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RULE 57
INSURANCE DEPARTMENT ADMINISTRATIVE AND REGULATORY FEES

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FILED
MAR 04 2016
Arkansas
Secretary of State

SECTION 1. PURPOSE.

The purpose of this Rule and Regulation is to implement and clarify the pertinent fee provisions of Act 652 of 1993, "The State Insurance Department Trust Fund Act" [codified at Ark. Code Ann. §§ 23-61-701 et seq.]; Act 901 of 1993, the Department's "Omnibus Act"; Act 787 of 1993, the "Life Care Providers Act" [codified at Ark. Code Ann. §§ 23-93-201 et seq.] and others. This Rule is designed to specify the amount, method and manner of payment of nonrefundable administrative and regulatory fees of insurers, agents and other licensees or registrants, as well as other filing fees for various Arkansas Insurance

Department ("Department") products and services, all of which are payable to "The State Insurance Department Trust Fund" ("Trust Fund").

It is also to emphasize that fees charged under current laws are not affected, diminished or altered by the provisions of this Rule. As appropriate, and to the extent fees are charged under other Arkansas laws for the same product, process or service as to which a fee is to be paid pursuant to the Acts referenced herein and this Rule and Regulation, this Rule is to provide for the payment of all of such fees in a coordinated, consistent manner.

SECTION 2. AUTHORITY; SCOPE AND APPLICATION.

(a) AUTHORITY. The Insurance Commissioner hereby promulgates and issues this Rule and Regulation under his authority pursuant to the provisions of Ark. Code Ann. §§23-61-701 et seq., 23-61-108, 25-15-201 et seq., and other applicable Arkansas laws.

(b)(1) SCOPE AND APPLICATION.This Rule or any applicable parts shall apply to insurers, agents and other current or former licensees, permittees, registrants, and applicants for licensure of the Insurance Commissioner. Such licensees, registrants or permittees or applicants for licensure shall include but not be limited to:

(A) Insurers and Similar Entities: All licensed domestic, foreign and alien insurance companies, including but not limited to stock and mutual insurers, title insurance companies, mutual assessment life and disability insurers, reciprocal insurers, approved but not admitted surplus line insurers, health maintenance organizations ("HMO's"), fraternal benefit societies, hospital and medical service corporations, stipulated premium insurers, farmers' mutual aid associations ("FMAA's"), prepaid legal insurers, advisory/rate service organizations, property and casualty JUA's, associations, pools and syndicates not qualifying as advisory organizations under §§23-67-101, et seq.;

(B) Auto Clubs: All licensed automobile clubs or associations;

(C) Agents and Brokers: All licensed resident and non-resident insurance agents, brokers, viatical settlement agents and brokers, consultants, risk retention group agents and purchasing group brokers, HMO producers, prepaid legal insurance agents, FMAA agents, all licensed resident and non-resident insurance agencies;

(D) TPA's: All registered third party administrators ("TPA's");

(E) Education Course Providers: All current approved course providers for agent pre-licensure and continuing education;

(F) Fraternals: All licensed fraternal benefit society agents, excluding all officers, members, employees or other representatives exempt from examination or State licensure by statute;

(G) Adjusters: All licensed resident and non-resident adjusters and limited adjusters;

(H) Surplus Lines: All licensed resident surplus line brokers, and all licensed surplus line brokers for purchasing groups only;

(I) Continuing Care Facilities;

(J) Notary Bond Surety Corporations;

(K) Managing General Agents/Agencies;

(L) Reinsurance Intermediaries and Accredited/Trusteed Reinsurers;

(M) Professional Employer Organizations and employer Service Assurance Organizations; and

(N) Others: as mentioned elsewhere in this Rule, or others as applicable.

All persons and entities referred to in paragraphs (b) (1) (A) through (b) (1) (N) may sometimes hereinafter be referred to collectively as "Licensees, Registrants, or Permittees."

(2) All administrative and regulatory fees set forth in this Rule and Regulation are in addition to any other fees imposed under current laws and rules, and shall be paid coincident with the payment of other fees currently owing. However, where practical and as a convenience to the reader, certain statutory fees are referenced within this Regulation to assist the reader in determining the appropriate fee amount, when both the statute and this Regulation charge different fees for the same services. Note also, that a few fees referenced herein list an annual or biennial fee amount but may be due on an annual or biennial schedule.

(3) The Commissioner in his discretion may waive all or any part of any fee assessed under this rule if: (A) the Certificate of Authority, license, permit or registration is suspended or revoked; (B) if the Commissioner finds that the licensee, permittee or registrant is impaired or insolvent, or its continuing operations are hazardous to the public of this State; or (C) if the licensee, permittee or registrant is under domiciliary department supervision of this or another State, or court-ordered conservation, rehabilitation, liquidation or has filed for bankruptcy under Chapter 11 of Title 11, United States Code. Any licensee, permittee, registrant or its domiciliary conservator, rehabilitator, liquidator, or trustee in bankruptcy may request a fee waiver in writing; but the Commissioner may not consider waivers for those failing to file a written request therefor. Upon activation or reinstatement of any suspended certificate of authority, license, permit or registration, or upon approval of a bankruptcy reorganization plan or successful release from conservation or rehabilitation, then the licensee, permittee, or registrant shall automatically commence reporting and payment of any of the fees in this Rule applicable to resumed Arkansas operations under the license, permit or registration. Trust Fund reimbursement for fees which would have been required and assessed but for the waiver during that period shall not be required. Upon failure of any such person, firm, or corporation to file for waiver or pay the fees when due, or upon the Commissioner's denial of the requested waiver, the Commissioner may file his claim on behalf of the Trust Fund against any deposit or other asset of the person, firm or corporation as permitted by insurance and other applicable laws of this State.

(4) It is the intent of the Commissioner not to charge fees for filings which are made with the Department for "informational purposes only", and which are otherwise exempt from filing or not expressly required to be filed by statute, regulation, or order. The Commissioner in his reasonable discretion, reserves the right to determine whether, in fact, a particular filing is truly for informational purposes only.

SECTION 3. EFFECTIVE DATE.

The provisions of this Rule shall become effective ~~February 1,~~ April 11, 2016, upon statutory filing per Arkansas law.

SECTION 4. DERIVATION AND CLASSES OF FEES.

(a) Ark. Code Ann. §§23-61-701 et seq. provides the Department's authority to generate revenue to support its operations by the imposition of fees; it also divides certain of those fees into two categories, i.e. Category A and Category B. Fees in Category A are those involving material or substantive corporate transactions or those which consume substantial time of Department staff; those fees are capped at a maximum of $1,500 per transaction. Category B fees, on the other hand, are those involving other Departmental filings, transactions or services which do not require a substantial effort by Department staff; those fees are capped at a maximum of $50 per transaction.

(b) Caution: §§23-61-701 et seq. and other acts/statutes referenced above, authorize the imposition of other fees set forth in the Rule and Regulation and which are not subject to the respective Category A and Category B "caps".

SECTION 5. INSURERS (See Section 2(b)(1)(A).

SUBSECTION I.
CATEGORY "A" FEES (Maximum $1500)
(Per Covered Entity, filing, or transaction)

DEPARTMENT SERVICE OR PRODUCT FEE AMOUNTS

(a) Authorized or Licensed Insurers.

(1) Department expense for independent actuarial review of previously disapproved rate/rule filing set for hearing at filer's request (excluding Workers' Compensation which is not subject to a monetary cap)
EXPENSE AS INCURRED BUT NOT TO EXCEED----------$1500

(2) Filing and review of each Bulk or Assumption Reinsurance Agreement----------$ 500

(3) Filing and review of all documents necessary for issuance of Certificate of Incorporation for Domestic Insurers----------$ 500
(Coupled with the $100 fee in Ark. Code Ann. §23-61-401(1)(A) the total fee due is $600.)

(4) Reviewing all documents necessary for issuance of Original Certificate of Authority for all Companies---------- $ 500
(Coupled with the $500 fee in Ark. Code Ann. §23-61-401(1)(C) the total fee due is $1000.)

(5) Filing notice of appointment of Managing General Agent/Agency or Notice of Termination of Managing General Agent/Agency----------$ 500

(6) Review/processing of information necessary to amend an Arkansas Certificate of Authority----------$ 400
(Coupled with the $100 fee in Ark. Code Ann. §23-61-401(3)(C) the total fee due is $500.)

(7)(A) Filing and review of independent rate filings, initial filing to adopt an Advisory Organization's reference filing for loss costs, or filing to change the loss cost multiplier already on file, including companion rule filings required to implement the rate filings (P, C, S, M)----------$ 100
("P, C, S, M" signifies property, casualty [including workers' compensation], surety and marine insurance and all other specific lines identified at Ark. Code Ann. §§23-62-104 through 23-62-108.)

(B) Filing to adopt an Advisory Organization's reference for loss costs with no changes to company's loss cost multiplier already on file, including companion rule filing required to implement the new loss cost filing----------$ 50

(8) Issuance of an Original Certificate of Incorporation for Domestic Insurers----------$ 150
(Coupled with the $50 fee in Ark. Code Ann. §23-61-401(1)(B) the total fee due is $200.)

(9) Issuance of Original Certificate of Authority for all Companies---------- $ 150
(Coupled with the $150 fee in Ark. Code Ann. §23-61-401(1)(D) the total fee due is $300.)

(10) Review and processing of amended Articles of

Incorporation, each filing, each insurer----------$ 75
(Coupled with the $25 fee in Ark. Code Ann. §23-61-401(3)(A) the total fee due is $100.)

(11) Review/ processing of information necessary to amend an Arkansas Certificate of Authority for an FMAA. $150
(12) Review any Corrective Action Plan filed by a licensee up to $1500
(13) Review of custodial agreements for broker dealers & banks Under AID Rule 26 $250
(14) Review and processing application for Viatical Settlement Provider license and issuance of initial and renewal license $500

(b) Approved Non-Admitted Surplus Line Insurer.
Annual continuation of foreign surplus line company registration $500
(c) Accredited/Trusteed Reinsurer
Initial registration and annual renewal for Accredited or Trusteed Status $500

SUBSECTION II.
CATEGORY "B" – MAXIMUM $50
(Per Covered Entity, Filing or Transaction)

ADMINISTRATIVE AND REGULATORY FEES FEE AMOUNTS

(a) Compliance.
(1) Filing or review of policy/contract, endorsements or certificates, riders, applications, or annuity forms, per submission (not per form)----------$ 50
*Note that a Service purchaser of an Advisory Organization must file the purchased Form for review.
(2) Filing/review of each Life and/or Disability rate filing or loss ratio guarantee filing, per form----------$ 50
(3) Filing of an independent rule filing or to adopt a reference or item filing of Advisory Organization, per item (excluding loss cost reference filing)----------$ 50
(4) Filing each set of new/amended or restated By-laws, per insurer, per filing---------- $ 50
(5) Life and/or Disability: Filing/review of insurer's advertisements, per advertisement, per each insurer----------$ 50
(6) Policy, contract or annuity forms: Filing and review of each life and/or disability certificate rider, application, or endorsement, if filed separately from basic form, per insurer---------- $ 50
(7) Policy and contract forms, all lines, filing corrections in previously filed policy and contract forms----------$ 50
(8) Filing of each insurer address change, not involving an amendment to a Certificate of Authority or Articles of Incorporation, per filing, per insurer----------$ 50
(9) Filing of Department Forms I-71 as to

cancellation/nonrenewal of all appointed insurance agents and agencies after a Name change or after Merger or Consolidation of two or more insurers----------$ 10

(10) Each filing of an individual Department Form I-71 as to cancellations/nonrenewals of agent appointments, each appointment, each insurer----------$ 10

(11) Preparation and execution of Certificates of Compliance for insurers----------$ 15 (Coupled with the $5 fee in Ark. Code Ann. §23-61-401(14)(B) the total fee due is $20.)

(12) Filing I-48 agent appointment forms for all appointed insurance agents and agencies in the new name of an insurer after a Name Change or after Merger or Consolidation of two or more insurers----------$ 10

(b) Insurers' appointments of Insurance Agents and Agencies. In addition to and as an increase of all other current agent license fees paid by insurers and others in connection with initial and renewal agent appointments under the Insurance Code or other applicable laws or rules, the following additional fees are hereby assessed insurers.

All PRODUCERS AND AGENCIES must note that even though statutorily, appointment fees are payable by insurers and even though Ark. Code Ann. §23-61-708(d) makes it clear that this economic burden must be borne by insurers, it is, nonetheless, the dual responsibility of agents and agencies to make sure that appointments are kept current.

(1) Initial appointment of non-resident insurance agent ----------$ 60

(2) Initial appointment of non-resident agency, including one (1) qualifying individual----------$ 60

(3) Annual fee for insurer's continuing appointment of non-resident insurance agent----------$ 60

(4) Annual fee for insurer's continuing appointment of non-resident agency, including one (1) qualifying individual-------$ 60

(5) Initial appointment and annual continuation of resident agent, individuals only, for full line appointments (life, disability and multi-line)----------$ 10

(6) Initial appointment and annual continuation of resident agent, individuals only, for limited line appointments----------$ 10

(7) Initial appointment and annual continuation of each resident agency, including one (1) qualifying individual, for full line appointments (life, disability and multi-line)----------$ 10

(8) Initial appointment and annual continuation of each resident agency, including one (1) qualifying individual, for limited line appointments----------$ 10

(9) Pre-licensing Electronic Education Fee, per hour of instruction---$ 1.00. See Section Twenty-Six (26) of this Rule addressing the duration of this fee.

(See Ark. Code Ann. §23-61-401 for additional agent/agency fees. The statutory fee for appointment of resident producers is $10. There is no statutory fee for the appointment of non-resident producers)

SECTION 6. ADMINISTRATIVE AND REGULATORY ANNUAL FEE

PRODUCERS, AGENCIES, BROKERS, VIATICAL SETTLEMENT AGENTS AND BROKERS, ADJUSTERS, LIMITED ADJUSTERS, CONSULTANTS, RISK RETENTION

GROUP AGENTS, PURCHASING GROUP BROKERS, SURPLUS LINE BROKERS, SURPLUS LINE BROKERS FOR PURCHASING GROUPS ONLY, HMO PRODUCERS, PREPAID LEGAL INSURANCE AGENTS, FRATERNAL BENEFIT SOCIETY AGENTS, FMAA AGENTS, AND THIRD PARTY ADMINISTRATORS

(a) Effective until January 1, 2011, all initial licenses and all renewal licenses for the above referenced licensees will be issued on an annual basis and the annual administrative and regulatory fee will be collected annually. All individual licensees shall pay the annual administrative and regulatory fee to renew their licenses on or before their birthday and annually on their birthday thereafter. All entities shall pay the annual administrative and regulatory fee to renew their licenses on or before October 1 of each subsequent year. $35

(b) Effective January 1, 2011, the annual administrative and regulatory fee will be collected biennially under the following schedule:

(1) The renewal of licenses will be based on the licensee's birthday. For licensees with a birth year ending in an odd number, their license will be renewed for a period of two years and will have an expiration date of 2013. Accordingly, these licensees will pay two annual fees at renewal. For licensees with a birth year ending in an even number, their license will be renewed for an initial period of one year with an expiration date of 2012. Accordingly, these licensees will only pay one annual fee at this time. When their licenses are renewed in 2012, those licenses will be for a period of two calendar years with an expiration date of 2014.

(2) For all new licenses issued on or after January 1, 2011, the licenses will be issued for a period of two calendar years and the licensee will pay two annual fees. Licenses issued in 2011 will expire in 2013.

(3) All licenses issued or renewed for a period of two calendar years will expire on the licensee's birth date in the calendar year of expiration.

(4) For licenses issued to business entities licensed as producers and surplus lines brokers, and third party administrators, the initial license and the renewal license will be issued for a period of one year. The annual administrative and regulatory fee must be paid on or before October 1 of every year in order to renew a business entity producer license. The renewal date for surplus lines brokers license for business entities and third party administrators is January 1 of every year.

(c) TYPE OF LICENSE: The phrase "type of license" refers to the particular kind of license held by the licensee rather than the type or line of business the license authorizes the licensee to transact. Thus, an agent authorized to transact one or more lines of insurance still has only one license, and the applicable fee is $35. If however, the same licensee also holds a broker's license and a surplus line broker's license (a total of 3 licenses), the licensee would owe three (3) separate fees of $35 each, for a total of $105.

(d) Effective July 31, 2009, Section 1 of Act 901 of 1993 was repealed. Accordingly, insurers may pay the license fees set forth above and any statutory license fees.

SECTION 7. INSURANCE HOLDING COMPANY TRANSACTIONS.

(Fees below are per each holding company, not per each licensed insurer.)

All Category A Fees

(a) Filing and review of each Form A on Acquisitions/Change in Control (DOMESTIC ONLY)----------$1000

(b) Filing and review of each Request for Exemption from Filing

of Registration Statements or Amendments (FORM B)
(FOREIGN & ALIEN COMPANIES)----------$ 100

(c) Filing/review of Holding Company Registration Statement
(Forms B and C) (all companies)----------$ 100

(d) Filing and review of Amendments to Holding Company
Registration Statements, including annual refiling_(Form B) (all companies)-----$ 50

(e) Filing Pre-Acquisition Notifications (Form E) or requests for
exemptions therefrom per Ark. Code Ann. §§23-63-525 - 530----------$ 100

(f) Filing and Review of Registration Statements – Material
Transactions with Affiliates (Form D) (Domestics)----------$ 100

SECTION 8. HEALTH MAINTENANCE ORGANIZATIONS.

(a) Filing Pre-Acquisition Notification for Mergers/Charter Sales
and Bulk Reinsurance Agreements Category A Fee----------$ 100

(b) Filing and review of provider contracts, per HMO, per contract
Form Category B Fee----------$ 50

(c) Filing/Review of Enrollee's Certificate Category B Fee----------$ 50
(See Ark. Code Ann. §23-76-127 for additional HMO fees.)

SECTION 9. RATE SERVICE OR ADVISORY ORGANIZATIONS
All Category A Fees

(a) Department Expense for independent actuarial review of
previously disapproved rate/rule filing set for hearing at the
request of the advisory organization (excluding Workers'
Compensation which is not subject to a monetary cap.
EXPENSE AS INCURRED BUT NOT TO EXCEED----------$1500

(b) Filing and review of loss cost filings per Advisory Organization
(P, C, S, M)----------$ 250

(c) Filing and review of a policy/contract, endorsement or
certificate, per item (P, C, S, M)----------$ 100
*Maximum fee of $500

(d) Rule Filings – Filing Minor Rate Component Modifications----------$ 50

(e) Review/ issue renewal license----------$ 100
This is in addition to the renewal fee in Ark. Code Ann. §23-61-401.

SECTION 10. RISK RETENTION GROUPS.

(a) Initial Registration Fee Category A Fee ----------$ 250

(b) Renewal Registration Fee (MARCH 1ST) Category A Fee--- ----------$ 100

(c) Filing Annual Statement Category B FEe----- ----------$ 50

(d) Filing and review of any information that changes any information
required in the application for a certificate of registration ----------$ 100

(e) Filing/ review of name change or address change Category B Fee ----------$ 25

SECTION 11. PURCHASING GROUPS.

(a) Initial Registration Fee Category A Fee----------$ 150

(b) Renewal Registration Fee (MARCH 1ST) Category A Fee----------$ 100

SECTION 12. AUTOMOBILE CLUBS OR ASSOCIATIONS.

(a) Filing Annual Financial Statement (APRIL 1ST) Category A Fee----------$ 100

(b) Form Filing, per club, per form Category B Fee----------$ 50

(c) Review of all documents necessary for and issuance of certificate
of authorization Category A Fee----------$ 250

SECTION 13. THIRD PARTY ADMINISTRATORS.

All new applicants for registration as a third party administrator
("TPA"), and all previously registered TPA'S shall pay the

following filing and processing fee for issuance/renewal of each certificate of registration, in addition to all other registration fees imposed by §§23-92-201, et seq., other fees addressed in this Rule, or fees imposed under other sections of Arkansas law. The fees imposed under this Section and any others as required shall accompany the TPA application for the initial registration, or renewal. Category B Fee----------$ 40
(Coupled with the $25 fee in Ark. Code Ann. §23-92-203 and the $35 administrative and regulatory fee in Section 6 the total fee due is $100.)

SECTION 14. SERVICE CONTRACT PROVIDERS
(a) Filing changes to the registration required in Ark. Code Ann. §4-114-104(c)(1) Category B Fee----------$ 50

SECTION 15. CONTINUING CARE FACILITIES
(a) Filing Annual Disclosure Statement, each statement, per each facility/provider and/or appointing Commissioner as agent for service of process, each provider Category A Fee ----------$ 100
(b) Filing and review of initial registration application, for each facility/provider Category A Fee----------$ 250

SECTION 16. REINSURANCE INTERMEDIARIES.
(a) Filing initial application for license as reinsurance intermediary-manager----------$ 500
(b) Filing initial application as reinsurance intermediary-broker----------$ 300
(c) Annual renewal fee for reinsurance intermediary-manager----------$ 100
(d) Annual renewal fee for reinsurance intermediary-broker----------$ 75
(e) Designation of Commissioner as Agent for service of process for non-resident manager or broker----------$ 75

SECTION 17. COURSE PROVIDERS FOR AGENT PRE-LICENSING AND CONTINUING EDUCATION: ANNUAL REGISTRATION AND FEES.
(a) Annual Registration. Pursuant to Ark. Code Ann. §§23-61-701 et seq., all approved course providers for agent pre-licensing and continuing education shall register on September 1st annually with the Department on forms prescribed by the Insurance Commissioner
(b) Fees.
(1) Pre-Licensing Education.
Each original registration and/or annual renewal of a course provider for agent pre-licensing education. Category A Fee- $ 100

(2) Continuing Education.
Each original registration and/or annual renewal of a course provider for agent continuing education. Category A Fee--$ 100

SECTION 18. MANAGING GENERAL AGENTS/AGENCIES.
Filing application for initial licensure and annual renewal----------$ 500
Category A Fee

SECTION 19. NOTARY BOND SURETY CORPORATIONS.
Initial and/or annual renewal of registration as Notary Bond Surety

Corporation Category B Fee----------$ 50

SECTION 20. MISCELLANEOUS FEES.

(a) Category A Fees

(1) Department Compilations to Survey, Questionnaire, Report and Data Compilation Requests (excluding government agencies)---$ 250

(2) Filing & processing of Security Deposit releases---------- -----$ 75

(b) Category B Fees

(1) Adding/ deleting individual producers from agency licenses --$10

(2) Filing substitute securities for Security Deposit releases, various Licensees----------$ 50

(3) Service of Process, 2 copies of each legal pleading or process, per insurer, other licensee, or other entity doing business in Arkansas under statutes requiring Commissioner's service duties, per transaction----------$ 25

(4) Monies collected for checks issued to the Department which are returned to payor for insufficient funds----------$ 20

(5) Security deposit confirmations, per confirmation----------$ 10

(6) Certificate for securities on deposit----------$ 10

(7) Certification of documents---------- $ 5
(Coupled with the $5 fee in Ark. Code Ann. §23-61-401(14)(B) the total fee due is $10.)

(8) Certificates of valuation----------$ 10

(9) Letters of clearance/certification. Per license and per letter-----$ 5

(10) Filing and review of name or address change for business entities----------$ 10

(11) Copy fee for all documents including FOIA requests (per copy) $ .25

SECTION 21. INFORMATION SYSTEMS SERVICES.

(a) Licensed Company Listings With Agent Appointments

	Paper	Disk/CD/E-Mail
1. Life & Disability Cos.	$240	$170
2. Property & Casualty Cos.	$240	$170
3. Single Company	$10	$5

(b) Licensed Company Listings Without Agent Appointments

	Paper/Disk/CD/E-Mail
1. All Companies	$50
-Foreigns	$45
-Domestics	$5
2. Life & Disability	$25
-Foreigns	$20
-Domestics	$5
3. Property & Casualty	$25
-Foreigns	$25
-Domestics	$5
4. Fraternal Benefit Societies	$5
5. Farmers Mutual Aid Assns.	$5
6. Title Insurers	$5
7. MET's & MEWA's	$5
8. Third Party Administrators	$5
9. Purchasing Groups	$5

10. Risk Retention Groups	$5
11. Surplus Lines Insurers	$5
12. Health Maintenance Orgs.	$5
13. Auto Clubs or Assns.	$5
14. Surety Insurers	$5
15. Notary Bond Surety Cos.	$5
16. Workers' Comp. Cos.	$5
17. Employee Leasing Cos.	$5
18. Acc./Trust. Reinsurers	$5
19. Viatical Providers	$5

(c) Licensed Agent Listings With Company Appointments

	Paper	Disk/CD/E-Mail
1. Life & Disability Agents	$240	$170
2. Property & Casualty Agents	$240	$170
3. Single Agent	$10	$5

(d) Licensed Agent Listings Without Company Appointments

	Paper	Disk/CD/E-Mail
1. All Agents	$50	$50
2. Life & Disability Agents	$30	$20
3. Life Agents	$15	$15
4. Disability Agents	$15	$15
5. Multi-line Agents	$30	$20
6. Brokers	$10	$10
7. Consultants	$5	$5
8. RRG Agents	$5	$5
9. Purchasing Group Brokers	$5	$5
10. Adjusters	$5	$5
11. Surplus Lines Brokers	$5	$5
12. Managing General Agents	$5	$5
13. Reinsurance Intermediaries	$5	$5
14. Viatical Brokers	$5	$5

(e) Licensed Agency Listings With Company Appointments

	Paper	Disk/CD/E-Mail
All Agencies	$240	$170

(f) Licensed Agency Listings Without Company Appointments

	Paper	Disk/CD/E-Mail
All Agencies	$75	$50

If partial lists of licensees or licensees of a limited line or category are requested, the Commissioner may charge a reasonable fee less than the foregoing amounts.

*Large reports will only be done on CDs.
*Reports on labels will be $4 extra per 1,000.

SECTION 22. TRUST FUND DEPOSIT REQUIRED.

All fees imposed pursuant to this Rule and Regulation SHALL BE DUE AND PAYABLE TO THE "STATE INSURANCE DEPARTMENT TRUST FUND". Fees paid

pursuant to this Rule shall whenever possible, be directed to the specific Division of the Department handling the filing, or as otherwise directed by the Department.

All of the fees required by this Rule shall be payable by COMPANY, AGENT OR AGENCY CHECKS, PERSONAL, CERTIFIED OR CASHIER'S CHECKS, CASH OR MONEY ORDERS. As noted above, where both current Code and this Rule require a fee as to the same product, filing or service, both may be combined in one payment payable to "THE STATE INSURANCE DEPARTMENT TRUST FUND".

SECTION 23. CUMULATIVE/NONREFUNDABLE FEES

All fees and penalties imposed under this Rule are cumulative and in addition to any other licensure, exam, appointment, or registration fee, tax, premium tax, assessment, fine or penalty required by the provisions of any other Arkansas Code or Rule Section. To that extent, the provisions of this Rule are not designed to or intended to affect, interfere with or otherwise supersede other fee or penalty collections and deposits pursuant to other Arkansas Law or Rule Provisions.

SECTION 24. SCRIVENERS' ERRORS.

The Commissioner may, in his discretion, waive any fee set forth in this Rule and Regulation for the filing of any document or thing, if he determines that such filing is, in fact, a re-filing made solely to correct scriveners' or other errors. In such event, nonetheless, the Commissioner may charge a reasonable "processing fee" which shall not exceed $50.

SECTION 25. PENALTIES.

(a) GENERAL. Upon failure of the applicant, licensee, registrant, permittee, or other person to pay the fees required by this Rule and Regulation or to pay them timely when due, absent an extension granted by the Commissioner, the Commissioner may after notice and a hearing and in his reasonable discretion, deny the license, registration or permit or Certificate of Authority requested (or renewal license, registration, permit or Certificate of Authority requested) in his order, or may order suspension or revocation of the delinquent person's license, permit, registration or Certificate of Authority. If the Commissioner orders a suspension, it shall continue until all fees and penalties are remitted to "The State Insurance Department Trust Fund", up to a full twelve (12) months, at which time the Commissioner may in his discretion order continuation of the suspension for an additional twelve (12) months, or may order revocation or cancellation of the license, registration, permit, or Certificate of Authority for violations of his Order and this Rule and Regulation. All such licensees, registrants and permittees upon written request shall have the right to and shall be granted a hearing before the Commissioner or his designee, pursuant to Ark. Code Ann. §23-61-303(b), unless voluntarily waived.

(b) INSURERS. Absent the Commissioner's approval of a time extension for good cause shown on or before the due date, all licensed insurers failing timely to report and/or pay the fees when due may be subject to an automatic penalty of One Hundred Dollars ($100) a day for each day of delinquency, payable to "The State Insurance Department Trust Fund".

(c) CIVIL OR ADMINISTRATIVE ACTION. The Commissioner on behalf of "The State Insurance Department Trust Fund" may pursue any civil cause of action for collection of the fees and penalties due under this Rule, or may pursue any claims against a security deposit as the Commissioner is entitled to make, or may reject or refuse to accept or disapprove any companion filing or license request, or may pursue any combination of these remedies as appropriate. In the event the Commissioner pursues a civil cause of action on behalf of "The State Insurance Department Trust Fund", he shall be entitled to request and recover all costs of collection, including reasonable attorney fees and expenses, if and as incurred.

(d) DOCTRINE OF ELECTION OF REMEDIES. The doctrine of election of remedies shall not be imposed against the Commissioner; i.e. he is entitled to seek all appropriate administrative and judicial remedies in protection of "The State Insurance Department Trust Fund" and the public interest.

SECTION 26. SUNSET CLAUSE ON SECTION FIVE (5), SUBSECTION (2)(b)(9) FEE. The provision in Section Five (5), Subsection (2)(b)(9) of this Rule requiring the payment of a pre-licensing electronic education fee of $1.00 (one dollar) per hour shall expire on March 1, 2018 unless otherwise extended, amended, or rescinded before such date pursuant to rule or state law.

SECTION 27. SEVERABILITY.

If any provision of this Rule or the application thereof to any person or circumstance is held invalid, such invalidity shall not affect other provisions or applications of this Rule which can be given effect without the invalid provisions or application, and to this end, the provisions of this Rule are declared to be severable.

ALLEN KERR
INSURANCE COMMISSIONER
STATE OF ARKANSAS

3-3-16
DATE