

SERFF Tracking Number: NYLC-128439351 State: Arkansas
Filing Company: New York Life Insurance and Annuity Corporation State Tracking Number:
Company Tracking Number:
TOI: L08 Life - Other Sub-TOI: L08.000 Life - Other
Product Name: UPromise Discontinuation 2012 NYLIAC
Project Name/Number: UPromise Discontinuation 2012/

Filing at a Glance

Company: New York Life Insurance and Annuity Corporation

Product Name: UPromise Discontinuation 2012 SERFF Tr Num: NYLC-128439351 State: Arkansas
NYLIAC

TOI: L08 Life - Other

SERFF Status: Closed-Accepted For Informational Purposes State Tr Num:

Sub-TOI: L08.000 Life - Other

Co Tr Num: State Status: Closed-Accepted for Informational Purposes

Filing Type: Form

Reviewer(s): Linda Bird

Authors: Linda Lopinto, Robert Williams III, Ariana Castillo, Wanda Santos-Colletti, Mary Williams, Barbara Micek

Disposition Date: 06/05/2012

Date Submitted: 06/01/2012

Disposition Status: Accepted For Informational Purposes

Implementation Date Requested: On Approval

Implementation Date:

State Filing Description:

General Information

Project Name: UPromise Discontinuation 2012

Status of Filing in Domicile:

Project Number:

Date Approved in Domicile:

Requested Filing Mode: Informational

Domicile Status Comments:

Explanation for Combination/Other:

Market Type:

Submission Type: New Submission

Overall Rate Impact:

Filing Status Changed: 06/05/2012

State Status Changed: 06/05/2012

Deemer Date:

Created By: Robert Williams III

Submitted By: Robert Williams III

Corresponding Filing Tracking Number:

Filing Description:

Please see attached cover letter. Thank you.

State Narrative:

SERFF Tracking Number: NYLC-128439351 State: Arkansas
 Filing Company: New York Life Insurance and Annuity Corporation State Tracking Number:
 Company Tracking Number:
 TOI: L08 Life - Other Sub-TOI: L08.000 Life - Other
 Product Name: UPromise Discontinuation 2012 NYLIAC
 Project Name/Number: UPromise Discontinuation 2012/

Company and Contact

Filing Contact Information

Robert Williams III, Contract Consultant Robert_Williams_III@nyl.com
 51 Madison Avenue 212-576-3449 [Phone]
 Room 0154 212-447-4141 [FAX]
 New York, NY 10010

Filing Company Information

New York Life Insurance and Annuity Corporation CoCode: 91596 State of Domicile: Delaware
 51 Madison Ave Group Code: 826 Company Type: Life
 New York, NY 10010 Group Name: NYLIC State ID Number:
 (212) 576-4809 ext. [Phone] FEIN Number: 13-3044743

Filing Fees

Fee Required? No
 Retaliatory? No
 Fee Explanation:
 Per Company: No

COMPANY	AMOUNT	DATE PROCESSED	TRANSACTION #
New York Life Insurance and Annuity Corporation	\$0.00	06/01/2012	

SERFF Tracking Number: NYLC-128439351 State: Arkansas
Filing Company: New York Life Insurance and Annuity Corporation State Tracking Number:
Company Tracking Number:
TOI: L08 Life - Other Sub-TOI: L08.000 Life - Other
Product Name: UPromise Discontinuation 2012 NYLIAC
Project Name/Number: UPromise Discontinuation 2012/

Correspondence Summary

Dispositions

Status	Created By	Created On	Date Submitted
Accepted For Informational Purposes	Linda Bird	06/05/2012	06/05/2012

SERFF Tracking Number: NYLC-128439351 *State:* Arkansas
Filing Company: New York Life Insurance and Annuity *State Tracking Number:*
Corporation
Company Tracking Number:
TOI: L08 Life - Other *Sub-TOI:* L08.000 Life - Other
Product Name: UPromise Discontinuation 2012 NYLIAC
Project Name/Number: UPromise Discontinuation 2012/

Disposition

Disposition Date: 06/05/2012

Implementation Date:

Status: Accepted For Informational Purposes

Comment:

Rate data does NOT apply to filing.

SERFF Tracking Number: NYLC-128439351

State: Arkansas

Filing Company: New York Life Insurance and Annuity Corporation

State Tracking Number:

Company Tracking Number:

TOI: L08 Life - Other

Sub-TOI: L08.000 Life - Other

Product Name: UPromise Discontinuation 2012 NYLIAC

Project Name/Number: UPromise Discontinuation 2012/

Schedule	Schedule Item	Schedule Item Status	Public Access
Supporting Document	Flesch Certification		No
Supporting Document	Application		No
Supporting Document	Cover Letter		Yes

SERFF Tracking Number: NYLC-128439351

State: Arkansas

Filing Company: New York Life Insurance and Annuity Corporation

State Tracking Number:

Company Tracking Number:

TOI: L08 Life - Other

Sub-TOI: L08.000 Life - Other

Product Name: UPromise Discontinuation 2012 NYLIAC

Project Name/Number: UPromise Discontinuation 2012/

Supporting Document Schedules

Item Status:

**Status
Date:**

Bypassed - Item: Flesch Certification
Bypass Reason: N/A
Comments:

Item Status:

**Status
Date:**

Bypassed - Item: Application
Bypass Reason: N/A
Comments:

Item Status:

**Status
Date:**

Satisfied - Item: Cover Letter
Comments:
Attachment:
AR NYLIAC Letter.pdf

May 30, 2012

Hon. Jay Bradford
Insurance Commissioner
Arkansas Insurance Department
Division of Compliance
Life and Health
1200 West Third Street
Little Rock, AR 72201-1904

RE: *New York Life Insurance and Annuity Corporation*
Discontinuation of Rider Form, 303-800
NAIC #: 82691596
FEIN #: 13-3044743

Dear Commissioner:

In the interest of keeping your Department up to date, we are sending this letter to inform you of our intention to discontinue the use of Rider form, 303-800, which was approved by your Department on 3/21//2003.

New York Life's contract with Upromise, Inc. will be discontinued as of September 5, 2012. Therefore, as of June 4, 2012, the Upromise rider will no longer be made available on new policies and the reference to this rider will no longer appear on the data page of any of our currently marketed universal life or variable universal life policies. NYLIAC will honor all contributions made to policyowners' Upromise accounts for 90 days after the last policy has been issued with the rider enclosed.

I hope that this information is satisfactory and that we may receive your Department's acknowledgement of this letter, at your earliest convenience. If you have any questions concerning this submission, please do not hesitate to contact me at my toll-free number, 1-877-464-0198.

Sincerely,



Corporate Vice President
Life Markets Department

NEW YORK LIFE INSURANCE AND ANNUITY CORPORATION

POLICY FORMS

Policy Form Number	Plan Title	Approval
311-51	Universal Life Insurance	1/18/2011
308-30	Variable Life Insurance	12/28/2007
311-54	Universal Life Insurance	1/18/2011