

SERFF Tracking Number: NYLC-128439352 State: Arkansas  
Filing Company: New York Life Insurance Company State Tracking Number:  
Company Tracking Number:  
TOI: L08 Life - Other Sub-TOI: L08.000 Life - Other  
Product Name: UPromise Discontinuation 2012 NYLIC  
Project Name/Number: UPromise Discontinuation 2012/

## Filing at a Glance

Company: New York Life Insurance Company  
Product Name: UPromise Discontinuation 2012 SERFF Tr Num: NYLC-128439352 State: Arkansas  
NYLIC  
TOI: L08 Life - Other SERFF Status: Closed-Accepted State Tr Num:  
For Informational Purposes  
Sub-TOI: L08.000 Life - Other Co Tr Num: State Status: Closed-Accepted for  
Informational Purposes  
Filing Type: Form Reviewer(s): Linda Bird  
Disposition Date: 06/05/2012  
Authors: Linda Lopinto, Robert  
Williams III, Ariana Castillo, Wanda  
Santos-Colletti, Mary Williams,  
Barbara Micek  
Date Submitted: 06/01/2012 Disposition Status: Accepted For  
Informational Purposes  
Implementation Date Requested: On Approval Implementation Date:  
State Filing Description:

## General Information

Project Name: UPromise Discontinuation 2012 Status of Filing in Domicile:  
Project Number: Date Approved in Domicile:  
Requested Filing Mode: Informational Domicile Status Comments:  
Explanation for Combination/Other: Market Type:  
Submission Type: New Submission Overall Rate Impact:  
Filing Status Changed: 06/05/2012  
State Status Changed: 06/05/2012 Deemer Date:  
Created By: Robert Williams III Submitted By: Robert Williams III  
Corresponding Filing Tracking Number:  
Filing Description:  
Please see attached cover letter. Thank you.  
State Narrative:

## Company and Contact

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### Filing Contact Information

Robert Williams III, Contract Consultant Robert\_Williams\_III@nyl.com  
51 Madison Avenue 212-576-3449 [Phone]  
Room 0154 212-447-4141 [FAX]  
New York, NY 10010

### Filing Company Information

New York Life Insurance Company CoCode: 66915 State of Domicile: New York  
51 Madison Avenue Group Code: 826 Company Type: Life  
New York, NY 10010 Group Name: State ID Number:  
(212) 576-4809 ext. [Phone] FEIN Number: 13-5582869

### Filing Fees

Fee Required? No  
Retaliatory? No  
Fee Explanation:  
Per Company: No

COMPANY	AMOUNT	DATE PROCESSED	TRANSACTION #
New York Life Insurance Company	\$0.00	06/01/2012	

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## Correspondence Summary

### Dispositions

Status	Created By	Created On	Date Submitted
Accepted For Informational Purposes	Linda Bird	06/05/2012	06/05/2012

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*Product Name:* UPromise Discontinuation 2012 NYLIC  
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## **Disposition**

Disposition Date: 06/05/2012

Implementation Date:

Status: Accepted For Informational Purposes

Comment:

Rate data does NOT apply to filing.

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<b>Schedule</b>	<b>Schedule Item</b>	<b>Schedule Item Status</b>	<b>Public Access</b>
<b>Supporting Document</b>	Flesch Certification		No
<b>Supporting Document</b>	Application		No
<b>Supporting Document</b>	Cover Letter		Yes

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## Supporting Document Schedules

**Item Status:**

**Status  
Date:**

**Bypassed - Item:** Flesch Certification

**Bypass Reason:** N/A

**Comments:**

**Item Status:**

**Status  
Date:**

**Bypassed - Item:** Application

**Bypass Reason:** N/A

**Comments:**

**Item Status:**

**Status  
Date:**

**Satisfied - Item:** Cover Letter

**Comments:**

**Attachment:**

AR NYLIC Letter.pdf

May 31, 2012

Hon. Jay Bradford  
Insurance Commissioner  
Arkansas Insurance Department  
Division of Compliance  
Life and Health  
1200 West Third Street  
Little Rock, AR 72201-1904

**RE: New York Life Insurance Company  
Discontinuation of Rider Form, 201-800  
NAIC #: 82666915  
FEIN# 13-5582869**

Dear Commissioner:

In the interest of keeping your Department up to date, we are sending this letter to inform you of our intention to discontinue the use of Rider form, 201-800 which was approved by your Department on 1/7/2002.

New York Life's contract with Upromise, Inc. will be discontinued as of September 5, 2012. Therefore, as of June 4, 2012, the Upromise rider will no longer be made available on new policies and the reference to this rider will no longer appear on the data page of any of our currently marketed life and term policies. NYL will honor all contributions made to policyowners' Upromise accounts for 90 days after the last policy has been issued with the rider enclosed.

I hope that this information is satisfactory and that we may receive your Department's acknowledgement of this letter, at your earliest convenience. If you have any questions concerning this submission, please do not hesitate to contact me at my toll-free number, 1-877-464-0198.

Sincerely,



Corporate Vice President  
Life Products Division

**NEW YORK LIFE INSURANCE COMPANY**

## POLICY FORMS

<b>POLICY FORM NUMBER</b>	<b>PLAN TITLE</b>	<b>APPROVAL DATE</b>
208-50.49	Whole Life Modified Premium Whole Life Custom Whole Life	2/27/2008
207-52	Employee's Whole Life	8/31/2006
210-135.99	Yearly Convertible Term	1/6/2011
206-110	Joint Ten Year Level Premium Convertible Term	11/30/2005
210-60.99	Level Premium Convertible Term	1/6/2011