TOI: A10 Annuities - Other/A10.000 Annuities - Other
Product Name: Supplemental Information to the Application for Annuity
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Filing Company: Life Insurance Company of the Southwest

General Information

Project Name: Supplemental Information to the Application for Annuity Status of Filing in Domicile: Pending

Project Number: 20140(0812)

Requested Filing Mode: Review & Approval

Explanation for Combination/Other:

Submission Type: New Submission

Overall Rate Impact:

Deemer Date:

Submitted By: Dionne Wills

Filing Description:

Arkansas Department of Insurance

Supplemental Information to the Application for Annuity form 20140(0812)

Submission: Submitted for your review is a copy of the above referenced form. This is a new form and has not been previously submitted to your department.

Description: The form will be used when there is not enough room for details or comments within the allocated space on the Application for the Annuity form(s) shown below:

Form Number Approval Date

7909 04/30/2001

This new form and the above mentioned application(s) will be used in both paper format and electronically. We confirm that the electronic versions of these forms will be exact duplicates of the approved paper versions.

Statement of Variability. A Statement of Variability disclosing the form's elements that are bracketed is enclosed.

Readability Statistics: When scored separately the Supplemental Information to the Application for Annuity has a readability score lower than required, however, when scored with one of our annuity policy forms, the supplemental application scores over 50.0 on the Flesch Readability Test.

Company and Contact

Filing Contact Information

Junan Boldrey, Manager, Policy Filings, Retirement Division
jboldrey@nationallife.com

15455 North Dallas Parkway

800-543-3794 [Phone] 9316 [Ext]

Suite 800

214-638-9196 [FAX]

Addison, TX 75001

State: Arkansas **Filing Company:** Life Insurance Company of the Southwest
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Filing Company Information

Life Insurance Company of the Southwest	CoCode: 65528	State of Domicile: Texas
15455 Dallas Parkway	Group Code: 634	Company Type:
Suite 800	Group Name: National Life Group	State ID Number: 1117
Addison, TX 75001	FEIN Number: 75-0953004	
(214) 638-9316 ext. [Phone]		

Filing Fees

Fee Required? Yes
 Fee Amount: \$100.00
 Retaliatory? Yes
 Fee Explanation: Domicile filing fee is \$100.00.
 Per Company: No

Company	Amount	Date Processed	Transaction #
Life Insurance Company of the Southwest	\$100.00	11/14/2012	64887987

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Correspondence Summary

Dispositions

Status	Created By	Created On	Date Submitted
Approved-Closed	Linda Bird	11/27/2012	11/27/2012

SERFF Tracking #:

NALF-128765322

State Tracking #:

Company Tracking #:

20140(0812)

State:

Arkansas

Filing Company:

Life Insurance Company of the Southwest

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Disposition

Disposition Date: 11/27/2012

Implementation Date:

Status: Approved-Closed

Comment:

Rate data does NOT apply to filing.

Schedule	Schedule Item	Schedule Item Status	Public Access
Supporting Document	Flesch Certification		Yes
Supporting Document	Application		Yes
Supporting Document	Life & Annuity - Acturial Memo		No
Supporting Document	Statement of Variability		Yes
Supporting Document	Compliance Certificate		Yes
Form	Supplemental Information to the Application for Annuity		Yes

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20140(0812)

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Form Schedule

Lead Form Number: 20140(0812)

Item No.	Schedule Item Status	Form Name	Form Number	Form Type	Form Action	Action Specific Data	Readability Score	Attachments
1		Supplemental Information to the Application for Annuity	20140(0812)	AEF	Initial		30.200	Supplemental Info Annuity App 20140(0812).pdf

Form Type Legend:

ADV	Advertising	AEF	Application/Enrollment Form
CER	Certificate	CERA	Certificate Amendment, Insert Page, Endorsement or Rider
DDP	Data/Declaration Pages	FND	Funding Agreement (Annuity, Individual and Group)
MTX	Matrix	NOC	Notice of Coverage
OTH	Other	OUT	Outline of Coverage
PJK	Policy Jacket	POL	Policy/Contract/Fraternal Certificate
POLA	Policy/Contract/Fraternal Certificate: Amendment, Insert Page, Endorsement or Rider	SCH	Schedule Pages

Life Insurance Company of the Southwest™

Supplemental Information to the Application for Annuity

Give complete details of any answers from the application where details did not fit within the allocated space on the application. Include with the information below the application section as well as all applicable information related to that question. This Supplemental Information will be attached to and become a part of the application for annuity.

Annuitant Name: _____ **Annuitant SS No or Taxpayer ID:** _____

Signed at (City and State): _____ on _____

Signature of Annuitant: _____

Signature of Owner (if different than Annuitant): _____

Signature of Joint Owner (if applicable): _____

Signature of Agent: _____

P: 800-732-8939 | www.NationalLifeGroup.com
Centralized Mailing Address: One National Life Drive, Montpelier, VT 05604 | Home Office: Addison, TX

SERFF Tracking #:

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20140(0812)

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Supporting Document Schedules

		Item Status:	Status Date:
Satisfied - Item:	Flesch Certification		
Comments:	When scored separately the Supplemental Information to the Application for Annuity has a readability score lower than required, however, when scored with one of our annuity policy forms, the supplemental application scores over 50.0 on the Flesch Readability Test.		

		Item Status:	Status Date:
Satisfied - Item:	Application		
Comments:	We will use previously approved application 7909, approved in Arkansas on April 30, 2001.		

		Item Status:	Status Date:
Satisfied - Item:	Statement of Variability		
Comments:			
Attachment(s):	20140(0812) SOV.pdf		

		Item Status:	Status Date:
Satisfied - Item:	Compliance Certificate		
Comments:			
Attachment(s):	20140(0812) AR Certification of Compliance 111212.pdf		

20140(0812) - Statement of Variability

Variables for the mailing address, website address, phone number and home office city – these items are subject to change.

