



**QUARTERLY STATEMENT**  
**AS OF JUNE 30, 2016**  
**OF THE CONDITION AND AFFAIRS OF THE**  
**QCA Health Plan, Inc.**

NAIC Group Code 4807 , 4807 NAIC Company Code 95448 Employer's ID Number 71-0794605  
(Current Period) (Prior Period)

Organized under the Laws of Arkansas , State of Domicile or Port of Entry Arkansas

Country of Domicile United States of America

Licensed as business type: Life, Accident & Health[ ] Property/Casualty[ ] Hospital, Medical & Dental Service or Indemnity[ ]  
 Dental Service Corporation[ ] Vision Service Corporation[ ] Health Maintenance Organization[X]  
 Other[ ] Is HMO Federally Qualified? Yes[ ] No[X] N/A[ ]

Incorporated/Organized 04/08/1996 Commenced Business 07/31/1996

Statutory Home Office 12615 Chenal Parkway, Suite 300 , Little Rock, AR, 72211  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 12615 Chenal Parkway, Suite 300  
(Street and Number)

Little Rock, AR, 72211 (501)228-7111  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 12615 Chenal Parkway, Suite 300 , Little Rock, AR, 72211  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 12615 Chenal Parkway, Suite 300  
(Street and Number)

Little Rock, AR, 72211 (501)228-7111  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Web Site Address www.qualchoice.com

Statutory Statement Contact Randall Crow (501)219-5109  
(Name) (Area Code)(Telephone Number)(Extension)  
randall.crow@qualchoice.com (501)228-0135  
(E-Mail Address) (Fax Number)

**OFFICERS**

<u>Name</u>	<u>Title</u>
Michael Edward Stock	President
Randall Alvin Crow	Treasurer
Charles Hanson	Secretary

**OTHERS**

Joni Self Daniels, Vice President-Operations  
 Betty Jo Tatum-Himes, Vice President - Sales & Marketing  
 Stephen Sorsby M.D., Vice President - Medical Affairs

**DIRECTORS OR TRUSTEES**

Mark Fred Bjornson	Steven Charles Schramm
Philip Linwood Foster	Charles Hanson
David Allen Sorenson #	

State of Arkansas  
 County of Pulaski ss

The officers of this reporting entity, being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

<u>(Signature)</u> Michael Edward Stock <u>(Printed Name)</u> 1. President <u>(Title)</u>	<u>(Signature)</u> Randall Alvin Crow <u>(Printed Name)</u> 2. Treasurer <u>(Title)</u>	<u>(Signature)</u> Charles Hanson <u>(Printed Name)</u> 3. Secretary <u>(Title)</u>
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Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 2016

- a. Is this an original filing?  
 b. If no, 1. State the amendment number  
 2. Date filed  
 3. Number of pages attached

Yes[X] No[ ]

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

\_\_\_\_\_  
 (Notary Public Signature)

**DIRECTORS OR TRUSTEES (continued)**

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	30,646,685		30,646,685	32,204,897
2. Stocks:				
2.1 Preferred stocks .....	1,500,000		1,500,000	1,500,000
2.2 Common stocks .....				5,538,859
3. Mortgage loans on real estate:				
3.1 First liens .....				
3.2 Other than first liens .....				
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances) .....				
4.2 Properties held for the production of income (less \$.....0 encumbrances) .....				
4.3 Properties held for sale (less \$.....0 encumbrances) .....				
5. Cash (\$.....12,513,247), cash equivalents (\$.....0) and short-term investments (\$.....0) .....	12,513,247		12,513,247	15,590,646
6. Contract loans (including \$.....0 premium notes) .....				
7. Derivatives .....				
8. Other invested assets .....	5,404		5,404	5,423
9. Receivables for securities .....				
10. Securities lending reinvested collateral assets .....				
11. Aggregate write-ins for invested assets .....				
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	44,665,336		44,665,336	54,839,825
13. Title plants less \$.....0 charged off (for Title insurers only) .....				
14. Investment income due and accrued .....	141,395		141,395	132,484
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	470,227		470,227	149,787
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums) .....				
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0) .....	10,085,343	847,885	9,237,458	7,708,960
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	9,222,570		9,222,570	15,068,986
16.2 Funds held by or deposited with reinsured companies .....				
16.3 Other amounts receivable under reinsurance contracts .....				
17. Amounts receivable relating to uninsured plans .....	692,498		692,498	521,711
18.1 Current federal and foreign income tax recoverable and interest thereon .....	1,702,936	777,344	925,592	925,592
18.2 Net deferred tax asset .....				
19. Guaranty funds receivable or on deposit .....				
20. Electronic data processing equipment and software .....	64,231	64,231		
21. Furniture and equipment, including health care delivery assets (\$.....0) .....	215,613	215,613		
22. Net adjustments in assets and liabilities due to foreign exchange rates .....				
23. Receivables from parent, subsidiaries and affiliates .....	2,685,396		2,685,396	11,843
24. Health care (\$.....0) and other amounts receivable .....	308,316	152,419	155,897	139,082
25. Aggregate write-ins for other-than-invested assets .....	6,447,060	1,653,902	4,793,158	1,843,805
26. TOTAL assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	76,700,921	3,711,394	72,989,527	81,342,075
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....				
28. TOTAL (Lines 26 and 27) .....	76,700,921	3,711,394	72,989,527	81,342,075
<b>DETAILS OF WRITE-INS</b>				
1101. rounding .....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....				
1199. TOTALS (Lines 1101 through 1103 plus 1198) (Line 11 above) .....				
2501. Prepaid Expenses .....	1,653,902	1,653,902		
2502. CSR Cost Sharing Receivable .....	4,793,158		4,793,158	1,843,805
2503. ....				
2598. Summary of remaining write-ins for Line 25 from overflow page .....				
2599. TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above) .....	6,447,060	1,653,902	4,793,158	1,843,805

**LIABILITIES, CAPITAL AND SURPLUS**

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$.....0 reinsurance ceded) .....	28,314,721	1,494,789	29,809,510	27,723,131
2. Accrued medical incentive pool and bonus amounts .....	247,258		247,258	247,248
3. Unpaid claims adjustment expenses .....	659,077		659,077	593,698
4. Aggregate health policy reserves, including the liability of \$.....0 for medical loss ratio rebate per the Public Health Service Act .....	414,037		414,037	664,035
5. Aggregate life policy reserves .....				
6. Property/casualty unearned premium reserve .....				
7. Aggregate health claim reserves .....				
8. Premiums received in advance .....	2,038,015		2,038,015	2,104,634
9. General expenses due or accrued .....	9,706,752		9,706,752	2,104,373
10.1 Current federal and foreign income tax payable and interest thereon (including \$.....0 on realized gains (losses)) .....				4,924,884
10.2 Net deferred tax liability .....				
11. Ceded reinsurance premiums payable .....				
12. Amounts withheld or retained for the account of others .....				
13. Remittances and items not allocated .....				
14. Borrowed money (including \$.....0 current) and interest thereon \$.....0 (including \$.....0 current) .....				
15. Amounts due to parent, subsidiaries and affiliates .....	180,942		180,942	140,445
16. Derivatives .....				
17. Payable for securities .....				
18. Payable for securities lending .....				
19. Funds held under reinsurance treaties with (\$.....0 authorized reinsurers, \$.....0 unauthorized reinsurers and \$.....0 certified reinsurers) .....				
20. Reinsurance in unauthorized and certified (\$.....0) companies .....				
21. Net adjustments in assets and liabilities due to foreign exchange rates .....				
22. Liability for amounts held under uninsured plans .....	3,218,969		3,218,969	3,288,813
23. Aggregate write-ins for other liabilities (including \$.....0 current) .....				3
24. Total liabilities (Lines 1 to 23) .....	44,779,771	1,494,789	46,274,560	41,791,264
25. Aggregate write-ins for special surplus funds .....	X X X	X X X	1,688,110	3,371,322
26. Common capital stock .....	X X X	X X X	2,454	2,454
27. Preferred capital stock .....	X X X	X X X	25,500,046	25,500,046
28. Gross paid in and contributed surplus .....	X X X	X X X	19,000,000	19,000,000
29. Surplus notes .....	X X X	X X X	5,000,000	5,000,000
30. Aggregate write-ins for other-than-special surplus funds .....	X X X	X X X		
31. Unassigned funds (surplus) .....	X X X	X X X	(24,475,283)	(13,322,651)
32. Less treasury stock, at cost:				
32.1 .....0 shares common (value included in Line 26 \$.....0) .....	X X X	X X X	360	360
32.2 .....0 shares preferred (value included in Line 27 \$.....0) .....	X X X	X X X		
33. Total capital and surplus (Lines 25 to 31 minus Line 32) .....	X X X	X X X	26,714,967	39,550,811
34. Total Liabilities, capital and surplus (Lines 24 and 33) .....	X X X	X X X	72,989,527	81,342,075
<b>DETAILS OF WRITE-INS</b>				
2301. Rounding .....				3
2302. ACA Risk Sharing Payable .....				
2303. ....				
2398. Summary of remaining write-ins for Line 23 from overflow page .....				
2399. TOTALS (Lines 2301 through 2303 plus 2398) (Line 23 above) .....				3
2501. ACA Section 9010 Assessment .....	X X X	X X X	1,688,110	3,371,322
2502. ....	X X X	X X X		
2503. ....	X X X	X X X		
2598. Summary of remaining write-ins for Line 25 from overflow page .....	X X X	X X X		
2599. TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above) .....	X X X	X X X	1,688,110	3,371,322
3001. ....	X X X	X X X		
3002. ....	X X X	X X X		
3003. ....	X X X	X X X		
3098. Summary of remaining write-ins for Line 30 from overflow page .....	X X X	X X X		
3099. TOTALS (Lines 3001 through 3003 plus 3098) (Line 30 above) .....	X X X	X X X		

## STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months .....	X X X	308,317	302,119	588,387
2. Net premium income (including \$.....0 non-health premium income) .....	X X X	106,765,870	103,672,280	205,847,620
3. Change in unearned premium reserves and reserves for rate credits .....	X X X			
4. Fee-for-service (net of \$.....0 medical expenses) .....	X X X			
5. Risk revenue .....	X X X			
6. Aggregate write-ins for other health care related revenues .....	X X X			
7. Aggregate write-ins for other non-health revenues .....	X X X			
8. Total revenues (Lines 2 to 7) .....	X X X	106,765,870	103,672,280	205,847,620
<b>Hospital and Medical:</b>				
9. Hospital/medical benefits .....	1,914,667	72,251,596	56,232,253	131,602,574
10. Other professional services .....				
11. Outside referrals .....				
12. Emergency room and out-of-area .....	227,738	8,593,873	9,161,692	15,653,299
13. Prescription drugs .....		17,228,639	14,023,324	29,593,729
14. Aggregate write-ins for other hospital and medical .....				
15. Incentive pool, withhold adjustments and bonus amounts .....				
16. Subtotal (Lines 9 to 15) .....	2,142,405	98,074,108	79,417,269	176,849,602
<b>Less:</b>				
17. Net reinsurance recoveries .....		(1,864,491)	64,286	15,735,412
18. Total hospital and medical (Lines 16 minus 17) .....	2,142,405	99,938,599	79,352,983	161,114,190
19. Non-health claims (net) .....				
20. Claims adjustment expenses, including \$.....1,375,746 cost containment expenses .....		1,873,440	4,170,175	6,100,937
21. General administrative expenses .....		15,501,368	14,915,294	25,385,203
22. Increase in reserves for life and accident and health contracts (including \$.....0 increase in reserves for life only) .....		(249,998)		500,000
23. Total underwriting deductions (Lines 18 through 22) .....	2,142,405	117,063,409	98,438,452	193,100,330
24. Net underwriting gain or (loss) (Lines 8 minus 23) .....	X X X	(10,297,539)	5,233,828	12,747,290
25. Net investment income earned .....		340,016	164,061	490,689
26. Net realized capital gains (losses) less capital gains tax of \$.....0 .....			264,290	1,042,939
27. Net investment gains or (losses) (Lines 25 plus 26) .....		340,016	428,351	1,533,628
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$.....0) (amount charged off \$.....0)] .....				
29. Aggregate write-ins for other income or expenses .....				
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29) .....	X X X	(9,957,523)	5,662,179	14,280,918
31. Federal and foreign income taxes incurred .....	X X X			5,005,911
32. Net income (loss) (Lines 30 minus 31) .....	X X X	(9,957,523)	5,662,179	9,275,007
<b>DETAILS OF WRITE-INS</b>				
0601. ....	X X X			
0602. ....	X X X			
0603. ....	X X X			
0698. Summary of remaining write-ins for Line 6 from overflow page .....	X X X			
0699. TOTALS (Lines 0601 through 0603 plus 0698) (Line 6 above) .....	X X X			
0701. ....	X X X			
0702. ....	X X X			
0703. ....	X X X			
0798. Summary of remaining write-ins for Line 7 from overflow page .....	X X X			
0799. TOTALS (Lines 0701 through 0703 plus 0798) (Line 7 above) .....	X X X			
1401. ....				
1402. ....				
1403. ....				
1498. Summary of remaining write-ins for Line 14 from overflow page .....				
1499. TOTALS (Lines 1401 through 1403 plus 1498) (Line 14 above) .....				
2901. ....				
2902. ....				
2903. rounding .....				
2998. Summary of remaining write-ins for Line 29 from overflow page .....				
2999. TOTALS (Lines 2901 through 2903 plus 2998) (Line 29 above) .....				

**STATEMENT OF REVENUE AND EXPENSES (Continued)**

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
<b>CAPITAL &amp; SURPLUS ACCOUNT</b>			
33. Capital and surplus prior reporting year .....	39,550,811	20,288,859	20,288,859
34. Net income or (loss) from Line 32 .....	(9,957,523)	5,662,179	9,275,007
35. Change in valuation basis of aggregate policy and claim reserves .....			
36. Change in net unrealized capital gains (losses) less capital gains tax of \$.....0	66,480	(64,979)	(1,449,406)
37. Change in net unrealized foreign exchange capital gain or (loss) .....			
38. Change in net deferred income tax .....	(1,061,240)	(299,544)	1,702,936
39. Change in nonadmitted assets .....	(1,883,561)	747,102	233,417
40. Change in unauthorized and certified reinsurance .....			
41. Change in treasury stock .....			
42. Change in surplus notes .....			
43. Cumulative effect of changes in accounting principles .....			
44. Capital Changes:			
44.1 Paid in .....			
44.2 Transferred from surplus (Stock Dividend) .....			
44.3 Transferred to surplus .....			
45. Surplus adjustments:			
45.1 Paid in .....			9,500,000
45.2 Transferred to capital (Stock Dividend) .....			
45.3 Transferred from capital .....			
46. Dividends to stockholders .....			
47. Aggregate write-ins for gains or (losses) in surplus .....			(2)
48. Net change in capital and surplus (Lines 34 to 47) .....	(12,835,844)	6,044,758	19,261,952
49. Capital and surplus end of reporting period (Line 33 plus 48) .....	26,714,967	26,333,617	39,550,811
<b>DETAILS OF WRITE-INS</b>			
4701. Rounding .....			(2)
4702. PPE contribution .....			
4703. 0 .....			
4798. Summary of remaining write-ins for Line 47 from overflow page .....			
4799. TOTALS (Lines 4701 through 4703 plus 4798) (Line 47 above) .....			(2)

**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	103,752,430	108,131,732	202,392,617
2. Net investment income .....	371,384	137,741	322,309
3. Miscellaneous income .....	(22,037)	220,703	163,510
4. TOTAL (Lines 1 to 3) .....	104,101,777	108,490,176	202,878,436
5. Benefit and loss related payments .....	91,755,796	74,084,902	173,202,808
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			
7. Commissions, expenses paid and aggregate write-ins for deductions .....	9,873,708	21,962,111	29,645,763
8. Dividends paid to policyholders .....			
9. Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses) .....	5,986,124	211,770	(1,449,406)
10. TOTAL (Lines 5 through 9) .....	107,615,628	96,258,783	201,399,165
11. Net cash from operations (Line 4 minus Line 10) .....	(3,513,851)	12,231,393	1,479,271
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	81,435,000	4,354,528	27,979,904
12.2 Stocks .....	5,538,859	890,028	6,376,887
12.3 Mortgage loans .....			
12.4 Real estate .....			
12.5 Other invested assets .....			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....			1,449,444
12.7 Miscellaneous proceeds .....	19	308,812	
12.8 TOTAL investment proceeds (Lines 12.1 to 12.7) .....	86,973,878	5,553,368	35,806,235
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	79,917,067	4,554,988	41,341,298
13.2 Stocks .....		680,706	6,261,588
13.3 Mortgage loans .....			
13.4 Real estate .....			
13.5 Other invested assets .....			
13.6 Miscellaneous applications .....			
13.7 TOTAL investments acquired (Lines 13.1 to 13.6) .....	79,917,067	5,235,694	47,602,886
14. Net increase (or decrease) in contract loans and premium notes .....			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	7,056,811	317,674	(11,796,651)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....			
16.2 Capital and paid in surplus, less treasury stock .....			9,500,000
16.3 Borrowed funds .....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....			
16.5 Dividends to stockholders .....			
16.6 Other cash provided (applied) .....	(6,620,359)	5,816,083	(12,541,182)
17. Net cash from financing and miscellaneous sources (Line 16.1 through 16.4 minus Line 16.5 plus Line 16.6) .....	(6,620,359)	5,816,083	(3,041,182)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	(3,077,399)	18,365,150	(13,358,562)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	15,590,646	28,949,208	28,949,208
19.2 End of period (Line 18 plus Line 19.1) .....	12,513,247	47,314,358	15,590,646

**Note: Supplemental Disclosures of Cash Flow Information for Non-Cash Transactions:**

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## EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
Total Members at end of:										
1. Prior Year .....	46,051	23,855	21,714				482			
2. First Quarter .....	52,164	30,913	20,699				552			
3. Second Quarter .....	53,570	32,569	20,427				574			
4. Third Quarter .....										
5. Current Year .....										
6. Current Year Member Months .....	308,317	180,744	124,218				3,355			
Total Member Ambulatory Encounters for Period:										
7. Physician .....	230,173	140,812	86,240				3,121			
8. Non-Physician .....	148,395	61,711	81,878				4,806			
9. Total .....	378,568	202,523	168,118				7,927			
10. Hospital Patient Days Incurred .....	11,872	9,219	2,495				158			
11. Number of Inpatient Admissions .....	2,893	2,262	603				28			
12. Health Premiums Written (a) .....	106,765,870	59,919,997	45,469,765				1,376,108			
13. Life Premiums Direct .....										
14. Property/Casualty Premiums Written .....										
15. Health Premiums Earned .....	106,765,870	59,919,997	45,469,765				1,376,108			
16. Property/Casualty Premiums Earned .....										
17. Amount Paid for Provision of Health Care Services .....	97,874,247	54,929,769	41,682,974				1,261,504			
18. Amount Incurred for Provision of Health Care Services .....	98,074,108	55,041,936	41,768,092				1,264,080			

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$.....0.

**CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)****Aging Analysis of Unpaid Claims**

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 days	6 Over 120 Days	7 Total
0199999 Individually Listed Claims Unpaid .....						
0299999 Aggregate Accounts Not Individually Listed - Uncovered .....	841,365	231,746	138,977	106,279	176,422	1,494,789
0399999 Aggregate Accounts Not Individually Listed - Covered .....	13,997,519	3,855,493	2,312,111	1,768,136	2,935,081	24,868,340
0499999 Subtotals .....	14,838,884	4,087,239	2,451,088	1,874,415	3,111,503	26,363,129
0599999 Unreported claims and other claim reserves .....						3,446,381
0699999 Total Amounts Withheld .....						
0799999 Total Claims Unpaid .....						29,809,510
0899999 Accrued Medical Incentive Pool And Bonus Amounts .....						247,258

## UNDERWRITING AND INVESTMENT EXHIBIT

### ANALYSIS OF CLAIMS UNPAID-PRIOR YEAR-NET OF REINSURANCE

	Claims Paid Year to Date		Liability End of Current Quarter		5	6
	1	2	3	4	Claims Incurred in Prior Years (Columns 1+3)	Estimated Claim Reserve and Claim Liability Dec 31 of Prior Year
	On Claims Incurred Prior to January 1 of Current Year	On Claims Incurred During the Year	On Claims Unpaid Dec 31 of Prior Year	On Claims Incurred During the Year		
1. Comprehensive (hospital & medical) .....	17,248,315	80,834,494	1,678,985	27,886,482	18,927,300	27,449,222
2. Medicare Supplement .....						
3. Dental only .....						
4. Vision only .....						
5. Federal Employees Health Benefits Plan .....	193,565	764,520	13,859	230,185	207,424	273,909
6. Title XVIII - Medicare .....						
7. Title XIX - Medicaid .....						
8. Other health .....						
9. Health subtotal (Lines 1 to 8) .....	17,441,880	81,599,014	1,692,844	28,116,667	19,134,724	27,723,131
10. Healthcare receivables (a) .....	823,325	343,321		308,316	823,325	286,279
11. Other non-health .....						
12. Medical incentive pools and bonus amounts .....			247,248	9	247,248	247,248
13. Totals (Lines 9 - 10 + 11 + 12) .....	16,618,555	81,255,693	1,940,092	27,808,360	18,558,647	27,684,100

(a) Excludes \$.....0 loans or advances to providers not yet expensed.

## Notes to Financial Statement

### QCA HEALTH PLAN, INC.

#### Notes to Financial Statements - Statutory Basis

##### (1) Summary of Significant Accounting Policies

The following is a summary of the significant accounting policies used in the preparation of the accompanying financial statements. Such policies are in conformity with the Annual Statement Instructions and the Accounting Practices and Procedures Manual of the National Association of Insurance Commissioners ("NAIC") and the accounting practices as prescribed or permitted by the Arkansas Insurance Department and are not intended to be a presentation in conformity with accounting principles generally accepted in the United States of America.

**Cash and Cash Equivalents and Short Term Investments:** The Company considers all cash accounts and all highly liquid debt instruments purchased with a maturity of three months or less to be cash equivalents.

**Premiums Receivable:** The Company uses the allowance method of accounting for uncollectible receivables. Premiums receivable represent medical premium revenue that has been billed and recognized as revenue, but has not been collected.

**Investment Securities:** Bonds and other debt instruments for which the Company intends to resell in the near future are classified as trading and are stated at cost adjusted for amortization of premiums and accretion of discounts computed by the interest method.

**Medical Claims Payable:** Reported claims expected to be paid after the balance sheet date for services provided to members prior to the balance sheet date are recorded as liabilities. Claims for services provided to members during the financial reporting period which are unreported at the balance sheet date are estimated based on the Company's claims experience and recorded as liabilities. The amounts recorded are based upon estimates of the ultimate net cost of such services provided. These reserves are subject to continuous review by management and changes in estimates are reflected in earnings currently.

**Income Taxes:** Income taxes are provided for the tax effects of transactions reported in the financial statements and consist of taxes currently due.

**Revenue:** Medical premium revenue is recognized in the month in which members are entitled to receive health care services. Medical premiums collected in advance are recorded as unearned premium revenue.

**Cost of Benefits Provided:** Cost of benefits provided includes the costs of all medical services delivered to enrolled members of the Company and for whom the Company has recorded medical premium revenue during the reporting period. These costs include payments for specific medical services paid to physicians, hospitals, and other health care providers on a fee-for-service basis. Costs of benefits include claims paid, claims in process and pending, estimates of unreported claims and charges, and processing costs of those estimates at the end of the fiscal year for which the Company will be responsible.

**Premium Tax:** The state in which the Company does business requires the remittance of premium taxes based upon a percentage of billed premiums.

**Advertising Costs:** Advertising and promotions related expenses are charged to operations when incurred.

**Non-Admitted Assets:** Certain assets (principally pharmaceutical rebate receivables, property plant and equipment, goodwill and deferred tax assets not expected to be realized within a 12 month period) designated as "non-admitted" are not included in the financial statements.

## Notes to Financial Statement

*Accounting Estimates:* The preparation of financial statements in conformity with the accounting practices described above requires management to make estimates and assumptions that affect the reported amounts in the financial statements and accompanying notes. Actual results could differ from those estimates.

(2) **Accounting Changes and Corrections of Errors**

None.

(3) **Business Combinations and Goodwill**

None.

(4) **Discontinued Operations**

None.

(5) **Investments**

Equity securities were disposed of in May 2016.

(6) **Joint Ventures, Partnerships and Limited Liability Companies**

No significant changes.

(7) **Investment Income**

The Company's investment income was earned on cash and cash equivalents, bonds, and stocks. Unrealized losses and gains are recorded on page 5.

(8) **Derivative Instruments**

None.

(9) **Income Taxes**

During the month of May, net premium taxes due for the first quarter of 2016 were remitted to the State Treasurer of Arkansas.

(10) **Information Concerning Parent, Subsidiaries and Affiliates**

None.

(11) **Debt**

None.

(12) **Retirement Plans, Deferred Compensation and Other Postretirement Benefit and Compensated Absences and Other Postretirement Benefit Plans**

No significant changes.

(13) **Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations**

No significant changes.

(14) **Contingencies**

No significant changes.

## Notes to Financial Statement

**(15) Leases**

None.

**(16) Information About Financial Instruments With Off Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk**

None.

**(17) Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

None.

**(18) Gain or Loss To The Reporting Entity From Uninsured A&H Plans and The Uninsured Portion of Partially Insured Plans**

No significant changes.

**(19) Direct Premium Written/Produced By Managing General Agents/Third Party Administrators**

None

**(20) Fair Value Measurements**

All investments are recorded at amortized cost. The company held equity securities that were classified as available for sale and stated at fair market value. They were disposed of in May 2016.

**(21) Other Items**

None

**(22) Events Subsequent**

None

**(23) Reinsurance**

No significant changes.

**(24) Retrospectively Rated Contracts & Contracts Subject To Redetermination**

J. Risk Sharing Provisions of the Affordable Care Act

1. Permanent Risk Adjustment Program

Assets	Amount
a. Premium Adjustment Receivable	\$9,237,458
Liabilities	
b. Risk Adjustment User Fees Payable	\$0
c. Premium Adjustments Payable	\$0
Operations (Revenue & Expense)	
d. Premium for Accident and Health Contracts	\$0

**Notes to Financial Statement**

## 2. Transitional Reinsurance Program

## Assets

a. Amounts recoverable for claims paid	\$8,658,232
b. Amounts recoverable for claims unpaid	\$0
c. Amounts receivable relating to uninsured plans	\$0

## Liabilities

d. Claims unpaid-ceded	\$0
e. Contributions payable-not reported as ceded	\$0
f. Ceded reinsurance premiums payable	\$0
g. Liability for amounts held under uninsured plans	\$0

## Operations (Revenue &amp; Expense)

h. Ceded reinsurance premiums	\$0
i. Reinsurance recoveries	\$1,864,491
j. Contributions-not reported as ceded premiums	\$0

## 3. Temporary Risk Corridors Program

## Assets

a. Accrued retrospective premium	\$0
----------------------------------	-----

## Liabilities

b. Reserve for rate credits/policy exp rating refunds	\$0
---	-----

## Operations (Revenue &amp; Expense)

c. Net premium income (paid/received)	\$0
d. Change in reserves for rate credits	\$0

4. Have there been any material re-estimations and/or impairments for the reporting period? **NO**

**(25) Change In Incurred Claims and Claim Adjustment Expenses**

Reserves as of June 30, 2016 were \$29,809,510. As of June 30, 2016, \$17,441,879 has been paid for incurred claims and claim adjustment expenses attributable to insured events incurred prior of January 1, 2016. Reserves remaining for prior years are now \$1,692,844 as a result of re-estimation of unpaid claims and claim adjustment expenses. The decrease is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims.

**(26) Intercompany Pooling Arrangements**

None.

## **Notes to Financial Statement**

**(27) Structured Settlements**

None.

**(28) Health Care Receivables**

No significant changes.

**(29) Participating Policies**

None.

**(30) Premium Deficiency Reserves**

The Premium Deficiency Reserve has decreased \$124,998 in the current quarter.

**(31) Anticipated Salvage and Subrogation**

None.

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes[ ] No[X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes[ ] No[ ] N/A[X]
  
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes[ ] No[X]
- 2.2 If yes, date of change: .....
  
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes[X] No[ ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes[ ] No[X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes:
  
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes[ ] No[X]
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
.....		

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes[ ] No[ ] N/A[X]  
If yes, attach an explanation.
  
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. ..... 12/31/2014 .....
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ..... 12/31/2014 .....
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). .....
- 6.4 By what department or departments?
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes[ ] No[ ] N/A[X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes[ ] No[ ] N/A[X]
  
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes[ ] No[X]
- 7.2 If yes, give full information
  
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes[ ] No[X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes[ ] No[X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
.....	.....	Yes[ ] No[X]	Yes[ ] No[X]	Yes[ ] No[X]	Yes[ ] No[X]

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes[X] No[ ]
  - (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
  - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
  - (c) Compliance with applicable governmental laws, rules and regulations;
  - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
  - (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes[ ] No[X]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes[ ] No[X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

## FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes[X] No[ ]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$..... 2,685,396

## INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes[ ] No[X]
- 11.2 If yes, give full and complete information relating thereto:
  
- 12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$..... 0
- 13. Amount of real estate and mortgages held in short-term investments: \$..... 0
  
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes[X] No[ ]

## GENERAL INTERROGATORIES (Continued)

### INVESTMENT

14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds .....		
14.22 Preferred Stock .....	1,500,000	1,500,000
14.23 Common Stock .....		
14.24 Short-Term Investments .....		
14.25 Mortgages Loans on Real Estate .....		
14.26 All Other .....	5,423	5,404
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) .....	1,505,423	1,505,404
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....		

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes  No   
Yes  No  N/A

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?  
If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$ ..... 0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$ ..... 0

16.3 Total payable for securities lending reported on the liability page

\$ ..... 0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?

Yes  No

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Arvest Asset Management .....	200 Commerce Dr. Ste. 100, Little Rock, AR .....
BNY Mellon .....	200 Park Avenue, New York, NY .....

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?

Yes  No

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
Arvest Trust .....	Dennis Whitaker .....	200 Commerce Dr. Ste. 100 Little Rock, AR .....
BNY Mellon .....	Ben Bartelt .....	200 Park Avenue, New York, NY .....

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed?

Yes  No

18.2 If no, list exceptions:

## GENERAL INTERROGATORIES

### PART 2 - HEALTH

1. Operating Percentages:	
1.1 A&H loss percent	..... 95.220%
1.2 A&H cost containment percent	..... 1.300%
1.3 A&H expense percent excluding cost containment expenses	..... 15.074%
2.1 Do you act as a custodian for health savings accounts?	Yes[ ] No[X]
2.2 If yes, please provide the amount of custodial funds held as of the reporting date.	\$..... 0
2.3 Do you act as an administrator for health savings accounts?	Yes[ ] No[X]
2.4 If yes, please provide the balance of the funds administered as of the reporting date.	\$..... 0

**SCHEDULE S - CEDED REINSURANCE**  
**Showing All New Reinsurance Treaties - Current Year to Date**

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Reinsurer	8 Certified Reinsurer Rating (1 through 6)	9 Effective Date of Certified Reinsurer Rating
<b>Life and Annuity - Affiliates</b> 00000 .....	AA-9990032 .....	01/01/2016 .....	US Dept of Hlth & Human Serv .....	DC .....	OTH/L/G .....	Authorized .....		

# SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

## Current Year to Date - Allocated by States and Territories

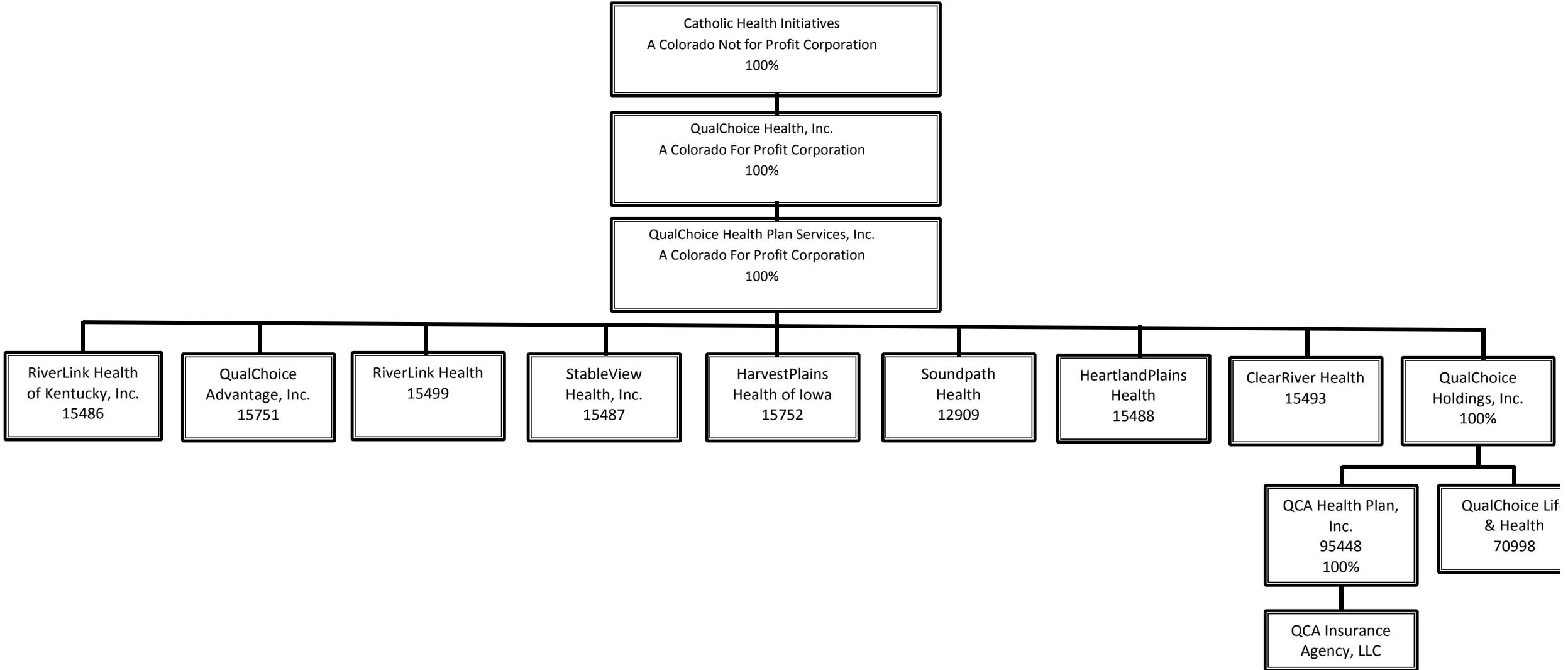
1.	State, Etc.	1 Active Status	Direct Business Only						
			2 Accident and Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life and Annuity Premiums and Other Considerations	7 Property/Casualty Premiums	8 Total Columns 2 Through 7
2.	Alabama (AL)	N							
3.	Alaska (AK)	N							
4.	Arizona (AZ)	N							
5.	Arkansas (AR)	L	106,138,443			1,384,361		107,522,804	
6.	California (CA)	N							
7.	Colorado (CO)	N							
8.	Connecticut (CT)	N							
9.	Delaware (DE)	N							
10.	District of Columbia (DC)	N							
11.	Florida (FL)	N							
12.	Georgia (GA)	N							
13.	Hawaii (HI)	N							
14.	Idaho (ID)	N							
15.	Illinois (IL)	N							
16.	Indiana (IN)	N							
17.	Iowa (IA)	N							
18.	Kansas (KS)	N							
19.	Kentucky (KY)	N							
20.	Louisiana (LA)	N							
21.	Maine (ME)	N							
22.	Maryland (MD)	N							
23.	Massachusetts (MA)	N							
24.	Michigan (MI)	N							
25.	Minnesota (MN)	N							
26.	Mississippi (MS)	N							
27.	Missouri (MO)	N							
28.	Montana (MT)	N							
29.	Nebraska (NE)	N							
30.	Nevada (NV)	N							
31.	New Hampshire (NH)	N							
32.	New Jersey (NJ)	N							
33.	New Mexico (NM)	N							
34.	New York (NY)	N							
35.	North Carolina (NC)	N							
36.	North Dakota (ND)	N							
37.	Ohio (OH)	N							
38.	Oklahoma (OK)	N							
39.	Oregon (OR)	N							
40.	Pennsylvania (PA)	N							
41.	Rhode Island (RI)	N							
42.	South Carolina (SC)	N							
43.	South Dakota (SD)	N							
44.	Tennessee (TN)	N							
45.	Texas (TX)	N							
46.	Utah (UT)	N							
47.	Vermont (VT)	N							
48.	Virginia (VA)	N							
49.	Washington (WA)	N							
50.	West Virginia (WV)	N							
51.	Wisconsin (WI)	N							
52.	Wyoming (WY)	N							
53.	American Samoa (AS)	N							
54.	Guam (GU)	N							
55.	Puerto Rico (PR)	N							
56.	U.S. Virgin Islands (VI)	N							
57.	Northern Mariana Islands (MP)	N							
58.	Canada (CAN)	N							
59.	Aggregate other alien (OT)	XXX							
60.	Subtotal	XXX	106,138,443			1,384,361		107,522,804	
61.	Reporting entity contributions for Employee Benefit Plans	XXX							
61.	<b>Total (Direct Business)</b>	<b>(a) 1</b>	<b>106,138,443</b>			<b>1,384,361</b>		<b>107,522,804</b>	
<b>DETAILS OF WRITE-INS</b>									
58001.		XXX							
58002.		XXX							
58003.		XXX							
58998.	Summary of remaining write-ins for Line 58 from overflow page	XXX							
58999.	<b>TOTALS (Lines 58001 through 58003 plus 58998) (Line 58 above)</b>	<b>XXX</b>							

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER**  
**MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 - ORGANIZATIONAL CHART**

Q15



## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	*
4807	Catholic Health Initiatives	95448	71-0794605				QCA Health Plan, Inc.	AR	RE	QualChoice Health Plan Services, Inc.	Ownership	100.0	Catholic Health Initiatives	
4807	Catholic Health Initiatives	70998	71-0386640				QualChoice Life and Health Insurance Company, Inc.	AR	RE	QualChoice Health Plan Services, Inc.	Ownership	100.0	Catholic Health Initiatives	
4807	Catholic Health Initiatives	12909	42-1720801				Soundpath Health, Inc.	WA	IA	QualChoice Health Plan Services, Inc.	Ownership	100.0	Catholic Health Initiatives	
4807	Catholic Health Initiatives	15493	46-4495960				ClearRiver Health	TN	IA	QualChoice Health Plan Services, Inc.	Ownership	100.0	Catholic Health Initiatives	
4807	Catholic Health Initiatives	15488	46-4368223				Heartland Plains Health	NE	IA	QualChoice Health Plan Services, Inc.	Ownership	100.0	Catholic Health Initiatives	
4807	Catholic Health Initiatives	15499	46-4380824				RiverLink Health	OH	IA	QualChoice Health Plan Services, Inc.	Ownership	100.0	Catholic Health Initiatives	
4807	Catholic Health Initiatives	15486	46-4828332				RiverLink Health of Kentucky, Inc.	KY	IA	QualChoice Health Plan Services, Inc.	Ownership	100.0	Catholic Health Initiatives	
4807	Catholic Health Initiatives	15487	46-4373713				StableView Health	KY	IA	QualChoice Health Plan Services, Inc.	Ownership	100.0	Catholic Health Initiatives	
4807	Catholic Health Initiatives	15751	47-3433912				QualChoice Advantage, Inc.	AR	IA	QualChoice Health Plan Services, Inc.	Ownership	100.0	Catholic Health Initiatives	
4807	Catholic Health Initiatives	15752	47-3451750				Harvest Plains Health of Iowa	IA	IA	QualChoice Health Plan Services, Inc.	Ownership	100.0	Catholic Health Initiatives	

Asterisk	Explanation
0000001	

## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

**RESPONSE**

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

No

Explanations:

Bar Codes:

Medicare Part D Coverage Supplement



95448201636500002

2016

Document Code: 365

**STATEMENT OF REVENUE AND EXPENSES**

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1	2	3	4
	Uncovered	Total	Total	Total
0697. Summary of remaining write-ins for Line 6 (Lines 0604 through 0696) .....	X X X			
0797. Summary of remaining write-ins for Line 7 (Lines 0704 through 0796) .....	X X X			
1497. Summary of remaining write-ins for Line 14 (Lines 1404 through 1496) .....				
2904. Miscellaneous Income/Expense .....				
2905. 0 .....				
2997. Summary of remaining write-ins for Line 29 (Lines 2904 through 2996) .....				

**SCHEDULE A - VERIFICATION****Real Estate**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Current year change in encumbrances .....		
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		
7. Deduct current year's other-than-temporary impairment recognized .....		
8. Deduct current year's depreciation .....		
9. Book/adjusted carrying value at the end of current period (Lines 1 + 2 + 3 + 4 - 5 + 6 - 7 - 8) .....		
10. Deduct total nonadmitted amounts .....		
11. Statement value at end of current period (Line 9 minus Line 10) .....		

**NONE****SCHEDULE B - VERIFICATION****Mortgage Loans**

	1 Year To Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and mortgage interest points .....		
9. Total foreign exchange change in book value/recorded investment .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10) .....		
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....		
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14) .....		

**NONE****SCHEDULE BA - VERIFICATION****Other Long-Term Invested Assets**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	5,423	5,460
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....	(19)	(37)
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10) .....	5,404	5,423
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....	5,404	5,423

**SCHEDULE D - VERIFICATION****Bonds and Stocks**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	39,243,756	26,331,140
2. Cost of bonds and stocks acquired .....	79,917,067	47,602,886
3. Accrual of discount .....	64,967	129,144
4. Unrealized valuation increase (decrease) .....		(1,449,407)
5. Total gain (loss) on disposals .....		1,042,936
6. Deduct consideration for bonds and stocks disposed of .....	86,973,859	34,356,791
7. Deduct amortization of premium .....	105,246	56,152
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9) .....	32,146,685	39,243,756
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11) .....	32,146,685	39,243,756

**SCHEDULE D - PART 1B**  
**Showing the Acquisitions, Dispositions and Non-Trading Activity**  
**During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation**

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	24,902,938	10,744,444	525,000	(19,452)	24,902,938	35,102,929		32,204,895
2. NAIC 2 (a) .....		1,721,753	6,178,000			(4,456,247)		
3. NAIC 3 (a) .....								
4. NAIC 4 (a) .....								
5. NAIC 5 (a) .....								
6. NAIC 6 (a) .....								
7. Total Bonds .....	24,902,938	12,466,196	6,703,000	(19,452)	24,902,938	30,646,682		32,204,895
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....								
9. NAIC 2 .....								
10. NAIC 3 .....								
11. NAIC 4 .....								
12. NAIC 5 .....								
13. NAIC 6 .....								
14. Total Preferred Stock .....								
15. Total Bonds & Preferred Stock .....	24,902,938	12,466,196	6,703,000	(19,452)	24,902,938	30,646,682		32,204,895

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0

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**SI03 Schedule DA Part 1 ..... NONE**

**SI03 Schedule DA Verification ..... NONE**

**SI04 Schedule DB - Part A Verification ..... NONE**

**SI04 Schedule DB - Part B Verification ..... NONE**

**SI05 Schedule DB Part C Section 1 ..... NONE**

**SI06 Schedule DB Part C Section 2 ..... NONE**

**SI07 Schedule DB - Verification ..... NONE**

**SI08 Schedule E - Verification (Cash Equivalents) ..... NONE**

**E01 Schedule A Part 2 ..... NONE**

**E01 Schedule A Part 3 ..... NONE**

**E02 Schedule B Part 2 ..... NONE**

**E02 Schedule B Part 3 ..... NONE**

**E03 Schedule BA Part 2 ..... NONE**

**E03 Schedule BA Part 3 ..... NONE**

## SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
<b>Bonds - U.S. Governments</b>									
912828P46	US Treasury Note		04/07/2016	BNY Mellon	X X X	153,190	155,000	360	1
912828Q37	US Treasury Note		04/05/2016	BNY Mellon	X X X	305,512	305,000	52	1
912828Q52	U S TREASURY NOTE		05/17/2016	BNY Mellon	X X X	2,131,747	2,135,000	1,633	1
912828Q94	U S TREASURY NOTE		05/17/2016	BNY Mellon	X X X	1,524,106	1,525,000	528	1
912828TW0	U S TREASURY NOTE		05/17/2016	BNY Mellon	X X X	2,435,951	2,435,000	844	1
912828WH9	U S TREASURY NOTE		05/31/2016	BNY Mellon	X X X	911,671	910,000	346	1
912828N71	US Treas-CPI Inflat		06/14/2016	BNY Mellon	X X X	152,535	145,639	380	1
912828Q60	US Treas-CPI Inflat		06/24/2016	BNY Mellon	X X X	232,176	226,998	57	1
912828R44	US Treasury Note		06/03/2016	BNY Mellon	X X X	303,654	305,000	160	1
0599999	Subtotal - Bonds - U.S. Governments				X X X	8,150,542	8,142,637	4,360	X X X
<b>Bonds - Industrial and Miscellaneous (Unaffiliated)</b>									
053332AT9	Autozone Inc.		04/21/2016	BNY Mellon	X X X	74,981	75,000		2FE
00206RCC4	AT&T Inc.		05/12/2016	BNY Mellon	X X X	91,635	90,000	351	2FE
00287YAY5	Abbvie Inc.		05/12/2016	BNY Mellon	X X X	44,828	45,000		2FE
035242AG1	Anheuser-Busch Inbev Finance	R	05/12/2016	BNY Mellon	X X X	60,664	60,000	339	2FE
053332AU6	Autozone Inc.		05/13/2016	BNY Mellon	X X X	75,223	75,000	74	2FE
055451AH1	BHP BILLITON FINANCE USA LTD	R	05/18/2016	BNY Mellon	X X X	73,483	65,000	552	1FE
060505DH4	BANK OF AMERICA CORP		05/12/2016	BNY Mellon	X X X	73,979	70,000	828	2FE
097023BE4	Boeing Co.		05/13/2016	BNY Mellon	X X X	75,043	75,000	352	1FE
125896BQ2	CMS Energy Corp		05/05/2016	BNY Mellon	X X X	39,849	40,000		2FE
126650CT5	CVS Health Corp		05/25/2016	BNY Mellon	X X X	59,833	60,000		2FE
20030NAW1	Comcast Corp		05/13/2016	BNY Mellon	X X X	60,092	55,000	1,550	1FE
26441CAH8	Duke Energy Corp		05/17/2016	BNY Mellon	X X X	60,239	60,000	249	2FE
345397XZ1	Ford Motor Credit Co LLP		05/04/2016	BNY Mellon	X X X	200,000	200,000		2FE
36251LAD5	GM Financial Automobile		05/18/2016	BNY Mellon	X X X	129,987	130,000		1FE
36962G6W9	General Electric Capital Corp.		05/16/2016	BNY Mellon	X X X	76,134	75,000	149	1FE
38013GAD1	GM Financial Automobile		05/18/2016	BNY Mellon	X X X	226,318	225,000	324	1FE
41284CAD6	HARLEY-DAVIDSON INC.		05/18/2016	BNY Mellon	X X X	230,377	230,000	25	1FE
46625HJR2	JP Morgan Chase & Co.		05/12/2016	BNY Mellon	X X X	107,206	105,000	713	1FE
58013MEW0	McDonald's Corp		05/13/2016	BNY Mellon	X X X	76,544	75,000	674	2FE
651229AT3	Newell Brands Inc.		05/12/2016	BNY Mellon	X X X	45,960	45,000	137	2FE
65478UAD1	Nissan Auto Receivables		05/18/2016	BNY Mellon	X X X	50,125	50,000	6	1FE
761713BC9	REYNOLDS AMERICAN INC		05/12/2016	BNY Mellon	X X X	61,217	60,000	575	2FE
80285EAD9	Santander Drive Auto Rece		05/18/2016	BNY Mellon	X X X	230,557	230,000	31	1FE
87165BAA1	Synchrony Financial		05/16/2016	BNY Mellon	X X X	75,000	75,000	355	2FE
90131HAN5	21ST CENTURY FOX AMERICA INC		05/13/2016	BNY Mellon	X X X	62,889	55,000	759	2FE
913017BQ1	United Technologies Corp		05/13/2016	BNY Mellon	X X X	78,923	70,000	1,215	1FE
92343VBF0	VERIZON COMMUNICATIONS INC.		05/13/2016	BNY Mellon	X X X	44,993	45,000	17	2FE
94974BGB0	Wells Fargo & Co.		05/12/2016	BNY Mellon	X X X	90,342	90,000	224	1FE
98956PAE2	ZIMMER BIOMET HOLDING INC		05/12/2016	BNY Mellon	X X X	45,408	45,000	103	2FE
02007LAC6	Ally Auto Receivables Tru		05/31/2016	BNY Mellon	X X X	119,988	120,000		1FE
06051GEX3	BANK OF AMERICA CORP		05/20/2016	BNY Mellon	X X X	76,232	75,000	677	2FE
12594DAD0	CNH Equipment Trust B A3		05/31/2016	BNY Mellon	X X X	79,980	80,000		1FE
46625HRL6	JP Morgan Chase & Co.		05/27/2016	BNY Mellon	X X X	73,961	75,000	51	1FE
65478QAE8	Nissan Auto Lease Trust		05/25/2016	BNY Mellon	X X X	104,978	105,000		1FE
842587CQ8	Southern Co.		05/24/2016	BNY Mellon	X X X	89,983	90,000		2FE
89236TDE2	Toyota Motor Credit Corp	R	05/20/2016	BNY Mellon	X X X	74,895	75,000		1FE
89417EAE9	Travelers Cos Inc.		05/26/2016	BNY Mellon	X X X	21,672	20,000	35	1FE
89417EAE9	Travelers Cos Inc.		05/31/2016	BNY Mellon	X X X	54,145	50,000	129	1FE
191216BV1	Coca-Cola Co.		05/31/2016	BNY Mellon	X X X	79,944	80,000		1FE
36252TAP0	GS Mortgage Securities		05/31/2016	BNY Mellon	X X X	180,245	175,000	384	1FE

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## SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
43814QAC2	Honda Auto Receivables		05/31/2016	BNY Mellon	X X X	149,997	150,000		1FE
00817YAT5	AETNA Inc.		06/02/2016	BNY Mellon	X X X	84,936	85,000		2FE
172967JT9	CITIGROUP INC		06/24/2016	BNY Mellon	X X X	62,495	60,000	139	2FE
172967KN0	CITIGROUP INC		05/26/2016	BNY Mellon	X X X	75,814	75,000		2FE
31620MAL0	Fidelity National Information		06/07/2016	BNY Mellon	X X X	74,866	75,000	15	2FE
41284DAC6	HARLEY-DAVIDSON Motorcycle		06/07/2016	BNY Mellon	X X X	164,988	165,000		1FE
74432QBC8	PRUDENTIAL FINANCIAL INC		06/01/2016	BNY Mellon	X X X	64,186	60,000	50	2FE
857477AW3	State Street Corp		06/24/2016	BNY Mellon	X X X	60,520	60,000	177	1FE
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)				X X X	4,315,654	4,250,000	11,259	X X X
8399997	Subtotal - Bonds - Part 3				X X X	12,466,196	12,392,637	15,619	X X X
8399998	Summary Item from Part 5 for Bonds (N/A to Quarterly)				X X X	X X X	X X X	X X X	X X X
8399999	Subtotal - Bonds				X X X	12,466,196	12,392,637	15,619	X X X
8999998	Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly)				X X X	X X X	X X X	X X X	X X X
9799998	Summary Item from Part 5 for Common Stocks (N/A to Quarterly)				X X X	X X X	X X X	X X X	X X X
9899999	Subtotal - Preferred and Common Stocks				X X X	X X X	X X X	X X X	X X X
9999999	Total - Bonds, Preferred and Common Stocks				X X X	12,466,196	X X X	15,619	X X X

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues .....0.

# SCHEDULE D - PART 4

## Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC or Market Indicator (a)
<b>Bonds - U.S. Governments</b>																					
912828K74	U S TREASURY NOTE		06/15/2016	BNY Mellon	XXX	175,000	175,000	170,908	170,729		4,271		4,271		175,000					08/15/2025	1
912828L24	U S TREASURY NOTE		05/16/2016	BNY Mellon	XXX	1,185,000	1,185,000	1,175,510	1,175,902		9,098		9,098		1,185,000					08/31/2022	1
912828L32	U S TREASURY NOTE		05/17/2016	BNY Mellon	XXX	1,510,000	1,510,000	1,495,789	1,496,977		13,023		13,023		1,510,000					08/31/2020	1
912828TM2	U S TREASURY NOTE		04/04/2016	BNY Mellon	XXX	305,000	305,000	304,253	304,151		849		849		305,000					08/31/2017	1
912828F47	US Treasury Note		04/06/2016	BNY Mellon	XXX	150,000	150,000	150,111	150,173		(173)		(173)		150,000					09/30/2016	1
912828M98	US Treasury Note		06/24/2016	BNY Mellon	XXX	85,000	85,000	84,572	84,578		422		422		85,000					11/30/2020	1
912828M98	US TREASURY NOTE		06/24/2016	BNY Mellon	XXX	140,000	140,000	139,590	139,592		408		408		140,000					11/30/2020	1
912828P46	US Treasury Note		05/16/2016	BNY Mellon	XXX	455,000	455,000	443,874			11,126		11,126		455,000					02/15/2026	1
912828P67	US Treasury Note		05/16/2016	BNY Mellon	XXX	605,000	605,000	597,248			7,752		7,752		605,000					02/28/2021	1
912828P46	US Treasury Note		05/16/2016	BNY Mellon	XXX	155,000	155,000	153,190			1,810		1,810		155,000					02/15/2026	1
912828Q37	US Treasury Note		05/16/2016	BNY Mellon	XXX	305,000	305,000	305,512			(512)		(512)		305,000					03/31/2021	1
0599999	Subtotal - Bonds - U.S. Governments				XXX	5,070,000	5,070,000	5,020,557	3,522,102		48,074		48,074		5,070,000					XXX	XXX
<b>Bonds - Industrial and Miscellaneous (Unaffiliated)</b>																					
037833AK6	APPLE INC		05/09/2016	BNY Mellon	XXX	55,000	55,000	53,269	53,331		1,669		1,669		55,000					05/03/2023	1FE
06051GF11	BANK OF AMERICA CORP		05/09/2016	BNY Mellon	XXX	115,000	115,000	118,938	118,827		(3,827)		(3,827)		115,000					04/01/2024	2FE
126650CL2	CVS HEALTH CORP		05/31/2016	BNY Mellon	XXX	50,000	50,000	51,364	51,326		(1,326)		(1,326)		50,000					07/20/2025	2FE
172967J19	CITIGROUP INC		05/26/2016	BNY Mellon	XXX	80,000	80,000	81,694	81,664		(1,664)		(1,664)		80,000					06/10/2025	2FE
25470DAC3	DISCOVERY COMMUNICATIONS LLC		06/15/2016	BNY Mellon	XXX	55,000	55,000	59,706	59,417		(4,417)		(4,417)		55,000					06/01/2020	2FE
278865AL4	ECOLAB INC		05/09/2016	BNY Mellon	XXX	45,000	45,000	48,648	48,504		(3,504)		(3,504)		45,000					12/08/2021	2FE
375558BB8	GILEAD SCIENCES INC		05/09/2016	BNY Mellon	XXX	45,000	45,000	45,180	45,167		(167)		(167)		45,000					09/01/2020	1FE
458140AM2	INTEL CORP		05/09/2016	BNY Mellon	XXX	35,000	35,000	34,357	34,381		619		619		35,000					12/15/2022	1FE
46625HJY7	JPMORGAN CHASE & CO		05/24/2016	BNY Mellon	XXX	70,000	70,000	69,774	69,786		214		214		70,000					09/10/2024	2FE
6174468C6	MORGAN STANLEY		05/09/2016	BNY Mellon	XXX	90,000	90,000	92,612	92,551		(2,551)		(2,551)		90,000					07/23/2025	2FE
76720AAM8	RIO TINTO FINANCE USA PLC	R	06/14/2016	BNY Mellon	XXX	55,000	55,000	54,950	54,966		34		34		55,000					12/14/2018	2FE
89153VAL3	TOTAL CAPITAL INTERNATIONAL SA	R	05/05/2016	BNY Mellon	XXX	25,000	25,000	25,849	25,823		(823)		(823)		25,000					04/10/2024	1FE
89417EAG4	TRAVELERS COS INC/THE		05/09/2016	BNY Mellon	XXX	45,000	45,000	48,682	48,473		(3,473)		(3,473)		45,000					11/01/2020	1FE
91159HHK9	US BANCORP		05/09/2016	BNY Mellon	XXX	45,000	45,000	45,735	45,714		(714)		(714)		45,000					09/11/2024	1FE
92343VBR4	VERIZON COMMUNICATIONS INC.		05/09/2016	BNY Mellon	XXX	55,000	55,000	60,996	60,800		(5,800)		(5,800)		55,000					09/11/2023	2FE
931142DP5	WAL-MART STORES INC		05/09/2016	BNY Mellon	XXX	55,000	55,000	56,385	56,334		(1,334)		(1,334)		55,000					04/22/2024	1FE
075887BE8	Becton Dickinson and Co.		05/09/2016	BNY Mellon	XXX	15,000	15,000	15,579	15,274		(274)		(274)		15,000					12/15/2019	2FE
24422ESW0	John Deere Capital Corp		05/09/2016	BNY Mellon	XXX	70,000	70,000	70,172	70,164		(164)		(164)		70,000					03/10/2020	1FE
89236TCQ6	Toyota Motor Credit Corp	R	05/09/2016	BNY Mellon	XXX	55,000	55,000	55,541	55,523		(523)		(523)		55,000					07/13/2022	1FE
345397VP5	Ford Motor Credit Co LLP		04/29/2016	BNY Mellon	XXX	100,000	100,000	107,619	106,976		(6,976)		(6,976)		100,000					08/15/2017	2FE
02865WAZ4	American Honda Finance Corp	R	05/09/2019	BNY Mellon	XXX	50,000	50,000	50,569	50,560		(560)		(560)		50,000					09/24/2020	1FE
80281LAD7	Santander UK Group	R	04/04/2016	BNY Mellon	XXX	95,000	95,000	94,904			96		96		95,000					01/08/2021	2FE
585055BG0	Medtronic Inc.		05/09/2016	BNY Mellon	XXX	45,000	45,000	45,599			(599)		(599)		45,000					03/15/2020	1FE
921813AA9	Vanderbilt University		04/29/2016	BNY Mellon	XXX	80,000	80,000	89,421			(9,421)		(9,421)		80,000					04/01/2019	2FE
98389BAS9	Xcell Energy Inc.		05/09/2016	BNY Mellon	XXX	80,000	80,000	79,978			22		22		80,000					03/15/2021	2FE
05332AT9	Autozone Inc.		05/09/2016	BNY Mellon	XXX	75,000	75,000	74,981			20		20		75,000					04/21/2026	2FE
74432QBC8	PRUDENTIAL FINANCIAL INC		06/17/2016	BNY Mellon	XXX	48,000	48,000	50,231			(3,349)		(3,349)		48,000					12/01/2017	2FE
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)				XXX	1,633,000	1,633,000	1,682,733	1,245,561		(48,792)		(48,792)		1,633,000					XXX	XXX
8399997	Subtotal - Bonds - Part 4				XXX	6,703,000	6,703,000	6,703,290	4,767,663		(718)		(718)		6,703,000					XXX	XXX
8399998	Summary Item from Part 5 for Bonds (N/A to Quarterly)				XXX	XXX	XXX	XXX	XXX		XXX		XXX		XXX					XXX	XXX
8399999	Subtotal - Bonds				XXX	6,703,000	6,703,000	6,703,290	4,767,663		(718)		(718)		6,703,000					XXX	XXX
8999998	Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly)				XXX	XXX	XXX	XXX	XXX		XXX		XXX		XXX					XXX	XXX
9799998	Summary Item from Part 5 for Common Stocks (N/A to Quarterly)				XXX	XXX	XXX	XXX	XXX		XXX		XXX		XXX					XXX	XXX
9899999	Subtotal - Preferred and Common Stocks				XXX	XXX	XXX	XXX	XXX		XXX		XXX		XXX					XXX	XXX
9999999	Total - Bonds, Preferred and Common Stocks				XXX	6,703,000	6,703,000	6,703,290	4,767,663		(718)		(718)		6,703,000					XXX	XXX

QE05

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues .....0.

**E06 Schedule DB Part A Section 1 ..... NONE**

**E07 Schedule DB Part B Section 1 ..... NONE**

**E08 Schedule DB Part D Section 1 ..... NONE**

**E09 Schedule DB Part D Section 2 - Collateral Pledged By Reporting Entity ..... NONE**

**E09 Schedule DB Part D Section 2 - Collateral Pledged To Reporting Entity ..... NONE**

**E10 Schedule DL - Part 1 - Securities Lending Collateral Assets ..... NONE**

**E11 Schedule DL - Part 2 - Securities Lending Collateral Assets ..... NONE**



## SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due & Accrued	8 Amount Received During Year
N O N E							
8699999 Total - Cash Equivalents .....							

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