

Filing at a Glance

Company: St. Paul Fire and Marine Insurance Company

Product Name: ERISA Fidelity Forms - Enhancement filing 2007-06-0080 SERFF Tr Num: TRVE-125249789 State: Arkansas

TOI: 23.0 Fidelity SERFF Status: Closed State Tr Num: AR-PC-07-025685
Sub-TOI: 23.0000 Fidelity Co Tr Num: 2007-06-0080-SPFM State Status:
Filing Type: Form Co Status: Reviewer(s): Betty Montesi,
Llyweyia Rawlins, Brittany Yielding
Authors: Socorro Armstrong, Disposition Date: 08-06-2007
Theresa Lavenburg, Michelle Smith
Cotto, Celina Caez
Date Submitted: 08-03-2007 Disposition Status: Approved

Effective Date Requested (New): 08-30-2007

Effective Date (New): 08-30-2007

Effective Date Requested (Renewal): 08-30-2007

Effective Date (Renewal): 08-30-2007

General Information

Project Name: ERISA Fidelity Forms - Enhancement filing 2007-06-0080

Status of Filing in Domicile:

Project Number: 2007-06-0080

Domicile Status Comments:

Reference Organization:

Reference Number:

Reference Title:

Advisory Org. Circular:

Filing Status Changed: 08-06-2007

State Status Changed: 08-03-2007

Deemer Date:

Corresponding Filing Tracking Number:

Filing Description:

Our Company Filing Number: 2007-06-0080

Form Filing

Fidelity

ST. PAUL FIRE & MARINE INSURANCE COMPANY 3548-24767

This is an independent filing on behalf of St. Paul Fire & Marine Insurance Company.

In compliance with the insurance laws and regulations of your state, and the Employee Retirement Income Security Act of 1974 (ERISA) and the Pension Protection Act of 2006 (PPA 06) our company submits one (1) general use endorsement. The purpose of the filing is to conform to the new ERISA bonding requirements that require a \$1,000,000 limit for Insured Plans that hold employer securities. The requirements go into effect January 1, 2008.

This filing consists of the following endorsement:

FDB-1008 Ed. 06-07, for use with the Fiduciary Dishonesty Bond product (FDB-1001 5/04).

Company and Contact

Filing Contact Information

Michelle Smith Cotto, Regulatory Analyst MSMITHCO@travelers.com
One Tower Square (860) 277-2345 [Phone]
Hartford, CT 06183 (860) 277-3937[FAX]

Filing Company Information

St. Paul Fire and Marine Insurance Company CoCode: 24767 State of Domicile: Minnesota
One Tower Square Group Code: 3548 Company Type:
Hartford, CT 06183 Group Name: State ID Number:
(860) 277-4045 ext. [Phone] FEIN Number: 41-0406690

Filing Fees

Fee Required? Yes
Fee Amount: \$50.00
Retaliatory? No
Fee Explanation:
Per Company: No

COMPANY	AMOUNT	DATE PROCESSED	TRANSACTION #
St. Paul Fire and Marine Insurance Company	\$50.00	08-03-2007	14942327

Correspondence Summary

Dispositions

Status	Created By	Created On	Date Submitted
Approved	Llyweyia Rawlins	08-06-2007	08-06-2007

Disposition

Disposition Date: 08-06-2007

Effective Date (New): 08-30-2007

Effective Date (Renewal): 08-30-2007

Status: Approved

Comment:

Rate data does NOT apply to filing.

Created by SERFF on 08-07-2007 08:14 AM

Item Type	Item Name	Item Status	Public Access
Supporting Document	Uniform Transmittal Document-Property & Casualty	Approved	Yes
Supporting Document	Cover Letter	Approved	Yes
Form	ERISA Required Bond Limit	Approved	Yes

Form Schedule

Review Status	Form Name	Form #	Edition Date	Form Type Action	Action Specific Data	Readability	Attachment
Approved	ERISA Required Bond Limit	FDB1008 Ed. 06-07		Endorseme New nt/Amendm ent/Condi tions		0.00	FDB1008.pdf

The following spaces preceded by an (*) need not be completed if this endorsement or rider and the Bond or Policy have the same inception date.

ATTACHED TO AND FORMING PART OF BOND OR POLICY NO.	DATE ENDORSEMENT OR RIDER EXECUTED	* EFFECTIVE DATE OF ENDORSEMENT OR RIDER 12:01 A.M. LOCAL TIME AS SPECIFIED IN THE BOND OR POLICY
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* ISSUED TO

ERISA Required Bond Limit

It is agreed that:

1. Item 5. of the Declarations is hereby deleted and replaced by the following:

ITEM 5 Single Loss Occurrence Limit of Liability For Each **Insured Plan**: The maximum **Single Loss Occurrence** limit of liability for each **Insured Plan** shall be:

i) if the **Insured Plan** does not hold employer securities within the meaning of 29 U.S.C. § 1107(d)(1), 10% of the amount of the **Insured Plan's Property Handled** by the **Fiduciary** at the beginning of the **Insured Plan's** fiscal year or \$500,000.00, whichever is less, but in no event less than \$1,000.00, or

ii) if the **Insured Plan** holds employer securities within the meaning of 29 U.S.C. § 1107(d)(1), 10% of the amount of the **Insured Plan's Property Handled** by the **Fiduciary** at the beginning of the **Insured Plan's** fiscal year or \$1,000,000.00, whichever is less, but in no event less than \$1,000.00.

2. Section 3. **LIMIT OF LIABILITY (b)** is hereby deleted and replaced by the following:

(b) Single Loss Occurrence Limit of Liability For Each **Insured Plan**: The maximum **Single Loss Occurrence** limit of liability for each **Insured Plan** shall be:

i) if the **Insured Plan** does not hold employer securities within the meaning 29 U.S.C. § 1107(d)(1), 10% of the amount of the **Insured Plan's Property Handled** by the **Fiduciary** at the beginning of the **Insured Plan's** fiscal year or \$500,000.00, whichever is less, but in no event less than \$1,000.00, or

ii) if the **Insured Plan** holds employer securities within the meaning of 29 U.S.C. § 1107(d)(1), 10% of the amount of the **Insured Plan's Property Handled** by the **Fiduciary** at the beginning of the **Insured Plan's** fiscal year or \$1,000,000.00, whichever is less, but in no event less than \$1,000.00.

Nothing herein contained shall be held to vary, alter, waive, or extend any of the terms, conditions, provisions, agreements or limitations of the above mentioned Bond or Policy, other than as above stated.

By

Authorized Representative

Rate Information

Rate data does NOT apply to filing.

Supporting Document Schedules

Satisfied -Name: Uniform Transmittal Document-
Property & Casualty

Review Status: Approved 08-06-2007

Comments:

Attachments:

2007 PC NAIC Transmittal (generic) (2).pdf
2007 NAIC Form List.pdf

Satisfied -Name: Cover Letter

Review Status: Approved 08-06-2007

Comments:

Attachment:

AR St Paul Fire and Marine Letters 4.pdf

19. Status of filing in domicile	<input checked="" type="checkbox"/> Not Filed	<input type="checkbox"/> Pending	<input type="checkbox"/> Authorized	<input type="checkbox"/> Disapproved
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Property & Casualty Transmittal Document—

20. This filing transmittal is part of Company Tracking #	2007-06-0080
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21. Filing Description [This area can be used in lieu of a cover letter or filing memorandum and is free-form text]
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Our Company Filing Number: 2007-06-0080

Form Filing

Fidelity

ST. PAUL FIRE & MARINE INSURANCE COMPANY

3548-24767

This is an independent filing on behalf of St. Paul Fire & Marine Insurance Company.

In compliance with the insurance laws and regulations of your state, and the Employee Retirement Income Security Act of 1974 (ERISA) and the Pension Protection Act of 2006 (PPA '06) our company submits one (1) general use endorsement. The purpose of the filing is to conform to the new ERISA bonding requirements that require a \$1,000,000 limit for Insured Plans that hold employer securities. The requirements go into effect January 1, 2008.

This filing consists of the following endorsement:

FDB-1008 Ed. 06-07, for use with the Fiduciary Dishonesty Bond product (FDB-1001 5/04).

22. Filing Fees (Filer must provide check # and fee amount if applicable) [If a state requires you to show how you calculated your filing fees, place that calculation below]

Check #: EFT
Amount: \$50.00

Refer to each state's checklist for additional state specific requirements or instructions on calculating fees.

*****Refer to the each state's checklist for additional state specific requirements (i.e. # of additional copies required, other state specific forms, etc.)**

FORM FILING SCHEDULE

(This form must be provided ONLY when making a filing that includes forms)
 (Do **not** refer to the body of the filing for the forms listing, unless allowed by state.)

1.	This filing transmittal is part of Company Tracking #	2007-06-0080			
2.	This filing corresponds to rate/rule filing number (Company tracking number of rate/rule filing, if applicable)	N/A			
3.	Form Name /Description/Synopsis	Form # Include edition date	Replacement or Withdrawn?	If replacement, give form # it replaces	Previous state filing number, if required by state
01	ERISA Required Bond Limit	FDB1008 Ed. 06-07	<input checked="" type="checkbox"/> New <input type="checkbox"/> Replacement <input type="checkbox"/> Withdrawn		
02			<input type="checkbox"/> New <input type="checkbox"/> Replacement <input type="checkbox"/> Withdrawn		
03			<input type="checkbox"/> New <input type="checkbox"/> Replacement <input type="checkbox"/> Withdrawn		
04			<input type="checkbox"/> New <input type="checkbox"/> Replacement <input type="checkbox"/> Withdrawn		
05			<input type="checkbox"/> New <input type="checkbox"/> Replacement <input type="checkbox"/> Withdrawn		
06			<input type="checkbox"/> New <input type="checkbox"/> Replacement <input type="checkbox"/> Withdrawn		
07			<input type="checkbox"/> New <input type="checkbox"/> Replacement <input type="checkbox"/> Withdrawn		
08			<input type="checkbox"/> New <input type="checkbox"/> Replacement <input type="checkbox"/> Withdrawn		
09			<input type="checkbox"/> New <input type="checkbox"/> Replacement <input type="checkbox"/> Withdrawn		
10			<input type="checkbox"/> New <input type="checkbox"/> Replacement <input type="checkbox"/> Withdrawn		



Michelle Smith Cotto
Travelers Bond and Financial
Products
Phone: (860) 277-2345
FAX: (866) 235-4951
Email: msmithco@travelers.com

One Tower Sq. 2SHS
Hartford, CT 06183

July 31, 2007

Honorable Mike Pickens
Commissioner of Insurance
Arkansas Insurance Dept
1200 West Third Street
Little Rock, AR 72201-1904

Our Company Filing Number: 2007-06-0080
Form Filing
Fidelity

ST. PAUL FIRE & MARINE INSURANCE COMPANY

3548-24767

This is an independent filing on behalf of St. Paul Fire & Marine Insurance Company.

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This filing consists of the following endorsement:

FDB-1008 Ed. 06-07 which is for use with the Fiduciary Dishonesty Bond product (FDB-1001 5/04).

We will use our previously filed and approved Commercial Crime filing which was approved by your department on July 14, 2004 under filing number 2004-05-0025.

Enclosures and Implementation

The following are enclosed to facilitate your review:

- Form listing and final prints of each form and;
- Any applicable state filing forms and fees.

We propose to implement this filing with respect to all new and renewal businesses effective on or after August 30, 2007 or any earlier date allowed by state law. Should you have any questions, please feel free to call me at (860) 277-2345.

Regards,

Michelle Smith Cotto