

SERFF Tracking Number: BKST-128547918 State: Arkansas
Filing Company: Berkshire Settlements, Inc. State Tracking Number:
Company Tracking Number:
TOI: VS01 Viatical Settlements Sub-TOI: VS01.000 Viatical Settlements
Product Name: Life Settlement Forms
Project Name/Number: /

Filing at a Glance

Company: Berkshire Settlements, Inc.
Product Name: Life Settlement Forms
TOI: VS01 Viatical Settlements
Sub-TOI: VS01.000 Viatical Settlements
Filing Type: Form

SERFF Tr Num: BKST-128547918 State: Arkansas
SERFF Status: Closed-Accepted State Tr Num:
For Informational Purposes
Co Tr Num: State Status: Closed-Accepted for
Informational Purposes
Reviewer(s): Linda Bird
Author: Sarah Loy Disposition Date: 07/12/2012
Date Submitted: 07/06/2012 Disposition Status: Accepted For
Informational Purposes
Implementation Date:
Implementation Date Requested: On Approval
State Filing Description:

General Information

Project Name: Status of Filing in Domicile: Pending
Project Number: Date Approved in Domicile:
Requested Filing Mode: Domicile Status Comments:
Explanation for Combination/Other: Market Type: Individual
Submission Type: New Submission Individual Market Type:
Overall Rate Impact: Filing Status Changed: 07/12/2012
State Status Changed: 07/12/2012
Deemer Date: Created By: Sarah Loy
Submitted By: Sarah Loy Corresponding Filing Tracking Number:

Filing Description:
Please accept this informational filing to reflect changes we would like to make to the header and footer of our approved forms. We have changed our logo and would like to reflect the updated logo in the header. We would also like to add the Insured's last name to the footer for recording purposes. To the best of our knowledge, we have attached all of the supporting documentation to reflect these changes.

State Narrative:

Company and Contact

Filing Contact Information

SERFF Tracking Number: BKST-128547918 State: Arkansas
 Filing Company: Berkshire Settlements, Inc. State Tracking Number:
 Company Tracking Number:
 TOI: VS01 Viatical Settlements Sub-TOI: VS01.000 Viatical Settlements
 Product Name: Life Settlement Forms
 Project Name/Number: /

Sarah Loy, President sloy@berkshiresettlements.com
 409 John Wesley Dobbs Avenue 678-589-9950 [Phone] 202 [Ext]
 Suite C 678-589-9951 [FAX]
 Atlanta, GA 30312

Filing Company Information

Berkshire Settlements, Inc. CoCode: State of Domicile: Georgia
 409 John Wesley Dobbs Avenue Group Code: Company Type: Life Settlement
 Provider
 Suite C Group Name: State ID Number:
 Atlanta, GA 30312 FEIN Number: 20-0927272
 (678) 589-9950 ext. [Phone]

Filing Fees

Fee Required? No
 Retaliatory? No
 Fee Explanation:
 Per Company: No

COMPANY	AMOUNT	DATE PROCESSED	TRANSACTION #
Berkshire Settlements, Inc.	\$0.00	07/06/2012	

SERFF Tracking Number: BKST-128547918

State: Arkansas

Filing Company: Berkshire Settlements, Inc.

State Tracking Number:

Company Tracking Number:

TOI: VS01 Viatical Settlements

Sub-TOI: VS01.000 Viatical Settlements

Product Name: Life Settlement Forms

Project Name/Number: /

Correspondence Summary

Dispositions

Status	Created By	Created On	Date Submitted
Accepted For Informational Purposes	Linda Bird	07/12/2012	07/12/2012

SERFF Tracking Number: BKST-128547918

State: Arkansas

Filing Company: Berkshire Settlements, Inc.

State Tracking Number:

Company Tracking Number:

TOI: VS01 Viatical Settlements

Sub-TOI: VS01.000 Viatical Settlements

Product Name: Life Settlement Forms

Project Name/Number: /

Disposition

Disposition Date: 07/12/2012

Implementation Date:

Status: Accepted For Informational Purposes

Comment:

Rate data does NOT apply to filing.

SERFF Tracking Number: BKST-128547918 State: Arkansas
 Filing Company: Berkshire Settlements, Inc. State Tracking Number:
 Company Tracking Number:
 TOI: VS01 Viatical Settlements Sub-TOI: VS01.000 Viatical Settlements
 Product Name: Life Settlement Forms
 Project Name/Number: /

Schedule	Schedule Item	Schedule Item Status	Public Access
Supporting Document	Consent to Release Medical Records	No	No
Supporting Document	Escrow Agreement	No	No
Supporting Document	Physician Statement	No	No
Supporting Document	Power of Attorney	No	No
Supporting Document	CHANGES TO HEADER AND FOOTER	No	No

SERFF Tracking Number: BKST-128547918

State: Arkansas

Filing Company: Berkshire Settlements, Inc.

State Tracking Number:

Company Tracking Number:

TOI: VS01 Viatical Settlements

Sub-TOI: VS01.000 Viatical Settlements

Product Name: Life Settlement Forms

Project Name/Number: /

Supporting Document Schedules

Item Status: **Status Date:**

Bypassed - Item: Consent to Release Medical Records

Bypass Reason: N/A

Comments:

Item Status: **Status Date:**

Bypassed - Item: Escrow Agreement

Bypass Reason: N/A

Comments:

Item Status: **Status Date:**

Bypassed - Item: Physician Statement

Bypass Reason: N/A

Comments:

Item Status: **Status Date:**

Bypassed - Item: Power of Attorney

Bypass Reason: N/A

Comments:

Item Status: **Status Date:**

Satisfied - Item: CHANGES TO HEADER AND FOOTER

Comments:

Please see the attached for the changes to our header and footer we would like to make.

Attachment:

SERFF Tracking Number: BKST-128547918 *State:* Arkansas
Filing Company: Berkshire Settlements, Inc. *State Tracking Number:*
Company Tracking Number:
TOI: VS01 Viatical Settlements *Sub-TOI:* VS01.000 Viatical Settlements
Product Name: Life Settlement Forms
Project Name/Number: /
Untitled.pdf



June 26, 2012

Arkansas Insurance Department
Life & Health Division
501.371.2769

ATTN: Linda Bird,

Berkshire Settlements would like to submit this informational filing for review for conducting Life Settlement transactions within the state of Arkansas. Berkshire Settlements, inc. has recently changed their logo and we would like to be approved to use the logo on our approved documents. This logo would replace our current header.

Berkshire Settlements, Inc. would also like to be approved to add the insured's last name to the footer of the documents for better record keeping processes. This would be a variable field that would change with each transaction.

We have attached an example of the changes for you to view. There will be NO material changes to ANY of the documents that have been approved. Included with the example is a list of the forms that will be affected by these changes.

Once a review is complete please send any correspondence to Alison Fritter. She may be contacted by email at afritter@berkshiresettlements.com or by phone at 678.589.9943. If correspondence is only done through mail please forward to:

Berkshire Settlements
112 Krog Street
Suite 24
Atlanta, GA 30307

If this informational filing has been submitted incorrectly or you require more information to conduct your review please let me know. Should you have any questions please do not hesitate to contact me or Alison Fritter in the manner you see fit at the contact information above.

Thank you for your time,

Sarah Loy
sloy@berkshiresettlements.com
678.589.9950



I, Sarah C. Loy, an officer of Berkshire Settlements, Inc., certify that there will be no material changes to the information contained in the forms listed below. The only changes that will be made to the forms is the replacement of the header with Berkshire Settlements, inc. new logo, and the footer will now include a variable bracket to insert the insured's last name to help with internal record processing.

Forms Affected by New Logo in Header	Forms Affected by ADDING Variable Bracket in Footer for Insured's Last Name
AR/DISC: 9/2011 BSI – 0100	AR/DISC: 9/2011 BSI – 0100
AR/INVESTDISC: 9/2011 BSI – 0101	AR/INVESTDISC: 9/2011 BSI – 0101
AR/INVESTDISC: 9/2011 BSI – 0102	AR/INVESTDISC: 9/2011 BSI – 0102
AR/APP: 9/2011 BSI – 0200	AR/APP: 9/2011 BSI – 0200
AR/MPA: 9/2011 BSI – 0300	AR/MPA: 9/2011 BSI – 0300
AR/MPA: 9/2011 BSI – 0301	AR/MPA: 9/2011 BSI – 0301
AR/ESCROW: 9/2011 BSI – 0400	AR/ESCROW: 9/2011 BSI – 0400
AR/ESCROW: 9/2011 BSI – 0401	AR/ESCROW: 9/2011 BSI – 0401
AR/FUND: 9/2011 BSI – 0500	AR/FUND: 9/2011 BSI – 0500
AR/SPOUSE: 9/2011 BSI – 0600	AR/SPOUSE: 9/2011 BSI – 0600
AR/CONTACT: 9/2011 BSI – 0700	AR/CONTACT: 9/2011 BSI – 0700
AR/ROI: 9/2011 BSI – 0800	AR/ROI: 9/2011 BSI – 0800
AR/HIPPA: 9/2011 BSI – 0900	AR/HIPPA: 9/2011 BSI – 0900
AR/POA: 9/2011 BSI – 1000	AR/POA: 9/2011 BSI – 1000
AR/NONPUBLIC: 9/2011 BSI – 1100	AR/NONPUBLIC: 9/2011 BSI – 1100
AR/DEATHCERT: 9/2011 BSI – 1200	AR/DEATHCERT: 9/2011 BSI – 1200
AR/BENE: 9/2011 BSI – 1300	AR/BENE: 9/2011 BSI – 1300
AR/PHYS: 9/2011 BSI – 1400	AR/PHYS: 9/2011 BSI – 1400
AR/LIENS: 9/2011 BSI – 1500	AR/LIENS: 9/2011 BSI – 1500
AR/TRUST: 9/2011 BSI – 1600	AR/TRUST: 9/2011 BSI – 1600
AR/FEE: 9/2011 BSI – 1700	AR/FEE: 9/2011 BSI – 1700
AR/FEE: 9/2011 BSI – 1701	AR/FEE: 9/2011 BSI – 1701
AR/ACK: 9/2011 BSI – 1800	AR/ACK: 9/2011 BSI – 1800
AR/BROKER: 9/2011 BSI – 1900	AR/BROKER: 9/2011 BSI – 1900
AR/SIGNAFF: 9/2011 BSI – 2000	AR/SIGNAFF: 9/2011 BSI – 2000
AR/AMEND: BSI	AR/AMEND: BSI
AR/BSI_BID_FORM	AR/BSI_BID_FORM
AR/BSI_DECLINE_LETTER	AR/BSI_DECLINE_LETTER

X Sarah C Loy
 (Signature)

Sarah C Loy
 (Printed Name)

7-3-2012
 (Date)

**CHANGES THAT WILL BE
MADE TO THE FORMS**

**NO MATERIAL CHANGES WILL
BE DONE TO THE CONTENT OF
ANY OF THE FORMS**

EXAMPLE OF PREVIOUS FORM

BERKSHIRE SETTLEMENTS

Spouse's Release and Consent

Document Completion Instructions

1. Please review the document
2. Please do **NOT** date the first paragraph of the agreement.
3. Please have the Owner's spouse sign, print their name and date the document where indicated
4. Please have the document notarized by a qualified public notary.

EXAMPLE OF NEW FORM



Spouse's Release and Consent

Document Completion Instructions

1. Please review the document
2. Please do **NOT** date the first paragraph of the agreement.
3. Please have the Owner's spouse sign, print their name and date the document where indicated
4. Please have the document notarized by a qualified public notary.

