

SERFF Tracking Number: LBLI-128250715 State: Arkansas  
 Filing Company: Athene Annuity & Life Assurance Company State Tracking Number:  
 Company Tracking Number: MYGA SPDA ENDORSEMENT  
 TOI: A02I Individual Annuities- Deferred Non- Sub-TOI: A02I.004 Modified Single Premium  
 Variable  
 Product Name: MYGA SPDA Endorsement  
 Project Name/Number: /

## Filing at a Glance

Company: Athene Annuity & Life Assurance Company

Product Name: MYGA SPDA Endorsement SERFF Tr Num: LBLI-128250715 State: Arkansas  
 TOI: A02I Individual Annuities- Deferred Non- SERFF Status: Closed-Approved- State Tr Num:  
 Variable Closed  
 Sub-TOI: A02I.004 Modified Single Premium Co Tr Num: MYGA SPDA State Status: Approved-Closed  
 ENDORSEMENT  
 Filing Type: Form Reviewer(s): Linda Bird  
 Author: Jennifer Brett Disposition Date: 04/13/2012  
 Date Submitted: 04/10/2012 Disposition Status: Approved-  
 Closed  
 Implementation Date Requested: On Approval Implementation Date:  
 State Filing Description:

## General Information

Project Name: Status of Filing in Domicile:  
 Project Number: Date Approved in Domicile:  
 Requested Filing Mode: Review & Approval Domicile Status Comments:  
 Explanation for Combination/Other: Market Type: Individual  
 Submission Type: New Submission Individual Market Type:  
 Overall Rate Impact: Filing Status Changed: 04/13/2012  
 State Status Changed: 04/13/2012  
 Deemer Date: Created By: Jennifer Brett  
 Submitted By: Jennifer Brett Corresponding Filing Tracking Number:  
 Filing Description:

Athene Annuity & Life Assurance Company recently had SERFF Tr Num FRCS-127910958 filed by a third party. The filing was approved on 02/01/2012. We are filing an endorsement, form number MYGL-DBE, to change the Death Benefit definition on page 9 of the contract from Cash Surrender Value to the Accumulation Value. This amending of the definition is more beneficial to the client; therefore, we will add this endorsement to existing clients as well as all new business.

Please find the revised actuarial memorandum under the Supporting Documentation tab. The only change to this is the definition of Death Benefit (and a new date and signature).

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State Narrative:

## Company and Contact

### Filing Contact Information

Jennifer Brett, Compliance Analyst II jennifer.brett@atheneannuity.com  
 2000 Wade Hampton Blvd 864-609-1334 [Phone]  
 Greenville, SC 29615 864-609-1039 [FAX]

### Filing Company Information

Athene Annuity & Life Assurance Company CoCode: 61492 State of Domicile: Delaware  
 2000 Wade Hampton Blvd Group Code: Company Type:  
 Greenville, SC 29602 Group Name: State ID Number:  
 (864) 609-1334 ext. [Phone] FEIN Number: 44-0188050

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## Filing Fees

Fee Required? Yes  
 Fee Amount: \$50.00  
 Retaliatory? Yes  
 Fee Explanation: DE fee of \$50 per form = \$50.00  
 Per Company: No

COMPANY	AMOUNT	DATE PROCESSED	TRANSACTION #
Athene Annuity & Life Assurance Company	\$50.00	04/10/2012	57847643

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## Correspondence Summary

### Dispositions

Status	Created By	Created On	Date Submitted
Approved- Closed	Linda Bird	04/13/2012	04/13/2012



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<b>Schedule</b>	<b>Schedule Item</b>	<b>Schedule Item Status</b>	<b>Public Access</b>
<b>Supporting Document</b>	Flesch Certification		Yes
<b>Supporting Document</b>	Application		Yes
<b>Supporting Document</b>	Life & Annuity - Acturial Memo		No
<b>Form</b>	Endorsement		Yes

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## Form Schedule

Lead Form Number: MYGL-DBE

Schedule Item Status	Form Number	Form Type Form Name	Action	Action Specific Data	Readability	Attachment
	MYGL-DBE	Policy/Cont Endorsement ract/Fratern al Certificate: Amendmen t, Insert Page, Endorseme nt or Rider	Initial		81.400	MYGL-DBE.pdf



Athene Annuity & Life Assurance Company, Wilmington, Delaware

Main Administrative Office: 2000 Wade Hampton Boulevard, Greenville, South Carolina 29615-1064

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## ENDORSEMENT

attached to and made a part of this Contract

Amend the Contract as follows:

The Death Benefit provision is amended to read:

**Death Benefit** - The Death Benefit is the Accumulation Value.

Dated at Greenville, South Carolina, as of the Contract Date, which is the effective date of this endorsement.

A handwritten signature in cursive script that reads "John L. Golden".

Secretary

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## Supporting Document Schedules

**Item Status:** **Status  
Date:**

**Satisfied - Item:** Flesch Certification

**Comments:**

**Attachment:**

READABILITY COMPLIANCE CERTIFICATION.pdf

**Item Status:** **Status  
Date:**

**Satisfied - Item:** Application

**Comments:**

Previously-approved application - Form number MYGL-APPAR, approved on 02/01/2012.

**Item Status:** **Status  
Date:**

**Satisfied - Item:** Life & Annuity - Acturial Memo

**Comments:**

**Attachment:**

MYG act memo non-compact generic.pdf

## READABILITY COMPLIANCE CERTIFICATION

1. Insurer: Athene Annuity & Life Assurance Company  
2000 Wade Hampton Blvd.  
Greenville, South Carolina 29615-1064
2. Certification: I hereby certify that the forms listed below produce Flesch reading ease scores which meet the minimum score required in your state.

In addition, I certify that the forms, except for schedules and tables, are printed in 10 point type, one point leaded. The words and terminology exempted are: (a) all words and terms defined in the forms, (b) all captions and subcaptions, (c) all tables and schedules, and (d) all medical terms. All exempted items are permitted in your state.

### READABILITY SCORE

<u>Name of Form</u>	<u>Form Number</u>	<u>Flesch Score</u>
Endorsement	MYGL-DBE	81.4

4/10/2012  
Date



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Jennifer Brett  
Compliance Analyst II Policy Forms/Compliance