

SERFF Tracking Number: TFFL-128294213 State: Arkansas
Filing Company: Thrivent Financial for Lutherans State Tracking Number:
Company Tracking Number:
TOI: LTC06 Long Term Care - Other Sub-TOI: LTC06.000 Long Term Care - Other
Product Name: Long-term care
Project Name/Number: /

Filing at a Glance

Company: Thrivent Financial for Lutherans

Product Name: Long-term care

TOI: LTC06 Long Term Care - Other

Sub-TOI: LTC06.000 Long Term Care - Other

Filing Type: Form

SERFF Tr Num: TFFL-128294213 State: Arkansas

SERFF Status: Closed-Accepted State Tr Num:

For Informational Purposes

Co Tr Num:

Author: Wendy Sachs

Date Submitted: 04/23/2012

State Status: Closed-Accepted for
Informational Purposes

Reviewer(s): Donna Lambert

Disposition Date: 04/24/2012

Disposition Status: Accepted For
Informational Purposes

Implementation Date:

Implementation Date Requested:

State Filing Description:

General Information

Project Name:

Project Number:

Requested Filing Mode: Informational

Explanation for Combination/Other:

Submission Type: New Submission

Overall Rate Impact:

Status of Filing in Domicile:

Date Approved in Domicile:

Domicile Status Comments:

Market Type: Individual

Individual Market Type:

Filing Status Changed: 04/24/2012

State Status Changed: 04/24/2012

Created By: Wendy Sachs

Corresponding Filing Tracking Number:

Deemer Date:

Submitted By: Wendy Sachs

Filing Description:

2011 LTC Suitability Reporting

2011 LTC Replacement and Lapse Reporting

State Narrative:

Company and Contact

Filing Contact Information

Wendy Sachs,

4321 N Ballard Rd

wendy.sachs@thrivent.com

920-628-2999 [Phone] 2999 [Ext]

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Appleton, WI 54919

Filing Company Information

Thrivent Financial for Lutherans	CoCode: 56014	State of Domicile: Wisconsin
625 Fourth Ave South	Group Code: 2938	Company Type: Fraternal
Minneapolis, MN 55415	Group Name:	State ID Number:
(612) 844-8233 ext. [Phone]	FEIN Number: 39-0123480	

Filing Fees

Fee Required?	No
Retaliatory?	No
Fee Explanation:	
Per Company:	No

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Correspondence Summary

Dispositions

Status	Created By	Created On	Date Submitted
Accepted For Donna Lambert Informational Purposes		04/24/2012	04/24/2012

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Disposition

Disposition Date: 04/24/2012

Implementation Date:

Status: Accepted For Informational Purposes

Comment:

Rate data does NOT apply to filing.

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Schedule	Schedule Item	Schedule Item Status	Public Access
Supporting Document	Flesch Certification	Accepted for Informational Purposes	No
Supporting Document	Application	Accepted for Informational Purposes	No
Supporting Document	Health - Actuarial Justification	Accepted for Informational Purposes	No
Supporting Document	Outline of Coverage	Accepted for Informational Purposes	No
Supporting Document	2011 LTC Suitability & 2011 LTC Replacement and Lapse reports	Accepted for Informational Purposes	Yes

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Supporting Document Schedules

		Item Status:	Status Date:
Bypassed - Item:	Flesch Certification	Accepted for Informational Purposes	04/24/2012
Bypass Reason:	If required, will be done by a different author.		
Comments:			
Bypassed - Item:	Application	Accepted for Informational Purposes	04/24/2012
Bypass Reason:	If required, will be done by a different author.		
Comments:			
Bypassed - Item:	Health - Actuarial Justification	Accepted for Informational Purposes	04/24/2012
Bypass Reason:	If required, will be done by a different author.		
Comments:			
Bypassed - Item:	Outline of Coverage	Accepted for Informational Purposes	04/24/2012
Bypass Reason:	If required, will be done by a different author.		
Comments:			
Satisfied - Item:	2011 LTC Suitability & 2011 LTC Replacement and Lapse reports	Accepted for Informational Purposes	04/24/2012

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Comments:

Attachments:

2011 LTC Suitability Reporting - Arkansas.pdf

2011 LTC Replacement and Lapse Reporting.pdf

Insurance Commissioner Report

For the State of: **Arkansas**

For the Reporting Year: **01Jan2011 to 31Dec2011**

Company Name: Thrivent Financial For Lutherans

Company Address: 4321 N. Ballard Rd. Appleton, WI 54919-0001

NAIC#: 56014

Contact: Wendy Sachs

Phone: 920-628-2999

Instructions

The purpose of this form is to report all long-term care activity related to the total number of applications received from the residents of this state, the number of those who declined to provide information on the personal worksheet, the number of applicants who did not meet the suitability standard, and the number of applicants who chose to confirm after receiving a suitability letter.

1.Total number of applications received from residents of : Arkansas	0
2.Total number of applicants who declined to provide information on the personal worksheet	0
3.Total number of applicants who did not meet the suitability standards	0
4.Total number of applicants who chose to confirm after receiving a suitability letter	0

**ARKANSAS DEPARTMENT OF INSURANCE
INSURANCE REGULATION**

Appendix G. Replacement and Lapse Reporting Form

**Long-Term Care Insurance
Replacement and Lapse Reporting Form**

For the State of Arkansas For the Reporting Year of 2011

Company Name: Thrivent Financial for Lutherans Due: June 30 annually

Company Address: 625 Fourth Ave South, MPLS, MN 55415 Company NAIC Number: 56014

Contact Person: Paul Brinkman Phone Number: (612) 844-4370

Instructions

The purpose of this form is to report on a statewide basis information regarding long-term care insurance policy replacements and lapses. Specifically, every insurer shall maintain records for each agent on that agent's amount of long-term care insurance replacement sales as a percent of the agent's total annual sales and the amount of lapses of long-term care insurance policies sold by the agent as a percent of the agent's total annual sales. The tables below should be used to report the ten percent (10%) of the insurer's agents with the greatest percentages of replacements and lapses.

Listing of the 10% of Agents with the Greatest Percentage of Replacements

Agent's Name	Number of Policies Sold By This Agent	Number of Policies Replaced By This Agent	Number of Replace Number Sold By This Agent
N/A			

Listing of the 10% of Agents with the Greatest Percentage of Lapses

Agent's Name	Number of Policies Sold By This Agent	Number of Policies Lapsed By This Agent	Number of Lapses And Number Sold By This Agent
BARRY HESSE	0	1	1
JOHN KNOERNSCHILD	0	1	1

Company Totals

Percentage of Replacement Policies Sold to Total Annual Sales __N/A__%

Percentage of Replacement Policies Sold to Policies In Force (as of the end of the preceding calendar year) __N/A__%

Percentage of Lapsed Policies to Total Annual Sales __N/A__%

Percentage of Lapsed Policies to Policies In Force (as of the end of the preceding calendar year) __1.0__%