

State: Arkansas **Filing Company:** Aviva Life and Annuity Company
TOI/Sub-TOI: A07I Individual Annuities - Special/A07I.001 Equity Indexed
Product Name: BAA12 update 8-2012
Project Name/Number: /

Filing at a Glance

Company: Aviva Life and Annuity Company
Product Name: BAA12 update 8-2012
State: Arkansas
TOI: A07I Individual Annuities - Special
Sub-TOI: A07I.001 Equity Indexed
Filing Type: Form
Date Submitted: 08/14/2012
SERFF Tr Num: AMER-128629147
SERFF Status: Closed-Accepted For Informational Purposes
State Tr Num:
State Status: Closed-Accepted for Informational Purposes
Co Tr Num: BAA12 UPDATE 8-2012

Implementation
Date Requested:
Author(s): Jaime Gertsen, Susan Falk, Chris Cecak, Andrea Davey
Reviewer(s): Linda Bird (primary)
Disposition Date: 08/20/2012
Disposition Status: Accepted For Informational Purposes
Implementation Date:

State Filing Description:

State: Arkansas **Filing Company:** Aviva Life and Annuity Company
TOI/Sub-TOI: A071 Individual Annuities - Special/A071.001 Equity Indexed
Product Name: BAA12 update 8-2012
Project Name/Number: /

General Information

Project Name:	Status of Filing in Domicile: Authorized
Project Number:	Date Approved in Domicile:
Requested Filing Mode: Informational	Domicile Status Comments:
Explanation for Combination/Other:	Market Type: Individual
Submission Type: New Submission	Individual Market Type:
Overall Rate Impact:	Filing Status Changed: 08/20/2012
	State Status Changed: 08/20/2012
Deemer Date:	Created By: Susan Falk
Submitted By: Susan Falk	Corresponding Filing Tracking Number:
Filing Description:	
RE Form:	
BAA12 (09/09)	

Form BAA12 (09/09) was approved by the Department on 01/05/2010 under SERFF tracking number AMER-126433837

We are re-filing the actuarial memorandum associated with the above-referenced product to the Department for informational purposes. We have changed a provision in the last paragraph of the section "Balanced Allocation Strategy Components" on page 5 of the memorandum. In addition, other outdated information has been revised. A red-lined copy is included to assist with the review of the submitted information.

Please feel free to contact me with any questions.

Company and Contact

Filing Contact Information

Susan Falk, Product Compliance Analyst susan.falk@avivausa.com
 7700 Mills Civic Parkway 515-342-2933 [Phone]
 West Des Moines, IA 50266-3862

Filing Company Information

Aviva Life and Annuity Company	CoCode: 61689	State of Domicile: Iowa
555 South Kansas Avenue	Group Code: 44	Company Type: Insurance
Topeka, KS 66603	Group Name:	State ID Number:
(785) 295-4352 ext. [Phone]	FEIN Number: 42-0175020	

Filing Fees

Fee Required?	Yes
Fee Amount:	\$50.00
Retaliatory?	No
Fee Explanation:	1 form for informational purposes filing - \$50.00
Per Company:	No

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Company	Amount	Date Processed	Transaction #
Aviva Life and Annuity Company	\$50.00	08/14/2012	61669575

SERFF Tracking #:

AMER-128629147

State Tracking #:

Company Tracking #:

BAA12 UPDATE 8-2012

State:

Arkansas

Filing Company:

Aviva Life and Annuity Company

TOI/Sub-TOI:

A071 Individual Annuities - Special/A071.001 Equity Indexed

Product Name:

BAA12 update 8-2012

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Correspondence Summary

Dispositions

Status	Created By	Created On	Date Submitted
Accepted For Informational Purposes	Linda Bird	08/20/2012	08/20/2012

SERFF Tracking #:

AMER-128629147

State Tracking #:

Company Tracking #:

BAA12 UPDATE 8-2012

State:

Arkansas

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Aviva Life and Annuity Company

TOI/Sub-TOI:

A071 Individual Annuities - Special/A071.001 Equity Indexed

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Disposition

Disposition Date: 08/20/2012

Implementation Date:

Status: Accepted For Informational Purposes

Comment:

Rate data does NOT apply to filing.

Schedule	Schedule Item	Schedule Item Status	Public Access
Supporting Document	Flesch Certification		No
Supporting Document	Application		No
Supporting Document	Life & Annuity - Acturial Memo		No