



HEALTH QUARTERLY STATEMENT

AS OF MARCH 31, 2016
OF THE CONDITION AND AFFAIRS OF THE

Humana Health Plan, Inc.

NAIC Group Code 0119 0119 NAIC Company Code 95885 Employer's ID Number 61-1013183
(Current) (Prior)

Organized under the Laws of Kentucky, State of Domicile or Port of Entry Kentucky

Country of Domicile United States of America

Licensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes [] No []

Incorporated/Organized 08/23/1982 Commenced Business 09/23/1983

Statutory Home Office 321 West Main Street - 12th Floor, Louisville, KY, US 40202
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 321 West Main Street - 12th Floor
(Street and Number)
Louisville, KY, US 40202, 502-580-1000
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address P.O. Box 740036, Louisville, KY, US 40201-7436
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 321 West Main Street - 12th Floor
(Street and Number)
Louisville, KY, US 40202, 502-580-1000
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.humana.com

Statutory Statement Contact Stephanie Abel, 502-580-2050
(Name) (Area Code) (Telephone Number)
DOIINQUIRIES@humana.com, 502-580-2099
(E-mail Address) (FAX Number)

OFFICERS

President & CEO Bruce Dale Broussard Sr. VP & CFO Brian Andrew Kane
VP & Corporate Secretary Joan Olliges Lenahan VP & Chief Actuary Kenny Waitem Kan

OTHER

<u>Stephen Michael Arnhold, Vice President</u>	<u>Alan James Bailey, VP & Treasurer</u>	<u>John Edward Barger, III, VP of Dual Eligible & Medicaid Programs</u>
<u>Elizabeth Diane Bierbower, Pres, Group Segment</u>	<u>John Ellis Brown, VP - Medicare Service Operations</u>	<u>Renee Jacqueline Buckingham, VP & Div. Leader - Eastern Div.</u>
<u>Jonathan Albert Canine, VP & Appointed Actuary</u>	<u>John Gregory Catron, VP & Chief Compliance Officer</u>	<u>Charles Wilbur Dow Jr., Reg. Pres-Sr Products/Great Lakes Reg.</u>
<u>Mark Sobhi El-Tawil, VP & Div. Leader - Western Div.</u>	<u>Jeffrey Carl Fernandez, Seg. VP, Medicare: West</u>	<u>Brian Phillip LeClaire, Sr. VP & Chief Info Officer</u>
<u>Heidi Suzanne Margulis, Sr. Vice President</u>	<u>Mark Matthew Matzke, VP, Group Segment Leadership</u>	<u>Steven Edward McCulley, SVP, Medicare Operations</u>
<u>Kevin Ross Meriwether, VP & Div. Leader - Southeastern Div.</u>	<u>Matthew George Moore, Reg. Pres.-Sr. Prods./Central North Reg.</u>	<u>Bruno Roger Piquin, President, CarePlus and Puerto Rico</u>
<u>William Mark Preston, VP-Investment Management</u>	<u>Tamara Lynn Quiram, Seg. VP & Pres., Small Business & Large Group</u>	<u>Richard Donald Remmers, VP, Group Segment</u>
<u>George Renaudin, Seg. VP, Medicare: East</u>	<u>Donald Hank Robinson, Vice President - Tax</u>	<u>Joseph Christopher Ventura, Assistant Corporate Secretary</u>
<u>Timothy Alan Wheatley, President, Retail Segment</u>	<u>Ralph Martin Wilson, Vice President</u>	<u>Tod James Zacharias #, Vice President</u>
<u>Cynthia Hillebrand Zipperle, VP & Chief Accounting Officer</u>		

DIRECTORS OR TRUSTEES

Bruce Dale Broussard Brian Andrew Kane James Elmer Murray

State of Kentucky SS:
County of Jefferson

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Bruce Dale Broussard
President & CEO

Joan Olliges Lenahan
VP & Corporate Secretary

Alan James Bailey
VP & Treasurer

Subscribed and sworn to before me this 12th day of May, 2016

a. Is this an original filing? Yes [] No []
b. If no,
1. State the amendment number.....
2. Date filed
3. Number of pages attached.....

Michele Sizemore
Notary Public
January 3, 2019

STATEMENT AS OF MARCH 31, 2016 OF THE Humana Health Plan Inc.

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	899,966,509	0	899,966,509	908,762,791
2. Stocks:				
2.1 Preferred stocks	0	0	0	0
2.2 Common stocks	21,248,618	0	21,248,618	23,959,920
3. Mortgage loans on real estate:				
3.1 First liens	27,600,000	0	27,600,000	27,600,000
3.2 Other than first liens.....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$0 encumbrances)	0	0	0	0
4.2 Properties held for the production of income (less \$0 encumbrances)	0	0	0	0
4.3 Properties held for sale (less \$0 encumbrances)	0	0	0	0
5. Cash (\$(15,258,065)), cash equivalents (\$84,997,161) and short-term investments (\$90,346,104)	160,085,200	0	160,085,200	3,625,111
6. Contract loans (including \$0 premium notes)	0	0	0	0
7. Derivatives	0	0	0	0
8. Other invested assets	0	0	0	0
9. Receivables for securities	310,000	0	310,000	250,000
10. Securities lending reinvested collateral assets	0	0	0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	1,109,210,327	0	1,109,210,327	964,197,822
13. Title plants less \$0 charged off (for Title insurers only)	0	0	0	0
14. Investment income due and accrued	5,679,227	0	5,679,227	5,961,816
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	62,954,993	3,934,126	59,020,867	56,298,807
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$0 earned but unbilled premiums)	0	0	0	0
15.3 Accrued retrospective premiums (\$2,261,897) and contracts subject to redetermination (\$172,356,890)	198,692,750	24,073,963	174,618,787	90,063,260
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	14,211,948	1,207,321	13,004,627	19,104,768
16.2 Funds held by or deposited with reinsured companies	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts	0	0	0	0
17. Amounts receivable relating to uninsured plans	112,233,109	15,305	112,217,804	103,429,748
18.1 Current federal and foreign income tax recoverable and interest thereon	0	0	0	0
18.2 Net deferred tax asset	99,121,819	26,311,751	72,810,068	72,810,068
19. Guaranty funds receivable or on deposit	0	0	0	0
20. Electronic data processing equipment and software	143,206	0	143,206	48,186
21. Furniture and equipment, including health care delivery assets (\$0)	5,073,890	5,073,890	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates	0	0	0	21,305,458
24. Health care (\$56,603,968) and other amounts receivable	81,011,431	19,441,866	61,569,565	52,134,684
25. Aggregate write-ins for other than invested assets	73,730,293	72,482,838	1,247,455	255,375
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	1,762,062,993	152,541,060	1,609,521,933	1,385,609,992
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0	0
28. Total (Lines 26 and 27)	1,762,062,993	152,541,060	1,609,521,933	1,385,609,992
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Goodwill	38,587,092	38,587,092	0	0
2502. Provider Contracts	17,489,344	17,489,344	0	0
2503. Prepaid Commissions	13,589,879	13,589,879	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	4,063,978	2,816,523	1,247,455	255,375
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	73,730,293	72,482,838	1,247,455	255,375

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$67,713,404 reinsurance ceded)	576,566,361	50,375,924	626,942,285	488,751,681
2. Accrued medical incentive pool and bonus amounts	2,565,856	0	2,565,856	1,654,575
3. Unpaid claims adjustment expenses	4,356,043	0	4,356,043	3,466,717
4. Aggregate health policy reserves, including the liability of \$5,458,938 for medical loss ratio rebate per the Public Health Service Act	85,096,999	0	85,096,999	81,778,660
5. Aggregate life policy reserves	0	0	0	0
6. Property/casualty unearned premium reserve	0	0	0	0
7. Aggregate health claim reserves	148,388	11,612	160,000	160,000
8. Premiums received in advance	25,450,187	0	25,450,187	27,347,411
9. General expenses due or accrued	161,661,423	0	161,661,423	28,129,388
10.1 Current federal and foreign income tax payable and interest thereon (including \$517,985 on realized gains (losses))	19,918,399	0	19,918,399	1,770,490
10.2 Net deferred tax liability	0	0	0	0
11. Ceded reinsurance premiums payable	367,371	0	367,371	1,181,355
12. Amounts withheld or retained for the account of others	33,658	0	33,658	31,687
13. Remittances and items not allocated	544,754	0	544,754	389,349
14. Borrowed money (including \$0 current) and interest thereon \$0 (including \$0 current)	0	0	0	0
15. Amounts due to parent, subsidiaries and affiliates	3,836,751	0	3,836,751	0
16. Derivatives	0	0	0	0
17. Payable for securities	13,551,864	0	13,551,864	0
18. Payable for securities lending	0	0	0	0
19. Funds held under reinsurance treaties (with \$0 authorized reinsurers, \$0 unauthorized reinsurers and \$0 certified reinsurers)	125,328,608	0	125,328,608	121,921,740
20. Reinsurance in unauthorized and certified (\$0) companies	0	0	0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates	0	0	0	0
22. Liability for amounts held under uninsured plans	69,481,428	0	69,481,428	27,719,826
23. Aggregate write-ins for other liabilities (including \$0 current)	0	0	0	0
24. Total liabilities (Lines 1 to 23)	1,088,908,090	50,387,536	1,139,295,626	784,302,879
25. Aggregate write-ins for special surplus funds	XXX	XXX	0	131,614,832
26. Common capital stock	XXX	XXX	2,248,000	2,248,000
27. Preferred capital stock	XXX	XXX	0	0
28. Gross paid in and contributed surplus	XXX	XXX	914,261,854	914,261,854
29. Surplus notes	XXX	XXX	0	0
30. Aggregate write-ins for other than special surplus funds	XXX	XXX	0	0
31. Unassigned funds (surplus)	XXX	XXX	(446,283,547)	(446,817,573)
32. Less treasury stock, at cost:				
32.10 shares common (value included in Line 26 \$0)	XXX	XXX	0	0
32.20 shares preferred (value included in Line 27 \$0)	XXX	XXX	0	0
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	470,226,307	601,307,113
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	1,609,521,933	1,385,609,992
DETAILS OF WRITE-INS				
2301.				
2302.				
2303.				
2398. Summary of remaining write-ins for Line 23 from overflow page	0	0	0	0
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	0	0	0	0
2501. Special Surplus - Projected HCRL Assessment for the Upcoming Year	XXX	XXX	0	131,614,832
2502.	XXX	XXX		
2503.	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX	0	131,614,832
3001.	XXX	XXX		
3002.	XXX	XXX		
3003.	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)	XXX	XXX	0	0

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months	XXX	2,792,779	2,427,417	9,944,267
2. Net premium income (including \$ 0 non-health premium income)	XXX	1,817,921,040	1,468,228,474	5,775,581,866
3. Change in unearned premium reserves and reserve for rate credits	XXX	8,178,166	2,095,092	15,349,847
4. Fee-for-service (net of \$ 0 medical expenses)	XXX	0	0	0
5. Risk revenue	XXX	0	0	0
6. Aggregate write-ins for other health care related revenues	XXX	0	0	0
7. Aggregate write-ins for other non-health revenues	XXX	0	0	0
8. Total revenues (Lines 2 to 7)	XXX	1,826,099,206	1,470,323,566	5,790,931,713
Hospital and Medical:				
9. Hospital/medical benefits	103,317,678	1,433,829,188	1,179,870,266	4,762,445,219
10. Other professional services	0	45,031,401	25,472,049	120,109,089
11. Outside referrals	0	0	0	0
12. Emergency room and out-of-area	12,686,523	51,768,128	42,127,467	179,242,490
13. Prescription drugs	0	225,205,907	184,774,656	655,453,851
14. Aggregate write-ins for other hospital and medical	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts	0	1,083,779	321,522	2,533,747
16. Subtotal (Lines 9 to 15)	116,004,201	1,756,918,403	1,432,565,960	5,719,784,396
Less:				
17. Net reinsurance recoveries	0	156,715,157	147,304,683	634,816,763
18. Total hospital and medical (Lines 16 minus 17)	116,004,201	1,600,203,246	1,285,261,277	5,084,967,633
19. Non-health claims (net)	0	0	0	0
20. Claims adjustment expenses, including \$ 62,351,581 cost containment expenses	0	71,174,252	66,712,386	279,589,364
21. General administrative expenses	0	261,464,411	219,629,728	523,929,156
22. Increase in reserves for life and accident and health contracts (including \$ 0 increase in reserves for life only)	0	(1,913,375)	(7,815,447)	20,780,272
23. Total underwriting deductions (Lines 18 through 22)	116,004,201	1,930,928,534	1,563,787,944	5,909,266,425
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	(104,829,328)	(93,464,378)	(118,334,712)
25. Net investment income earned	0	5,145,696	6,400,181	24,757,959
26. Net realized capital gains (losses) less capital gains tax of \$ 517,985	0	961,973	467,072	6,513,307
27. Net investment gains (losses) (Lines 25 plus 26)	0	6,107,669	6,867,253	31,271,266
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$ 0) (amount charged off \$ 0)]	0	0	0	0
29. Aggregate write-ins for other income or expenses	0	(32,643)	3,746,667	(93,329)
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	(98,754,302)	(82,850,458)	(87,156,775)
31. Federal and foreign income taxes incurred	XXX	19,400,414	7,570,142	15,729,115
32. Net income (loss) (Lines 30 minus 31)	XXX	(118,154,716)	(90,420,600)	(102,885,890)
DETAILS OF WRITE-INS				
0601.	XXX			
0602.	XXX			
0603.	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above)	XXX	0	0	0
0701.	XXX			
0702.	XXX			
0703.	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above)	XXX	0	0	0
1401.				
1402.				
1403.				
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	0	0	0	0
2901. Miscellaneous (Loss) Income	0	69	3,746,667	127,285
2902. Loss on Disposal	0	(32,712)	0	(220,614)
2903.				
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	(32,643)	3,746,667	(93,329)

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
CAPITAL AND SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year.....	601,307,113	585,645,715	585,645,715
34. Net income or (loss) from Line 32	(118,154,716)	(90,420,600)	(102,885,890)
35. Change in valuation basis of aggregate policy and claim reserves	0	0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ 0	(2,607,708)	(3,710,725)	(3,239,582)
37. Change in net unrealized foreign exchange capital gain or (loss)	0	0	0
38. Change in net deferred income tax	0	0	22,852,904
39. Change in nonadmitted assets	(10,318,382)	(4,611,600)	(12,104,034)
40. Change in unauthorized and certified reinsurance	0	0	0
41. Change in treasury stock	0	0	0
42. Change in surplus notes	0	0	0
43. Cumulative effect of changes in accounting principles.....	0	0	0
44. Capital Changes:			
44.1 Paid in	0	0	0
44.2 Transferred from surplus (Stock Dividend).....	0	0	0
44.3 Transferred to surplus.....	0	0	0
45. Surplus adjustments:			
45.1 Paid in	0	0	125,000,000
45.2 Transferred to capital (Stock Dividend)	0	0	0
45.3 Transferred from capital	0	0	0
46. Dividends to stockholders	0	0	0
47. Aggregate write-ins for gains or (losses) in surplus	0	0	(13,962,000)
48. Net change in capital & surplus (Lines 34 to 47)	(131,080,806)	(98,742,925)	15,661,398
49. Capital and surplus end of reporting period (Line 33 plus 48)	470,226,307	486,902,790	601,307,113
DETAILS OF WRITE-INS			
4701. 2016 Risk Corridor Impact on Premium Deficiency Reserve	0	0	(13,962,000)
4702.			
4703.			
4798. Summary of remaining write-ins for Line 47 from overflow page	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	0	0	(13,962,000)

STATEMENT AS OF MARCH 31, 2016 OF THE Humana Health Plan Inc.

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	1,732,235,622	1,365,920,973	5,769,306,787
2. Net investment income	8,277,477	6,927,693	31,115,354
3. Miscellaneous income	0	0	0
4. Total (Lines 1 to 3)	1,740,513,099	1,372,848,666	5,800,422,141
5. Benefit and loss related payments	1,462,061,420	1,229,308,290	5,051,182,722
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	153,183,215	137,692,463	796,728,024
8. Dividends paid to policyholders	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ 3,507,165 tax on capital gains (losses)	1,770,490	1,032,886	18,498,675
10. Total (Lines 5 through 9)	1,617,015,125	1,368,033,639	5,866,409,421
11. Net cash from operations (Line 4 minus Line 10)	123,497,974	4,815,027	(65,987,280)
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	143,355,366	56,103,019	634,009,741
12.2 Stocks	0	0	0
12.3 Mortgage loans	0	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	4,285	1,050	3,370
12.7 Miscellaneous proceeds	13,551,864	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7)	156,911,515	56,104,069	634,013,111
13. Cost of investments acquired (long-term only):			
13.1 Bonds	135,829,007	140,405,250	780,709,895
13.2 Stocks	0	0	0
13.3 Mortgage loans	0	0	0
13.4 Real estate	0	0	0
13.5 Other invested assets	0	0	0
13.6 Miscellaneous applications	60,000	100,000	0
13.7 Total investments acquired (Lines 13.1 to 13.6)	135,889,007	140,505,250	780,709,895
14. Net increase (or decrease) in contract loans and premium notes	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	21,022,508	(84,401,181)	(146,696,784)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock	0	0	125,000,000
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
16.5 Dividends to stockholders	0	0	0
16.6 Other cash provided (applied)	11,939,607	(15,203,216)	(37,063,790)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	11,939,607	(15,203,216)	87,936,210
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	156,460,089	(94,789,370)	(124,747,854)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	3,625,111	128,372,965	128,372,965
19.2 End of period (Line 18 plus Line 19.1)	160,085,200	33,583,595	3,625,111

Note: Supplemental disclosures of cash flow information for non-cash transactions:

--	--	--	--

STATEMENT AS OF MARCH 31, 2016 OF THE Humana Health Plan Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
Total Members at end of:										
1. Prior Year	861,463	25,897	183,999	0	0	0	20,834	480,688	150,045	0
2. First Quarter	951,840	32,845	178,172	0	0	0	17,600	573,981	149,242	0
3. Second Quarter	0	0	0	0	0	0	0	0	0	0
4. Third Quarter	0	0	0	0	0	0	0	0	0	0
5. Current Year	0	0	0	0	0	0	0	0	0	0
6. Current Year Member Months	2,792,779	93,556	531,486	0	0	0	51,844	1,718,355	397,538	0
Total Member Ambulatory Encounters for Period:										
7. Physician	3,639,839	54,574	320,255	0	0	0	51,239	2,567,009	646,762	0
8. Non-Physician	1,905,914	21,612	115,717	0	0	0	20,395	1,313,738	434,452	0
9. Total	5,545,753	76,186	435,972	0	0	0	71,634	3,880,747	1,081,214	0
10. Hospital Patient Days Incurred	396,650	2,402	11,368	0	0	0	2,001	320,005	60,874	0
11. Number of Inpatient Admissions	51,029	435	2,062	0	0	0	279	37,275	10,978	0
12. Health Premiums Written (a)	2,005,566,643	31,308,151	187,922,812	0	0	0	32,090,844	1,495,530,322	258,714,515	0
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0
15. Health Premiums Earned	2,013,744,810	37,955,491	187,584,219	0	0	0	32,090,844	1,496,682,844	259,431,411	0
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0
17. Amount Paid for Provision of Health Care Services.....	1,644,954,153	31,037,986	138,556,713	0	0	147	28,534,568	1,205,823,474	241,001,264	0
18. Amount Incurred for Provision of Health Care Services	1,756,918,403	31,411,388	138,285,072	0	0	(5)	24,651,602	1,335,405,508	227,164,838	0

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$1,495,530,322

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical)	58,185,782	108,836,202	12,038,784	67,600,090	70,224,566	79,719,712
2. Medicare Supplement	0	0	0	0	0	0
3. Dental Only	0	147	0	12	0	164
4. Vision Only	0	0	0	0	0	0
5. Federal Employees Health Benefits Plan	6,786,789	21,747,780	3,256,851	7,241,948	10,043,640	12,496,436
6. Title XVIII - Medicare	253,805,567	951,845,407	50,971,351	457,082,600	304,776,918	371,103,355
7. Title XIX - Medicaid	36,437,536	31,049,908	11,816,677	17,093,972	48,254,213	25,592,014
8. Other health	0	0	0	0	0	0
9. Health subtotal (Lines 1 to 8)	355,215,674	1,113,479,444	78,083,663	549,018,622	433,299,337	488,911,681
10. Healthcare receivables (a)	23,512,400	52,533,433	0	0	23,512,400	68,279,578
11. Other non-health	0	0	0	0	0	0
12. Medical incentive pools and bonus amounts	0	172,498	0	2,565,856	0	1,654,575
13. Totals (Lines 9-10+11+12)	331,703,274	1,061,118,509	78,083,663	551,584,478	409,786,937	422,286,678

(a) Excludes \$0 loans or advances to providers not yet expensed.

STATEMENT AS OF March 31, 2016 OF Humana Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies

A. Accounting Practices

The financial statements of the Company are presented on the basis of accounting practices prescribed or permitted by the Commonwealth of Kentucky Department of Insurance.

The Commonwealth of Kentucky Department of Insurance recognizes only statutory accounting practices prescribed or permitted by the State of Kentucky for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Kentucky Insurance Law. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures manual (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Kentucky. The Commissioner of Insurance has the right to permit other specific practices that deviate from prescribed practices. No deviations from the Codification currently exist.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Kentucky is shown below:

	State of Domicile	2016	2015
Net Loss			
1. Humana Health Plan, Inc. Kentucky basis	KY	\$ (118,154,716)	\$ (102,885,890)
2. State Prescribed Practices that increase/(decrease) NAIC SAP	KY	-	-
3. State Permitted Practices that increase/(decrease) NAIC SAP	KY	-	-
4. NAIC SAP	KY	\$ <u>(118,154,716)</u>	\$ <u>(102,885,890)</u>
Surplus			
5. Humana Health Plan, Inc. Kentucky basis	KY	\$ 470,226,307	\$ 601,307,113
6. State Prescribed Practices that increase/(decrease) NAIC SAP	KY	-	-
7. State Permitted Practices that increase/(decrease) NAIC SAP	KY	-	-
8. NAIC SAP	KY	\$ <u>470,226,307</u>	\$ <u>601,307,113</u>

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. These estimates are based on knowledge of current events and anticipated future events, and accordingly, actual results could differ from those estimates.

C. Accounting Policy

Premiums are reported as earned in the period in which members are entitled to receive services, and are net of retroactive membership adjustments. Retroactive membership adjustments result from enrollment changes not yet processed, or not yet reported by an employer group or the government. Premiums received prior to such period are recorded as advance premiums.

Benefits incurred and loss adjustment expenses include claim payments, capitation payments, pharmacy costs net of rebates, allocations of certain centralized expenses, legal and administrative costs to settle claims, and various other costs incurred to provide health insurance coverage to members, as well as estimates of future payments to hospitals and others for medical care provided prior to the date of the statements of admitted assets, liabilities and surplus. Capitation payments represent monthly contractual fees disbursed to participating primary care physicians, and other providers who are responsible for providing medical care to members. Pharmacy costs represent payments for members' prescription drug benefits, net of rebates from drug manufacturers.

In addition, the Company uses the following accounting policies:

- (1) Short-term investments include investments mainly in U.S. Government obligations with a maturity of twelve months or less from the date of purchase. Short-term investments are recorded at amortized cost. The carrying value of short-term investments approximates fair value due to the short-term maturities of the investments.
- (2-4) Investments are valued and classified in accordance with methods prescribed by the NAIC. Bonds with an NAIC rating of 1 or 2 are carried at amortized cost, with all other bonds being recorded at the lower of amortized cost or fair value; redeemable preferred stocks are carried at amortized cost; and non-redeemable preferred stocks are carried at fair value. Common stocks are carried at fair value.

The Company regularly evaluates investment securities for impairment. For all securities other than loan-backed and structured securities, the Company considers factors affecting the investee, factors affecting the industry the investee operates within, and general debt and equity market trends. The Company also considers the length of time an investment's fair value has been below carrying value, the near term prospects for recovery to carrying value, and the Company's intent and ability to hold the investment until maturity or market recovery is realized. If and when a determination is made that a decline in fair value below the cost basis is other-than-temporary, the related investment is written down to its estimated fair value through earnings.

Amortization of bond premium or discount is computed using the scientific interest method.

Income from investments is recorded on an accrual basis. For the purpose of determining realized gains and losses, the cost of securities sold is based upon specific identification. Investment income due and accrued

STATEMENT AS OF March 31, 2016 OF Humana Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

over 90 days past due is nonadmitted.

- (5) The Company estimates the fair value of its investments in mortgage loans on real estate using a discounted cash flow method based on rating, maturity and future income when compared to the expected yield for mortgages having similar characteristics. The rating for mortgages in good standing is based on property type, location, market conditions, occupancy, debt service coverage, loan to value, caliber of tenancy, borrower and payment record. Problem mortgages are priced to reflect their monetary value to the Company, considering such things as the degree of default, whether or not the payments are still being made, interest rate, maturity and operating performance of the underlying collateral.
- (6) For loan backed and structured securities where the securities fair value is less than the amortized cost, the Company considers several factors to determine if the security's impairment is other-than-temporary. If the Company has the intent to sell the security or if the Company does not have the intent and ability to retain the security until recovery of its fair value, the related investment is written down to its estimated fair value through earnings. If, however, the Company has the intent and ability to retain the security until recovery of its fair value, the Company considers factors affecting the investee, factors affecting the industry the investee operates within, and general debt and equity market trends. The Company also considers the length of time an investment's fair value has been below carrying value and the near term prospects for recovery to carrying value. If the determination is made, based on these factors, that the Company does expect to recover the entire amortized cost of the security, then an other-than-temporary impairment has not occurred. If, however, the determination is made that the Company does not expect to recover the entire amortized cost of the security based on the factors noted above, the Company recognizes a realized loss in earnings for the non-interest related decline. No loss is recognized for the interest impairment.
- (7) The Company accounts for its investments in subsidiaries using the audited statutory equity method of accounting.
- (8) Not Applicable.
- (9) Not Applicable.
- (10-11) The estimates of future medical benefit payments are developed using actuarial methods and assumptions based upon claim payment patterns, medical cost inflation, historical development such as claim inventory levels and claim receipt patterns, and other relevant factors. Corresponding administrative costs to process outstanding claims are estimated and accrued. Estimates of future payments relating to services incurred in the current and prior periods are continually reviewed by management and adjusted as necessary.

The Company assesses the profitability of its contracts for providing health insurance coverage to its members when current operating results or forecasts indicate probable future losses. The Company records a premium deficiency liability in current operations to the extent that the sum of expected future medical costs, claim adjustment expenses and maintenance costs exceed related future premiums. On policies governed by the recently issued guidance in INT 15-01, the anticipated impact of future Risk Corridor Receivables has been recorded as a direct charge to surplus consistent with the required non-admission of these assets in future periods. Investment income is not contemplated in the calculation of the premium deficiency liability.

Management believes the Company's benefits payable and loss adjustment expense are adequate to cover future claims and loss adjustment expense payments required, however, such estimates are based on knowledge of current events and anticipated future events and, therefore, the actual liability could differ from the amounts provided.

- (12) The Company has not modified its capitalization policy from the prior period.

Equipment is stated at cost less accumulated depreciation. Depreciation expense is computed using the straight-line method over estimated useful lives generally ranging from three to five years. Improvements to leased facilities are depreciated over the shorter of the remaining lease term or the anticipated life of the improvement.

The Company recognizes an asset or liability for the deferred tax consequences of temporary differences between the tax bases of assets or liabilities and their reported amounts in the financial statements. The temporary differences will result in taxable or deductible amounts in future years when the reported amounts of the assets or liabilities are recovered or settled.
- (13) The Company estimates anticipated Pharmacy Rebate Receivables using the analysis of historical recovery patterns.
- (14) Not Applicable.
- (15) Not Applicable.

2. Accounting Changes and Corrections of Errors

Not Applicable.

3. Business Combinations and Goodwill

A. Statutory Purchase Method

On April 30, 2008, the Company acquired UnitedHealth Group's Las Vegas, Nevada individual SecureHorizons Medicare Advantage HMO customer and provider contracts, or SecureHorizons, for cash consideration of approximately \$185,300,000. In accordance with SSAP No. 20, *Nonadmitted Assets*, the \$185,300,000 of intangible assets were recorded as nonadmitted assets. Goodwill amortization expense relating to the purchase of SecureHorizons was \$4,630,451 for the quarter ended March 31, 2016.

NOTES TO THE FINANCIAL STATEMENTS

B. Statutory Merger

Not Applicable.

C. Assumption Reinsurance

Not Applicable.

D. Impairment Loss

Not Applicable.

4. Discontinued Operations

Not Applicable.

5. Investments

A. Mortgage Loans, Including Mezzanine Real Estate Loans

No material change since year-end December 31, 2015.

B. Debt Restructuring

Not Applicable.

C. Reverse Mortgages

Not Applicable.

D. Loan-Backed Securities

(1) Not Applicable.

(2) Not Applicable.

(3) Not Applicable.

(4) The Company does not have any investments in an other-than-temporary impairment position at March 31, 2016.

Gross unrealized losses and related fair value of temporarily impaired securities that have been in a continuous unrealized loss position were as follows at March 31, 2016:

(a) The aggregate amount of unrealized losses:		
1. Less than Twelve Months	\$	(683,653)
2. Twelve Months or Longer	\$	(4,529,487)
(b) The aggregate related fair value of securities with unrealized losses:		
1. Less than Twelve Months	\$	64,146,607
2. Twelve Months or Longer	\$	72,726,735

The unrealized losses at March 31, 2016 were primarily due to increases in market interest rates and tighter liquidity conditions in the current markets than when the securities were purchased. All issuers of securities trading at an unrealized loss remain current on all contractual payments and the Company believes it is probable that all amounts due according to the contractual terms of the debt securities are collectible. After taking into account these and other factors, including the severity of the decline and the Company's ability and intent to hold these securities until recovery or maturity, the Company determined the unrealized losses on these investment securities were temporary and, as such, no impairment was required.

(5) Not Applicable.

E. Repurchase Agreements and/or Securities Lending Transactions

(1) The Company has no repurchase agreements or securities lending transactions.

(2) The Company has not pledged any of its assets as collateral.

(3-7) Not Applicable.

F. Real Estate

Not Applicable.

G. Low-Income Housing Tax Credits (LIHTC)

Not Applicable.

STATEMENT AS OF March 31, 2016 OF Humana Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

H. Restricted Assets

(1) Restricted Assets (Including Pledged)

Restricted Asset Category	Total Gross Restricted from Current Year	Total Gross Restricted from Prior Year	Increase/ (Decrease)	Total Current Year Admitted Restricted	Percentage Gross Restricted to Total Assets	Percentage Admitted Restricted to Total Admitted Assets
a. Subject to contractual obligation for which liability is not shown	\$ -	\$ -	\$ -	\$ -	-%	-%
b. Collateral held under security lending agreements	-	-	-	-	-	-
c. Subject to repurchase agreements	-	-	-	-	-	-
d. Subject to reverse repurchase agreements	-	-	-	-	-	-
e. Subject to dollar repurchase agreements	-	-	-	-	-	-
f. Subject to dollar reverse repurchase agreements	-	-	-	-	-	-
g. Placed under option contracts	-	-	-	-	-	-
h. Letter stock or securities restricted to sale – excluding FHLB capital stock	-	-	-	-	-	-
i. FHLB capital stock	-	-	-	-	-	-
j. On deposit with states	21,223,978	21,630,579	(406,601)	21,223,978	1.20%	1.32%
k. On deposit with other regulatory bodies	-	-	-	-	-	-
l. Pledged collateral to FHLB (including assets backing funding agreements)	-	-	-	-	-	-
m. Pledged as collateral not captured in other categories	-	-	-	-	-	-
n. Other restricted assets	-	-	-	-	-	-
o. Total Restricted Assets	\$ 21,223,978	\$ 21,630,579	\$ (406,601)	\$ 21,223,978	1.20%	1.32%

(2) Detail of Assets Pledged as Collateral Not Captured in Other Categories (Contracts that Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Not Applicable.

(3) Detail of Other Restricted Assets Categories (Contracts that Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Not Applicable.

I. Working Capital Finance Investments

Not Applicable.

J. Offsetting and Netting of Assets and Liabilities

Not Applicable.

K. Structured Notes

Not Applicable.

6. Joint Ventures, Partnerships and Limited Liability Companies

A. The Company has no investments in Joint Ventures, Partnerships or Limited Liability Companies that exceed 10.0 percent of its admitted assets.

B. The Company did not recognize any impairment write down for its investments in Joint Ventures, Partnerships and Limited Liability Companies during the statement periods.

7. Investment Income

A. Due and accrued income was excluded from surplus on the following basis:

All investment income due and accrued with amounts that are over 90 days past due with the exception of mortgage loans in default.

B. The total amount excluded was \$0.

8. Derivative Instruments

Not Applicable.

STATEMENT AS OF March 31, 2016 OF Humana Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

9. Income Taxes

No material change since year-end December 31, 2015.

10. Information Concerning Parent, Subsidiaries and Affiliates

A.-F. The Company has several management contracts with Humana Inc. and other related parties whereby the Company is provided with medical and executive management, information systems, claims processing, billing and enrollment, and telemarketing and other services as required by the Company. Management fees charged to operations for the years ended December 31, 2015 and 2014 were \$562,987,176 and \$594,777,349, respectively. As a part of this agreement, Humana Inc. makes cash disbursements on behalf of the Company which includes, but is not limited to, medical related items, general and administrative expenses, commissions and payroll. Humana Inc. is reimbursed by the Company weekly, based upon historical pattern of amounts and timing. Each month, these estimates are adjusted to ultimately settle upon actual disbursements made on behalf of the Company. The Company continues to be primarily liable for any outstanding payments made on behalf of the Company, should Humana Inc. not be able to fulfill its obligations.

No dividends were paid by the Company as of March 31, 2016.

At March 31, 2016, the Company reported \$3,836,751 due to Humana Inc. Amounts due to or from parent are generally settled within 30 days.

G. All outstanding shares of the Company are owned by the Parent Company.

H. Not Applicable.

I. Not Applicable.

J. Not Applicable.

K. Not Applicable.

L. Not Applicable.

M. Not Applicable

N. Not Applicable

11. Debt

A. Debt Including Capital Notes

The Company has no debentures outstanding.

The Company has no capital notes outstanding.

The Company does not have any reverse repurchase agreements.

B. Federal Home Loan Bank (FHLB) Agreements

The Company does not have any FHLB agreements.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A.-D. Defined Benefit Plans

Not Applicable.

E. Defined Contribution Plans

Not Applicable.

F. Multiemployer Plans

Not Applicable.

G. Consolidated/Holding Company Plans

No material change since year-end December 31, 2015.

H. Postemployment Benefits and Compensated Absences

Not Applicable.

I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17)

Not Applicable.

STATEMENT AS OF March 31, 2016 OF Humana Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

- (1) The Company has \$1 par value common stock with 5,000,000 shares authorized and 2,248,000 shares issued and 2,248,000 outstanding. All shares are common stock shares.
- (2) The Company has no preferred stock outstanding.
- (3-5) Dividends are noncumulative and are paid as determined by the Board of Directors. Dividends are subject to the approval of the Commonwealth of Kentucky Department of Insurance if such dividend distribution exceeds the lesser of the Company's prior year net operating profits or 10 percent of policyholders surplus funds derived from realized net operating profits.

Within the limitations above, there are no restrictions placed on the portion of Company profits that may be paid as ordinary dividends to stockholders.

No dividends were paid by the Company as of March 31, 2016.

- (6) There were no restrictions placed on the Company's surplus, including for whom the surplus is being held.
- (7) Not Applicable.
- (8) Not Applicable.
- (9) Changes in balances of special surplus funds from the prior year is due to the moratorium of the 2017 Health Insurer Fee.
- (10) The portion of unassigned funds (surplus) represented or reduced by cumulative unrealized gains and losses is \$(2,607,708).
- (11) Not Applicable.
- (12) Not Applicable.
- (13) Not Applicable.

14. Liabilities, Contingencies and Assessments

- A. Contingent Commitments
Not Applicable.
- B. Assessments
Not Applicable.
- C. Gain Contingencies
Not Applicable.
- D. Claims related extra contractual obligation and bad faith losses stemming from lawsuits
Not Applicable.
- E. Joint and Several Liabilities
Not Applicable.
- F. All Other Contingencies

During the ordinary course of business, the Company is subject to pending and threatened legal actions. Management of the Company does not believe that any of these actions will have a material adverse effect on the Company's surplus, results of operations or cash flows. However, the likelihood or outcome of current or future legal proceedings cannot be accurately predicted, and they could adversely affect the Company's surplus, results of operations and cash flows.

The Company is not aware of any other material contingent liabilities as of March 31, 2016.

15. Leases

No material change since year-end December 31, 2015.

16. Information about Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

The Company has no investment in Financial Instruments with Off-Balance Sheet Risk or Concentrations of Credit Risk.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

- A. Transfers of Receivables Reported as Sales
Not Applicable.

STATEMENT AS OF March 31, 2016 OF Humana Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

B. Transfer and Servicing of Financial Assets

Not Applicable.

C. Wash Sales

Not Applicable.

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

A. ASO Plans

The gain from operations from Administrative Services Only (ASO) uninsured plans and the uninsured portion of partially insured plans were as follows for the quarter ended March 31, 2016:

	ASO Uninsured Plans	Uninsured Portion of Partially Insured Plans	Total ASO
a. Net reimbursement for administrative expenses (including administrative fees) in excess of actual expenses	\$ 1,577,377	\$ -	\$ 1,577,377
b. Total net other income or expenses (including interest paid to or received from plans)	\$ (4,968)	\$ -	\$ (4,968)
c. Net gain or (loss) from operations	\$ 1,572,409	\$ -	\$ 1,572,409
d. Total claim payment volume	255,251,048	-	255,251,048

B. ASC Plans

Not Applicable.

C. Medicare or Other Similarly Structured Cost Based Reimbursement Contract

(1) The Company records no revenue explicitly attributable to the cost share and reinsurance components of its Medicare or other similarly structured cost based reimbursement contracts.

(2) As of March 31, 2016, the Company has recorded a receivable from CMS of \$100,682,348 related to the cost share and reinsurance components of administered Medicare products, a receivable from HHS of \$9,568 related to the cost share and reinsurance components of administered commercial ACA products and a receivable from ASO customers of \$11,525,889. The Company has recorded receivables from the following payers whose account balance are greater than 10% of the Company's amounts receivable from uninsured accident and health plans or \$10,000:

Covenant Health	\$932,836
Rouse's Enterprises, LLC	884,571
The Christ Hospital	642,131

(3) As no revenue is recorded in connection with the cost share and reinsurance components of the Company's Medicare or other similarly structured cost based reimbursement contracts, the Company has recorded no allowances and reserves for adjustment of recorded revenues and receivables.

(4) The Company has made no adjustment to revenue resulting from audit of receivables related to revenues recorded in the prior period.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not Applicable.

20. Fair Value Measurements

A. (1) The Company did not have any financial assets carried at fair value at March 31, 2016.

The Company reports transfers between Level 1 and Level 2 of the fair value hierarchy levels at the end of the reporting period. There were no transfers between Level 1 and Level 2 of the fair value hierarchy between December 31, 2015 and March 31, 2016.

(2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy

Not Applicable.

(3) The Company reports transfers into or out of Level 3 of the fair value hierarchy levels at the end of the reporting period. There were no transfers into or out of Level 3 of the fair value hierarchy levels between December 31, 2015 and March 31, 2016.

(4) Fair value of actively traded debt securities are based on quoted market prices. Fair value of other debt securities are based on quoted market prices of identical or similar securities or based on observable inputs like interest rates generally using a market valuation approach, or, less frequently, an income valuation approach and are generally classified as Level 2. The Company generally obtains one quoted price for each security from a third party pricing service. These prices are generally derived from recently reported trades for identical or similar securities, including adjustments through the reporting date based upon observable market information. When quoted prices are not available, the third party pricing service may use quoted market prices of comparable securities or

STATEMENT AS OF March 31, 2016 OF Humana Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include benchmark yields, reported trades, credit spreads, broker quotes, default rates and prepayment speeds. The Company is responsible for the determination of fair value and as such, the Company performs analysis on the prices received from the third party pricing service to determine whether the prices are reasonable estimates of fair value. The Company's analysis includes a review of monthly price fluctuations as well as a quarterly comparison of the prices received from the pricing service to prices reported by the Company's third party investment advisor. Based on the Company's internal price verification procedures and review of fair value methodology documentation provided by the third party pricing service, there were no material adjustments to the prices obtained from the third party pricing service during the quarter ended March 31, 2016.

(5) Derivative Fair Values

Not Applicable.

B. Other Fair Value Disclosures

Not Applicable.

C. Fair Values for All Financial Instruments by Levels 1, 2 and 3

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Not Practicable (Carrying Value)
Bonds, short-term investments and cash equivalents	\$ 1,079,160,352	\$ 1,075,309,774	\$ 170,013,560	\$ 909,146,792	\$ -	\$ -

D. Financial Instruments for which Not Practicable to Estimate Fair Values

Not Applicable.

21. Other Items

A. Extraordinary Items

Not Applicable.

B. Troubled Debt Restructuring: Debtors

Not Applicable.

C. Other Disclosures and Unusual Items

Not Applicable.

D. Business Interruption Insurance Recoveries

Not Applicable.

E. State Transferable and Non-transferable Tax Credits

Not Applicable.

F. Subprime Mortgage Related Risk Exposure

(1) The Company consults with its external investment managers to assess its subprime mortgage related risk exposure. Certain characteristics are utilized to determine if a mortgage-backed security has subprime exposure. The main characteristics reviewed when determining this are the collateral and structure of the security, the loan purpose, loan documentation, occupancy, geographical location, loan size and type. Subprime mortgage borrowers typically have lower credit scores, lower loan balances and higher loan-to-values than other conforming loans. Management's practices include reviewing quantitative and qualitative credit models that analyze loan-level collateral composition, historical underwriter performance trends, the impact of macroeconomic factors, and issuer risks; as well as reviewing the estimation of security cash flows and monthly model calibrations.

(2) Direct exposure through investments in sub-prime mortgage loans.

The Company has no direct exposure through investment to sub-prime mortgage loans.

(3) Direct exposure through other investments:

- a. Residential mortgage backed securities – No substantial exposure noted.
- b. Commercial mortgage backed securities – No substantial exposure noted.
- c. Collateralized debt obligations – No substantial exposure noted.
- d. Structured securities – No substantial exposure noted.
- e. Equity investment in SCAs – No substantial exposure noted.
- f. Other assets – No substantial exposure noted.
- g. Total – No substantial exposure noted.

(4) Underwriting exposure to sub-prime mortgage risk through Mortgage Guaranty coverage, Financial Guaranty coverage, Directors and Officers liability coverage, or Errors and Omissions liability coverage.

Not Applicable.

STATEMENT AS OF March 31, 2016 OF Humana Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

Classification of mortgage related securities is primarily based on information from outside data services, including rating agency actions. When considering our exposure, the Company evaluated the percentage of full documentation loans, percent of owner occupied properties, FICO scores, average margin for ARM loans, percent of loans with prepayment penalties, the existence of non-traditional underwriting standards, among other factors.

G. Retained Assets

Not Applicable.

H. Insurance Linked Securities

Not Applicable

22. Events Subsequent

The Company is not aware of any events or transactions occurring subsequent to the close of the books for this statement which may have a material effect on its financial condition. Subsequent events have been considered through May 12, 2016 for the Statutory Statement issued on May 12, 2016.

23. Reinsurance

A. Ceded Reinsurance Report

Section 1 – General Interrogatories

- (1) Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10.0 percent or controlled, either directly or indirectly, by the company or by any representative, officer, trustee, or director of the company?

Yes () No (X)

- (2) Have any policies issued by the company been reinsured with a company chartered in a country other than the United States (excluding U.S. Branches of such companies) that is owned in excess of 10.0 percent or controlled directly or indirectly by an insured, a beneficiary, a creditor or an insured or any other person not primarily engaged in the insurance business?

Yes () No (X)

Section 2 – Ceded Reinsurance Report – Part A

- (1) Does the company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits?

Yes () No (X)

- (2) Does the reporting entity have any reinsurance agreements in effect such that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts that, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies?

Yes () No (X)

Section 3 – Ceded Reinsurance Report – Part B

- (1) What is the estimated amount of the aggregate reduction in surplus, (for agreements other than those under which the reinsurer may unilaterally cancel for reasons other than for nonpayment of premium or other similar credits that are reflected in Section 2 above) of termination of ALL reinsurance agreements, by either party, as of the date of this statement? Where necessary, the company may consider the current or anticipated experience of the business reinsured in making this estimate. \$0

- (2) Have any new agreements been executed or existing agreements amended, since January 1 of the year of this statement, to include policies or contracts that were in force or which had existing reserves established by the company as of the effective date of the agreement?

Yes () No (X)

B. Uncollectible Reinsurance

Not Applicable.

C. Commutation of Ceded Reinsurance

Not Applicable.

D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation

Not Applicable.

24. Retrospectively Rated Contracts and Contracts Subject to Redetermination

- A. The Company estimates accrued retrospective premium adjustments for its Medicare business through a mathematical approach using an algorithm based upon settlement procedures defined by contracts with CMS.

- B. The Company records accrued retrospective premium as an adjustment to earned premiums.

STATEMENT AS OF March 31, 2016 OF Humana Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

C. The amount of net premiums written by the Company at March 31, 2016 that are subject to retrospective rating features was \$1,754,117,341, or 96.06% of the total net premiums written. No other net premiums written by the Company are subject to retrospective rating features.

D. Medical loss ratio rebates required pursuant to the Public Health Service Act

	Individual	Small Group Employer	Large Group Employer	Other Categories with rebates	Total
Prior Reporting Year:					
Medical loss ratio rebates incurred	\$ -	\$ 109,065	\$ 2,218,040	\$ (6,329,474)	\$ (4,002,369)
Medical loss ratio rebates paid	-	144,890	2,494,422	-	2,639,312
Medical loss rebates unpaid	-	4,664	15,044	6,970,055	6,989,763
Plus reinsurance assumed amounts	XXX	XXX	XXX	XXX	-
Less reinsurance ceded amounts	XXX	XXX	XXX	XXX	-
Rebates unpaid net of reinsurance	XXX	XXX	XXX	XXX	\$ 6,989,763
Current Reporting Year-to-date:					
Medical loss ratio rebates incurred	\$ -	\$ 353,637	\$ (15,044)	\$ (1,869,419)	\$ (1,530,826)
Medical loss ratio rebates paid	-	-	-	-	-
Medical loss rebates unpaid	-	358,301	-	5,100,637	5,458,937
Plus reinsurance assumed amounts	XXX	XXX	XXX	XXX	-
Less reinsurance ceded amounts	XXX	XXX	XXX	XXX	-
Rebates unpaid net of reinsurance	XXX	XXX	XXX	XXX	\$ 5,458,937

E. Risk Sharing Provisions of the Affordable Care Act

(1) Did the reporting entity write accident and health insurance premium that is subject to the Affordable Care Act risk-sharing provisions (YES/NO) Yes (X) No ()

(2) Impact of Risk Sharing Provisions of the Affordable Care Act on Admitted Assets, Liabilities, and Revenue for the Current Year

a. Permanent ACA Risk Adjustment Program

Assets		
1. Premium adjustments receivable due to ACA Risk Adjustment		\$ 11,669,141
Liabilities		
2. Risk adjustment user fees payable for ACA Risk Adjustment		\$ 117,327
3. Premium adjustments payable due to ACA Risk Adjustment		\$ 9,291,568
Operations (Revenue & Expenses)		
4. Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment		\$ (793,316)
5. Reported in expenses as ACA risk adjustment user fees (incurred/paid)		\$ 37,097

b. Transitional ACA Reinsurance Program

Assets		
1. Amounts recoverable for claims paid due to ACA Reinsurance		\$ 12,088,254
2. Amounts recoverable for claims unpaid due to ACA Reinsurance (Contra Liability)		\$ 527,841
3. Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance		\$ 64,654
Liabilities		
4. Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premium		\$ 3,913,571
5. Ceded reinsurance premiums payable due to ACA Reinsurance		\$ 154,894
6. Liabilities for amounts held under uninsured plans contributions for ACA Reinsurance		\$ 557,761
Operations (Revenues & Expenses)		
7. Ceded reinsurance premiums due to ACA Reinsurance		\$ 154,894
8. Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments		\$ 766,789
9. ACA Reinsurance contributions – not reported as ceded premiums		\$ 1,381,911

c. Temporary ACA Risk Corridors Program

Assets		
1. Accrued retrospective premium due to ACA Risk Corridors		\$ -
Liabilities		
2. Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors		\$ -
Operations (Revenues & Expenses)		
3. Effect of ACA Risk Corridors on net premium income		\$ 169,621
4. Effect of ACA Risk Corridors on change in reserves for rate credits		\$ 6,117,773

(3) ACA Risk Corridor Receivable by Program Year

	(A)	(B)	(C)	(D)=(A)-(B)-(C)	(E)	(F) = (D)-(E)
Risk Corridors Program Year	Estimated Amount to be Filed or Final Amount Filed with CMS	Non-Accrued Amounts for Impairment or Other Reasons	Amounts received from CMS	Assets Balance (Gross of Non-admissions)	Non-admitted Amount	Net Admitted Asset
2014	\$ 11,865,338	\$ -	\$ 1,454,777	\$ 10,410,561	\$ 10,410,561	\$ -
2015	4,678,485	-	-	4,678,485	4,678,485	-
2016	8,984,917	-	-	8,984,917	8,984,917	-
Total	\$ 25,528,740	\$ -	\$ 1,454,777	\$ 24,073,963	\$ 24,073,963	\$ -

STATEMENT AS OF March 31, 2016 OF Humana Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

(4) Roll-forward of Prior Year ACA Risk-sharing Provisions for the Following Asset (Gross of Any Nonadmission) and Liability Balances, Along with the Reasons for Adjustments to Prior Year Balance.

	Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year		Differences		Adjustments		Unsettled Balances as of the Reporting Date		
					Prior Year Accrued Less Payments (Col 1-3)	Prior Year Accrued Less Payments (Col 2-4)	To Prior Year Balances	To Prior Year Balances	Cumulative Balance from Prior Years (Col 1-3+7)	Cumulative Balance from Prior Years (Col 2-4+8)	
	1	2	3	4	5	6	7	8	Ref	9	10
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)		Receivable	(Payable)
a. Permanent ACA Risk Adjustment Program											
1. Premium adjustments receivable	8,168,157		382,683		7,785,474		3,882,418		A.	11,667,892	
2. Premium adjustments (payables)		(4,614,585)		-		(4,614,585)		(2,271,847)	B.		(6,886,432)
3. Subtotal ACA Permanent Risk Adjustment Program	8,168,157	(4,614,585)	382,683	-	7,785,474	(4,614,585)	3,882,418	(2,271,847)		11,667,892	(6,886,432)
b. Transitional ACA Reinsurance Program											
1. Amounts recoverable for claims paid	16,063,485		6,547,948		9,515,537		2,178,281		C.	11,693,818	
2. Amounts recoverable for claims unpaid (contra liability)	2,333,768			-	2,333,768		(2,333,768)		D.		-
3. Amounts receivable relating to uninsured plans	106,011		41,357		64,654					64,654	
4. Liabilities for contributions payable due to ACA Reinsurance- not reported as ceded premium		(9,268,545)		(6,736,886)		(2,531,659)					(2,531,659)
5. Ceded reinsurance premiums payable		(854,988)		(854,988)							-
6. Liability for amounts held under uninsured plans		(1,303,625)		(991,088)		(312,537)					(312,537)
7. Subtotal ACA Transitional Reinsurance Program	18,503,264	(11,427,158)	6,589,305	(8,582,962)	11,913,959	(2,844,196)	(155,487)			11,758,472	(2,844,196)
c. Temporary ACA Risk Corridors Program											
1. Accrued retrospective premium	17,456,190		169,621		17,286,569		(2,197,523)		E.	15,089,046	
2. Reserve for rate credits or policy experience rating refunds				-							-
3. Subtotal ACA Risk Corridors Program	17,456,190		169,621	-	17,286,569		(2,197,523)			15,089,046	-
d. Total for ACA Risk Sharing Provisions	44,127,611	(16,041,743)	7,141,609	(8,582,962)	36,986,002	(7,458,781)	(1,529,408)	(2,271,847)		38,515,410	(9,730,628)

Explanation for adjustments

- A. Adjusted to updated Wakely analysis used for Risk Adjustments estimates.
- B. Adjusted to updated Wakely analysis used for Risk Adjustments estimates.
- C. Adjusted to reflect updated expectations for 2015 and an increase in paid claims.
- D. Reinsurance Recoverable in Unpaid Claims reduced to zero as the Company does not expect significant paid claims with Reinsurance after Q1 2016.
- E. Adjustments for revised Wakely analysis, change to the estimated tax rate and changes to base earnings reflective of Risk Adjustment and Reinsurance 2014 restatements in 2015.

STATEMENT AS OF March 31, 2016 OF Humana Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

(5) Roll-forward of Risk Corridors Asset (Gross of Any Nonadmission) and Liability Balances by Program Year

	Accrued as of December 31 of the Prior Reporting Year		Received or Paid as of the Current Period on Business Written for the Risk Corridors Program Year		Differences		Adjustments			Unsettled Balances as of the Reporting Date	
					Accrued Less Payments (Col 1 -3)	Accrued Less Payments (Col 2 -4)	Balances	Balances		Cumulative Balance (Col 1-3+7)	Cumulative Balance (Col 4-4+8)
	1	2	3	4	5	6	7	8	Ref	9	10
Risk Corridors Program Year	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Ref	Receivable	(Payable)
2014	Accrued retrospective premium	10,580,183	169,621		10,410,562		-				10,410,562
	Reserve for rate credits or policy experience rating refunds		-	-							-
2015	Accrued retrospective premium	6,876,007	-		6,876,007		(2,197,523)		A		4,678,485
	Reserve for rate credits or policy experience rating refunds		-	-							-
2016	Accrued retrospective premium	-	-		-		-				-
	Reserve for rate credits or policy experience rating refunds		-	-			-				-
Total for Risk Corridors		17,456,190	-	169,621	-	17,286,569	-	(2,197,523)	-		15,089,046

Explanation for adjustments

A. Adjustments for revised Wakely analysis change to the estimated tax rate and changes to base earnings reflective of Risk Adjustment and Reinsurance 2014 restatements in 2015.

25. Change in Incurred Claims and Claim Adjustment Expenses

Reserves as of December 31, 2015 were \$493,561,231. As of March 31, 2016, \$403,729,830 has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$78,826,081 as a result of re-estimation of unpaid claims and claim adjustment expenses. Therefore, there has been a \$11,005,321 favorable prior-year development since December 31, 2015. The decrease is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased as additional information becomes known regarding individual claims. The amounts presented in this footnote exclude any impact related to Pharmacy Rebates and other Healthcare Receivable activity. Impact of such receivables can be seen in Footnote 28. The Company did not experience any material prior year claim development on retrospectively rated policies.

26. Intercompany Pooling Arrangements

Not Applicable.

27. Structured Settlements

The Company has no structured settlements.

28. Health Care Receivables

A. Pharmaceutical Rebate Receivables

Quarter	Estimate Pharmacy Rebates as Reported on Financial Statements	Pharmacy Rebates as Billed or Otherwise Confirmed	Actual Rebates Received Within 90 Days of Billing	Actual Rebates Received Within 91 to 180 Days of Billing	Actual Rebates Received More than 181 Days after Billing
12/31/2016	\$ -	\$ -	\$ -	\$ -	\$ -
9/30/2016	-	-	-	-	-
6/30/2016	-	-	-	-	-
3/31/2016	61,298,080	61,298,080	-	-	-
12/31/2015	51,003,828	51,003,828	51,003,828	-	-
9/30/2015	59,256,290	59,256,290	58,831,264	277,324	-
6/30/2015	50,089,185	50,089,185	50,026,598	-	-
3/31/2015	46,293,602	46,293,602	46,278,466	-	15,135
12/31/2014	32,047,007	32,047,007	31,782,253	213,815	50,939
9/30/2014	30,131,551	30,131,551	29,988,164	-	143,387
6/30/2014	30,632,141	30,632,141	30,263,792	130,180	238,168
3/31/2014	30,401,818	30,401,818	29,400,975	546,383	454,460

B. Risk Sharing Receivables

No material change since year-end December 31, 2015.

STATEMENT AS OF March 31, 2016 OF Humana Health Plan, Inc.

NOTES TO THE FINANCIAL STATEMENTS

29. Participating Policies

The Company has no participating policies.

30. Premium Deficiency Reserves

- | | |
|---|-------------------|
| 1. Liability carried for premium deficiency reserves | \$ 51,420,000 |
| 2. Date of the most recent evaluation of this liability | December 31, 2015 |
| 3. Was anticipated investment income utilized in the calculation? | Yes () No (X) |

The Company did recognize the time value of money by discounting future losses at an annual interest rate of 0.30%.

31. Anticipated Salvage and Subrogation

Not Applicable.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change:
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No []
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] N/A []
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2013
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 10/29/2015
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 09/30/2015
- 6.4 By what department or departments?
Commonwealth of Kentucky Department of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [X] No [] N/A []
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [] N/A []
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [] No [X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes No
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes No
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
Revised based on general policy and regulatory changes
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes No
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes No
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$0

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes No
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$0
13. Amount of real estate and mortgages held in short-term investments: \$0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes No
- 14.2 If yes, please complete the following:
- | | 1
Prior Year-End
Book/Adjusted
Carrying Value | 2
Current Quarter
Book/Adjusted
Carrying Value |
|---|--|---|
| 14.21 Bonds | \$0 | \$0 |
| 14.22 Preferred Stock | \$0 | \$0 |
| 14.23 Common Stock | \$23,959,920 | \$21,248,618 |
| 14.24 Short-Term Investments | \$0 | \$0 |
| 14.25 Mortgage Loans on Real Estate | \$0 | \$0 |
| 14.26 All Other | \$0 | \$0 |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$23,959,920 | \$21,248,618 |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | \$0 | \$0 |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes No
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes No
- If no, attach a description with this statement.

GENERAL INTERROGATORIES

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2\$0
 - 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2\$0
 - 16.3 Total payable for securities lending reported on the liability page\$0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JP Morgan Chase	4 Metro Tech Center, 16th Floor Mail Code: NY1-C512, Brooklyn, NY 11245, Attn: Barbara J. Walsh

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]

17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
107105.00	Blackrock, Inc.	55 East 52nd Street, New York, NY 10055

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No []

18.2 If no, list exceptions:

N/A

GENERAL INTERROGATORIES

PART 2 - HEALTH

1. Operating Percentages:
- 1.1 A&H loss percent90.9 %
 - 1.2 A&H cost containment percent3.4 %
 - 1.3 A&H expense percent excluding cost containment expenses14.8 %
- 2.1 Do you act as a custodian for health savings accounts? Yes [] No [X]
- 2.2 If yes, please provide the amount of custodial funds held as of the reporting date\$.....0
- 2.3 Do you act as an administrator for health savings accounts? Yes [] No [X]
- 2.4 If yes, please provide the balance of the funds administered as of the reporting date\$.....0

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Reinsurer	8 Certified Reinsurer Rating (1 through 6)	9 Effective Date of Certified Reinsurer Rating
NONE								

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

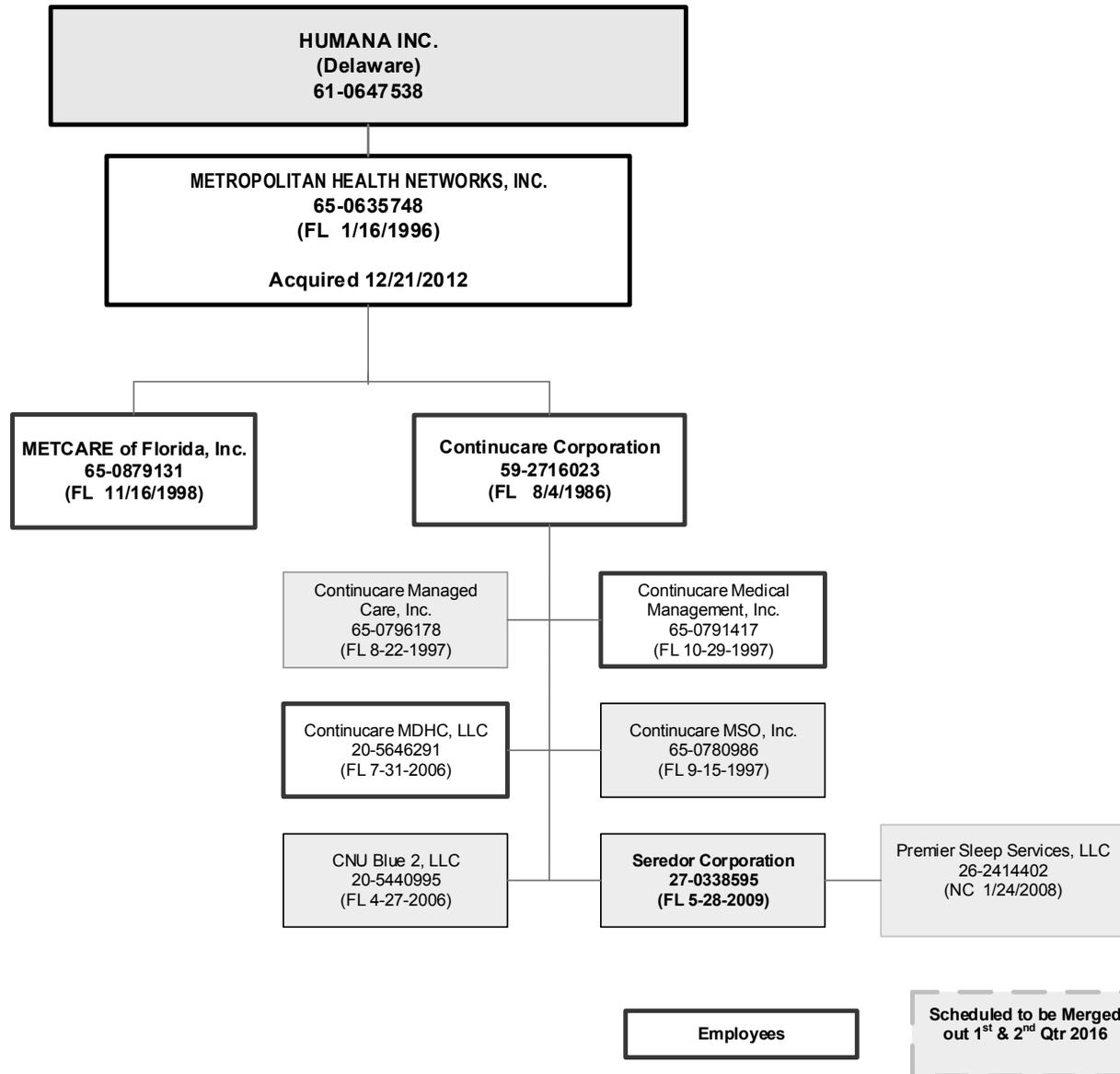
States, etc.	1 Active Status	Direct Business Only							9 Deposit-Type Contracts
		2 Accident and Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life and Annuity Premiums & Other Considerations	7 Property/Casualty Premiums	8 Total Columns 2 Through 7	
1. Alabama AL	L	0	53,704,201	0	0	0	0	53,704,201	0
2. Alaska AK	N	0	0	0	0	0	0	0	0
3. Arizona AZ	L	20,384,423	92,448,660	0	3,437,448	0	0	116,270,531	0
4. Arkansas AR	L	0	80,743,933	0	0	0	0	80,743,933	0
5. California CA	N	0	0	0	0	0	0	0	0
6. Colorado CO	L	32,403,466	44,891,078	0	720,191	0	0	78,014,735	0
7. Connecticut CT	N	0	0	0	0	0	0	0	0
8. Delaware DE	N	0	0	0	0	0	0	0	0
9. District of Columbia DC	N	0	0	0	0	0	0	0	0
10. Florida FL	N	0	0	0	0	0	0	0	0
11. Georgia GA	N	0	0	0	0	0	0	0	0
12. Hawaii HI	N	0	0	0	0	0	0	0	0
13. Idaho ID	L	0	8,102,209	0	0	0	0	8,102,209	0
14. Illinois IL	L	18,789,049	170,243,579	41,306,354	18,740,448	0	0	249,079,430	0
15. Indiana IN	L	5,398,019	66,753,461	0	0	0	0	72,151,479	0
16. Iowa IA	N	0	0	0	0	0	0	0	0
17. Kansas KS	L	1,627,390	53,525,879	0	6,684,733	0	0	61,838,002	0
18. Kentucky KY	L	133,345,700	33,860,231	187,170,274	2,120,242	0	0	356,496,447	0
19. Louisiana LA	N	0	0	0	0	0	0	0	0
20. Maine ME	N	0	0	0	0	0	0	0	0
21. Maryland MD	N	0	0	0	0	0	0	0	0
22. Massachusetts MA	N	0	0	0	0	0	0	0	0
23. Michigan MI	N	0	0	0	0	0	0	0	0
24. Minnesota MN	N	0	0	0	0	0	0	0	0
25. Mississippi MS	N	0	0	0	0	0	0	0	0
26. Missouri MO	L	544,910	125,456,729	0	0	0	0	126,001,639	0
27. Montana MT	N	0	0	0	0	0	0	0	0
28. Nebraska NE	L	0	4,346,216	0	0	0	0	4,346,216	0
29. Nevada NV	L	482,140	150,128,199	0	0	0	0	150,610,339	0
30. New Hampshire NH	N	0	0	0	0	0	0	0	0
31. New Jersey NJ	N	0	0	0	0	0	0	0	0
32. New Mexico NM	L	0	14,043,364	0	0	0	0	14,043,364	0
33. New York NY	N	0	0	0	0	0	0	0	0
34. North Carolina NC	N	0	0	0	0	0	0	0	0
35. North Dakota ND	N	0	0	0	0	0	0	0	0
36. Ohio OH	L	0	0	0	0	0	0	0	0
37. Oklahoma OK	N	0	0	0	0	0	0	0	0
38. Oregon OR	N	0	0	0	0	0	0	0	0
39. Pennsylvania PA	N	0	0	0	0	0	0	0	0
40. Rhode Island RI	N	0	0	0	0	0	0	0	0
41. South Carolina SC	L	0	129,445,085	0	0	0	0	129,445,085	0
42. South Dakota SD	N	0	0	0	0	0	0	0	0
43. Tennessee TN	L	6,255,866	0	0	387,783	0	0	6,643,650	0
44. Texas TX	L	0	276,899,084	0	0	0	0	276,899,084	0
45. Utah UT	N	0	0	0	0	0	0	0	0
46. Vermont VT	N	0	0	0	0	0	0	0	0
47. Virginia VA	L	0	133,760,393	30,237,887	0	0	0	163,998,280	0
48. Washington WA	L	0	57,178,021	0	0	0	0	57,178,021	0
49. West Virginia WV	L	0	0	0	0	0	0	0	0
50. Wisconsin WI	N	0	0	0	0	0	0	0	0
51. Wyoming WY	N	0	0	0	0	0	0	0	0
52. American Samoa AS	N	0	0	0	0	0	0	0	0
53. Guam GU	N	0	0	0	0	0	0	0	0
54. Puerto Rico PR	N	0	0	0	0	0	0	0	0
55. U.S. Virgin Islands VI	N	0	0	0	0	0	0	0	0
56. Northern Mariana Islands MP	N	0	0	0	0	0	0	0	0
57. Canada CAN	N	0	0	0	0	0	0	0	0
58. Aggregate Other Aliens OT	XXX	0	0	0	0	0	0	0	0
59. Subtotal	XXX	219,230,963	1,495,530,322	258,714,515	32,090,844	0	0	2,005,566,643	0
60. Reporting Entity Contributions for Employee Benefit Plans	XXX	0	0	0	0	0	0	0	0
61. Totals (Direct Business)	(a) 20	219,230,963	1,495,530,322	258,714,515	32,090,844	0	0	2,005,566,643	0
DETAILS OF WRITE-INS									
58001.	XXX								
58002.	XXX								
58003.	XXX								
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0	0	0

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

As of 03-31-2016

STATEMENT AS OF MARCH 31, 2016 OF THE Humana Health Plan Inc.



15.2

STATEMENT AS OF MARCH 31, 2016 OF THE Humana Health Plan Inc.

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0119	Humana Inc.	00000	65-0851053				154th Street Medical Plaza, Inc.	FL	NIA	CAC-Florida Medical Centers, LLC SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	20-0381804				1st Choice Home Health Care, LLC 515-526 W MainSt Condo Council of Co-Owners	FL	NIA		Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	20-5309363					KY	NIA	Preservation on Main, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	65-0293220				54th Street Medical Plaza, Inc.	FL	NIA	CAC-Florida Medical Centers, LLC SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	45-3818750				American Eldercare of North Florida, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	65-0380198				American Eldercare, Inc.	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	12151	20-1001348				Arcadian Health Plan, Inc.	WA	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	59-3715944				Availity, L.L.C.	DE	OTH	See Footnote 1	Board of Directors	0.000	Humana Inc.	1
0119	Humana Inc.	00000	30-0117876				CAC Medical Center Holdings, Inc.	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	26-0010657				CAC-Florida Medical Centers, LLC	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	26-0815856				Care Partners Home Care, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	39-1514846				CareNetwork, Inc.	WI	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95092	59-2598550				CarePlus Health Plans, Inc.	FL	IA	CAC Medical Center Holdings, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95754	62-1579044				Cariten Health Plan Inc.	TN	IA	PHP Companies, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95158	61-1279717				CHA HMO, Inc.	KY	DS	CHA Service Company	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1279716				CHA Service Company	KY	DS	Humana Health Plan, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	20-5440995				CNU Blue 2, LLC	FL	NIA	Continuicare Corporation	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	52015	59-2531815				CompBenefits Company	FL	IA	Humana Dental Company	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	04-3185995				CompBenefits Corporation	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	11228	36-3686002				CompBenefits Dental, Inc.	IL	IA	Dental Care Plus Management Corporation	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	58-2228851				CompBenefits Direct, Inc.	DE	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	60984	74-2552026				CompBenefits Insurance Company	TX	IA	Humana Dental Company SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	45-3713941				Complex Clinical Management, Inc.	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	42-1575099				Comprehensive Health Insights, Inc.	IL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	59-2716023				Continuicare Corporation	FL	NIA	Metropolitan Health Networks, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	65-0796178				Continuicare Managed Care, Inc.	FL	NIA	Continuicare Corporation	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	20-5646291				Continuicare MDHC, LLC	FL	NIA	Continuicare Corporation	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	65-0791417				Continuicare Medical Management, Inc.	FL	NIA	Continuicare Corporation	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	65-0780986				Continuicare MSO, Inc.	FL	NIA	Continuicare Corporation	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	20-8236655				Corphealth Provider Link, Inc.	TX	NIA	Corphealth, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	75-2043865				Humana Behavioral Health, Inc.	TX	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	33-0916248				DefenseWeb Technologies, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	36-3512545				Dental Care Plus Management Corp.	IL	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95161	76-0039628				DentiCare, Inc.	TX	IA	Humana Dental Company	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	88595	31-0935772				Empheysis Insurance Company	TX	IA	Empheysis, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1237697				Empheysis, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	27-1649291				Harris, Rothenberg International Inc.	NY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1223418				Health Value Management, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	46-4912173				HRI Humana of California Inc.	CA	NIA	Harris, Rothenberg International Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	26-3592783				HUM INT, LLC	DE	NIA	HUM-Holdings International, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	20-4835394				Humana Active Outlook, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana At Home (Dallas), Inc.	00000	75-2739333				Humana At Home (Dallas), Inc.	TX	NIA	ROHC, L.L.C.	Ownership	100.000	Humana Inc.	0
0119	Humana At Home (Houston), Inc.	00000	76-0537878				Humana At Home (Houston), Inc.	TX	NIA	ROHC, L.L.C.	Ownership	100.000	Humana Inc.	0
0119	Humana at Home (MA), Inc.	00000	04-3580066				Humana at Home (MA), Inc.	MA	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana At Home 1, Inc.	00000	65-0274594				Humana At Home 1, Inc.	FL	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.	0

STATEMENT AS OF MARCH 31, 2016 OF THE Humana Health Plan Inc.

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0119	Humana Inc.	00000	13-4036798				Humana at Home, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	60052	37-1326199				Humana Benefit Plan of Illinois, Inc.	IL	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	59-1843760				Humana Dental Company	FL	NIA	CompBenefits Corporation	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	52028	36-3654697				Humana Dental Concern, Ltd.	IL	IA	HumanaDental, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95519	58-2209549				Humana Employers Health Plan of GA, Inc.	GA	IA	Humana Insurance Company	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1241225				Humana Government Business, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95642	72-1279235				Humana Health Benefit Plan of LA, Inc.	LA	IA	Humana Insurance Company	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	13558	26-2800286				Humana Health Company of New York, Inc.	NY	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	69671	61-1041514				Humana Health Ins. Co. of Florida, Inc.	FL	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	26-3473328				Humana Health Plan of California, Inc.	CA	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95348	31-1154200				Humana Health Plan of Ohio, Inc.	OH	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95024	61-0994632				Humana Health Plan of Texas, Inc.	TX	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95885	61-1013183				Humana Health Plan, Inc.	KY	RE	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95721	66-0406896				Humana Health Plans of Puerto Rico, Inc.	PR	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-0647538			NYSE	Humana Inc.	DE	UDP		Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1343791				Humana Innovation Enterprises, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	73288	39-1263473				Humana Insurance Company	WI	IA	CareNetwork, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	60219	61-1311685				Humana Insurance Company of Kentucky	KY	IA	Humana Insurance Company	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	12634	20-2888723				Humana Insurance Company of New York	NY	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	84603	66-0291866				Humana Insurance of Puerto Rico, Inc.	PR	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	20-3364857				Humana MarketPOINT of Puerto Rico, Inc.	PR	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1343508				Humana MarketPOINT, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	14224	27-3991410				Humana Medical Plan of Michigan, Inc.	MI	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	14462	27-4660531				Humana Medical Plan of Pennsylvania, Inc.	PA	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	12908	20-8411422				Humana Medical Plan of Utah, Inc.	UT	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95270	61-1103898				Humana Medical Plan, Inc.	FL	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	45-2254346				Humana Pharmacy Solutions, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1316926				Humana Pharmacy, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	12282	20-2036444				Humana Regional Health Plan, Inc.	AR	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	20-8418853				Humana Veterans Healthcare Services, Inc.	DE	NIA	Humana Government Business, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	26-4522426				Humana WellWorks LLC	DE	NIA	Health Value Management, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95342	39-1525003				Humana Wisc. Health Org. Ins. Corp.	WI	IA	CareNetwork, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	70580	39-0714280				HumanaDental Insurance Company	WI	IA	HumanaDental, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1364005				HumanaDental, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	27-4535747				HumanaVitality, LLC	DE	NIA	HumanaWellworks LLC	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1239538				Humco, Inc.	KY	DS	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1383567				HUM-e-FL, Inc.	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	26-3583438				HUM-Holdings International, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	86-1050795				Hummingbird Coaching Systems LLC	OH	NIA	Humana Behavioral Health, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	39-1769093				Independent Care Health Plan	WI	OTH	See Footnote 2	Other	100.000	Humana Inc.	2
0119	Humana Inc.	65110	57-0380426				Kanawha Insurance Company	SC	IA	KMG America Corporation	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	20-1377270				KMG America Corporation	VA	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1232669				Managed Care Indemnity, Inc.	VT	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	65-0879131				METCARE of Florida, Inc.	FL	NIA	Metropolitan Health Networks, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	65-0635728				Metropolitan Health Networks, Inc.	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	65-0992582				Naples Health Care Specialists, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	65-0688221				Nursing Solutions, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	62-1552091				PHP Companies, Inc.	TN	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	62-1250945				Preferred Health Partnership, Inc.	TN	NIA	PHP Companies, Inc.	Ownership	100.000	Humana Inc.	0

STATEMENT AS OF MARCH 31, 2016 OF THE Humana Health Plan Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0119	Humana Inc.	00000	20-1724127				Preservation on Main, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	46-1225873				Primary Care Holdings, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	75-2844854				ROHC, L.L.C.	TX	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	56-2593719				SeniorBridge (NC), Inc.	NC	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	80-0581269				SeniorBridge Care Management, Inc.	NY	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	46-0702349				SeniorBridge Family Companies (AZ), Inc.	AZ	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	45-3039782				SeniorBridge Family Companies (CA), Inc.	CA	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	27-0452360				SeniorBridge Family Companies (CT), Inc.	CT	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	65-1096853				SeniorBridge Family Companies (FL), Inc.	FL	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	02-0660212				SeniorBridge Family Companies (IL), Inc.	IL	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	20-0301155				SeniorBridge Family Companies (IN), Inc.	IN	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	81-0557727				SeniorBridge Family Companies (MD), Inc.	MD	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	46-0677759				SeniorBridge Family Companies (MO), Inc.	MO	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	36-4484449				SeniorBridge Family Companies (NJ), Inc.	NJ	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	36-4484443				SeniorBridge Family Companies (NY), Inc.	NY	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	20-0260501				SeniorBridge Family Companies (OH), Inc.	OH	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	38-3643832				SeniorBridge Family Companies (PA), Inc.	PA	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	01-0766084				SeniorBridge Family Companies (TX), Inc.	TX	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	46-0691871				SeniorBridge Family Companies (VA), Inc.	VA	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	59-2518701				SeniorBridge-Florida, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	27-0338595				Seredor Corporation	FL	NIA	Continucare Corporation	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	74-2352809				Texas Dental Plans, Inc.	TX	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	54739	52-1157181				The Dental Concern, Inc.	KY	IA	HumanaDental, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	75-2600512				Humana at Home (TLC), Inc.	TX	NIA	ROHC, L.L.C.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	80-0072760				Transcend Insights, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	46-5329373				Transcend Population Health Management, LLC	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0

Asterisk	Explanation
1	Availity, L.L.C., a Delaware limited liability company, was formed by affiliates of Humana Inc. and Blue Cross and Blue Shield of Florida, Inc. to develop and operate an Internet site on the World Wide Web to permit health plans to communicate and engage in electronic transactions with health care service providers initially in the State of Florida. HUM-e-FL, Inc., a subsidiary of Humana Inc., is a Member with a 22.5% ownership interest. Navigy, Inc., a subsidiary of Blue Cross and Blue Shield of Florida, Inc., is a Member with a 33.75% ownership interest, Health Care Service Corporation, a Member, has a 33.75% ownership interest, and Sellcore, Inc., a subsidiary of WellPoint and a Member, has a 10% ownership interest.
2	Independent Care Health Plan, a Wisconsin corporation licensed as an HMO, operates an integrated, coordinated medical and social service managed care program for chronically disabled Medicaid recipients in Milwaukee, Wisconsin. CareNetwork, Inc. owns 50% of the company's stock. Centers For Independence, Inc. owns the other 50%.
3	Ownership is 80% Symphony Health Partners, Inc. and 20% Humana Inc. of Symphony Health Partners Midwest, LLC.

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO

Explanation:

- 1. This type of business is not written.

Bar Code:

- 1. Medicare Part D Coverage Supplement [Document Identifier 365]



OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Assets Line 25

	Current Statement Date			4 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
2504. Deposits	2,317,621	2,317,621	0	0
2505. Federal Contingency Reserves	1,247,455	0	1,247,455	255,375
2506. Prepaid Expenses	498,902	498,902	0	0
2597. Summary of remaining write-ins for Line 25 from overflow page	4,063,978	2,816,523	1,247,455	255,375

STATEMENT AS OF MARCH 31, 2016 OF THE Humana Health Plan Inc.

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	27,600,000	27,600,000
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	0	0
2.2 Additional investment made after acquisition	0	0
3. Capitalized deferred interest and other	0	0
4. Accrual of discount	0	0
5. Unrealized valuation increase (decrease)	0	0
6. Total gain (loss) on disposals	0	0
7. Deduct amounts received on disposals	0	0
8. Deduct amortization of premium and mortgage interest points and commitment fees	0	0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest	0	0
10. Deduct current year's other than temporary impairment recognized	0	0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	27,600,000	27,600,000
12. Total valuation allowance	0	0
13. Subtotal (Line 11 plus Line 12)	27,600,000	27,600,000
14. Deduct total nonadmitted amounts	0	0
15. Statement value at end of current period (Line 13 minus Line 14)	27,600,000	27,600,000

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

NONE

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	932,722,711	786,166,182
2. Cost of bonds and stocks acquired	135,829,007	780,709,895
3. Accrual of discount	16,008	545,821
4. Unrealized valuation increase (decrease)	(2,607,708)	(3,239,582)
5. Total gain (loss) on disposals	1,475,674	10,017,104
6. Deduct consideration for bonds and stocks disposed of	143,355,366	634,009,741
7. Deduct amortization of premium	2,865,200	7,466,968
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	921,215,126	932,722,711
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	921,215,126	932,722,711

STATEMENT AS OF MARCH 31, 2016 OF THE Humana Health Plan Inc.

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	846,033,343	3,106,110,434	2,952,523,555	(2,696,646)	996,923,576	0	0	846,033,343
2. NAIC 2 (a)	70,775,362	12,918,873	7,725,061	(42,965)	75,926,209	0	0	70,775,362
3. NAIC 3 (a)	3,399,928	0	1,043,633	103,694	2,459,989	0	0	3,399,928
4. NAIC 4 (a)	0	0	0	0	0	0	0	0
5. NAIC 5 (a)	0	0	0	0	0	0	0	0
6. NAIC 6 (a)	0	0	0	0	0	0	0	0
7. Total Bonds	920,208,633	3,119,029,307	2,961,292,249	(2,635,917)	1,075,309,774	0	0	920,208,633
PREFERRED STOCK								
8. NAIC 1	0	0	0	0	0	0	0	0
9. NAIC 2	0	0	0	0	0	0	0	0
10. NAIC 3	0	0	0	0	0	0	0	0
11. NAIC 4	0	0	0	0	0	0	0	0
12. NAIC 5	0	0	0	0	0	0	0	0
13. NAIC 6	0	0	0	0	0	0	0	0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	920,208,633	3,119,029,307	2,961,292,249	(2,635,917)	1,075,309,774	0	0	920,208,633

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ 175,343,265 ; NAIC 2 \$ 0 ; NAIC 3 \$ 0 ; NAIC 4 \$ 0 ; NAIC 5 \$ 0 ; NAIC 6 \$ 0

S102

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	90,346,104	XXX	90,485,473	88,369	0

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	11,445,841	27,022,909
2. Cost of short-term investments acquired	1,643,374,473	4,892,963,427
3. Accrual of discount	0	0
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	0	0
6. Deduct consideration received on disposals	1,564,417,564	4,908,457,772
7. Deduct amortization of premium	56,646	82,723
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	90,346,104	11,445,841
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	90,346,104	11,445,841

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

N O N E

SCHEDULE E - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	101,997,968
2. Cost of cash equivalents acquired	1,339,825,830	3,347,390,044
3. Accrual of discount	166,324	84,813
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	4,285	3,370
6. Deduct consideration received on disposals	1,254,999,278	3,449,476,195
7. Deduct amortization of premium	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	84,997,161	0
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	84,997,161	0

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

N O N E

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

N O N E

STATEMENT AS OF MARCH 31, 2016 OF THE Humana Health Plan Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
3134GB-NY-2	FEDERAL HOME LOAN MTGE CO	AGCY FTST	03/07/2016	CANTOR FITZGERALD		4,997,500	5,000,000	.0	1FE
3135G0-J2-0	FED NTL MTG ASSO	AGCY FTST	02/09/2016	CITIGROUP GLOBAL MARKETS INC		2,007,218	2,000,000	.382	1FE
3135G0-TB-9	FED NTL MTG ASSO	AGCY FTST	02/29/2016	INTL FCSTONE PARTNERS L.P.		7,999,200	8,000,000	18,142	1FE
3135G0-TQ-6	FED NTL MTG ASSO	AGCY FTST	03/01/2016	GOLDMAN SACHS		7,499,250	7,500,000	10,667	1FE
0599999. Subtotal - Bonds - U.S. Governments						22,503,168	22,500,000	29,191	XXX
100853-VY-3	BOSTON MASS	MUNI	03/09/2016	CITIGROUP GLOBAL MARKETS INC		1,567,351	1,225,000	.0	1FE
419792-HL-3	HAWAII ST	MUNI	03/16/2016	MERRILL LYNCH, PIERCE, FENNER & SM		1,952,283	1,750,000	.0	1FE
419792-HM-1	HAWAII ST	MUNI	03/16/2016	MERRILL LYNCH, PIERCE, FENNER & SM		2,489,164	2,170,000	.0	1FE
419792-HN-9	HAWAII ST	MUNI	03/16/2016	MERRILL LYNCH, PIERCE, FENNER & SM		3,118,722	2,655,000	.0	1FE
658256-R2-0	NORTH CAROLINA ST	MUNI	02/10/2016	WELLS FARGO		1,187,275	1,045,000	12,191	1FE
658256-Z2-1	NORTH CAROLINA ST	MUNI	03/16/2016	MORGAN STANLEY		3,807,199	3,070,000	5,117	1FE
658256-Z4-7	NORTH CAROLINA ST	MUNI	02/24/2016	MORGAN STANLEY		1,624,871	1,260,000	.0	1FE
658256-Z5-4	NORTH CAROLINA ST	MUNI	02/24/2016	MORGAN STANLEY		1,636,771	1,255,000	.0	1FE
677522-HS-6	OHIO ST	MUNI	02/26/2016	RBC DOMINION SECURITIES CORP		6,905,192	5,600,000	.0	1FE
677522-HT-4	OHIO ST	MUNI	02/26/2016	RBC DOMINION SECURITIES CORP		6,989,864	5,600,000	.0	1FE
677522-HU-1	OHIO ST	MUNI	02/26/2016	RBC DOMINION SECURITIES CORP		1,589,099	1,260,000	.0	1FE
882723-SL-4	TEXAS ST	MUNI	01/19/2016	MERRILL LYNCH, PIERCE, FENNER & SM		1,990,890	1,650,000	25,438	1FE
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions						34,858,681	28,540,000	42,746	XXX
3138AU-RL-3	FED NTL MTG ASSO	FNMA	01/04/2016	BARCLAYS CAPITAL		745,432	707,199	1,238	1
3138EP-HL-4	FED NTL MTG ASSO	FNMA	02/18/2016	J.P. MORGAN		2,236,635	2,085,897	5,099	1
3138EQ-D2-5	FED NTL MTG ASSO	FNMA	03/30/2016	MERRILL LYNCH, PIERCE, FENNER & SM		5,948,094	5,662,323	14,156	1
3138EQ-HM-7	FED NTL MTG ASSO	FNMA	03/30/2016	MERRILL LYNCH, PIERCE, FENNER & SM		2,232,160	2,124,918	5,312	1
3138EQ-PF-3	FED NTL MTG ASSO	FNMA	01/04/2016	MORGAN STANLEY		3,313,507	3,146,125	5,506	1
3138EQ-VQ-2	FED NTL MTG ASSO	FNMA	02/18/2016	BARCLAYS CAPITAL		11,951,300	11,146,546	22,311	1
3138YV-DY-0	FED NTL MTG ASSO	FNMA	01/04/2016	MORGAN STANLEY		1,265,090	1,200,827	2,101	1
3140E2-R8-6	FED NTL MTG ASSO	FNMA	03/30/2016	CITIGROUP GLOBAL MARKETS INC		1,273,194	1,211,844	3,030	1
3140E9-AM-8	FNMA 30YR		03/02/2016	SOCIETE GENERALE		7,244,170	6,928,773	8,757	1
646066-QV-5	NEW JERSEY ST EDL FACS AUTH RE		03/09/2016	GOLDMAN SACHS		781,679	630,000	.0	1FE
68428T-CO-8	ORANGE CNTY CAL IF SANTN WASTE		03/03/2016	BARCLAYS CAPITAL		1,282,669	1,055,000	.0	1FE
92817T-GB-6	VIRGINIA ST PUB SCH AUTH SPL 0		03/30/2016	WELLS FARGO		638,962	525,000	.0	1FE
92817T-GC-4	VIRGINIA ST PUB SCH AUTH SPL 0		03/30/2016	WELLS FARGO		588,221	475,000	.0	1FE
92817T-GD-2	VIRGINIA ST PUB SCH AUTH SPL 0		03/30/2016	WELLS FARGO		1,325,017	1,055,000	.0	1FE
3199999. Subtotal - Bonds - U.S. Special Revenues						40,826,130	37,954,452	67,510	XXX
026874-DH-7	AMERICAN INTERNATIONAL-CW21	CORPORATE	03/17/2016	U.S. BANCORP INVESTMENTS, INC		3,808,094	3,810,000	.0	2FE
084670-BR-8	BERKSHIRE HATHAWAY	CORPORATE	03/08/2016	MERRILL LYNCH, PIERCE, FENNER & SM		3,156,391	3,165,000	.0	1FE
20030N-BR-1	COMCAST CORP	CORPORATE	03/22/2016	MERRILL LYNCH, PIERCE, FENNER & SM		1,624,842	1,595,000	4,508	1FE
25468P-DJ-2	THE WALT DISNEY CO.	CORPORATE	01/05/2016	CITIGROUP GLOBAL MARKETS INC		814,209	815,000	.0	1FE
31428X-BF-2	FEDERAL EXPRESS	CORPORATE	03/21/2016	DEUTSCHE BANK		548,878	550,000	.0	2FE
345397-XW-8	FORD MOTOR CREDIT	CORPORATE	03/15/2016	HSBC SECURITIES INC.		2,475,000	2,475,000	.0	2FE
437076-BL-5	HOME DEPOT	CORPORATE	02/03/2016	J.P. MORGAN		896,796	900,000	.0	1FE
478160-BY-9	JOHNSON & JOHNSON	CORPORATE	02/25/2016	J.P. MORGAN		1,318,139	1,320,000	.0	1FE
494368-BU-6	KIMBERLY-CLARK	CORPORATE	02/17/2016	CITIGROUP GLOBAL MARKETS INC		642,601	645,000	.0	1FE
585055-BR-6	MEDTRONIC INC	CORPORATE	03/16/2016	J.P. MORGAN		5,185,500	5,000,000	2,625	1FE
61746B-DZ-6	MORGAN STANLEY DEAN WITTER	CORPORATE	01/22/2016	MORGAN STANLEY		4,500,755	4,510,000	.0	1
651229-AV-8	NEWELL RUBBERMAID INC	CORPORATE	03/18/2016	GOLDMAN SACHS		4,138,717	4,140,000	.0	2FE
68217F-AA-0	OMNICOM GROUP INC	CORPORATE	03/28/2016	CITIGROUP GLOBAL MARKETS INC		1,948,187	1,950,000	.0	2FE
742718-EP-0	PROCTOR & GAMBLE CO	CORPORATE	01/28/2016	CITIGROUP GLOBAL MARKETS INC		876,788	880,000	.0	1FE
780082-AE-3	ROYAL BANK OF CANADA	CORPORATE	03/14/2016	RBC CAPITAL MARKETS		4,996,500	5,000,000	.0	1FE
871829-BA-4	SYSCO CORP	CORPORATE	03/22/2016	DEUTSCHE BANK		709,631	710,000	.0	1FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						37,641,028	37,465,000	7,133	XXX
8399997. Total - Bonds - Part 3						135,829,007	126,459,452	146,580	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						135,829,007	126,459,452	146,580	XXX
8999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						0	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	XXX

E04

STATEMENT AS OF MARCH 31, 2016 OF THE Humana Health Plan Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
9899999. Total - Preferred and Common Stocks						0	XXX	0	XXX
9999999 - Totals						135,829,007	XXX	146,580	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues0

STATEMENT AS OF MARCH 31, 2016 OF THE Humana Health Plan Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
36179R-LP-2	GOVERNMENT NATIONAL MORTGAGE GNMA		02/22/2016	VARIOUS		18,320,496	17,464,589	18,220,693	18,214,471	0	(15,164)	0	(15,164)	0	18,199,307	0	121,189	121,189	136,490	08/01/2045	1
36179R-S9-1	GNMA2 30YR		02/22/2016	VARIOUS		10,472,331	9,978,588	10,394,493	10,394,405	0	(5,119)	0	(5,119)	0	10,389,286	0	83,045	83,045	78,341	11/01/2045	1
36183W-5Y-4	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		62,021	62,021	65,742	62,055	0	(34)	0	(34)	0	62,021	0	0	0	462	01/01/2045	1
36202E-VP-1	GOVERNMENT NATIONAL MORTGAGE GNMA		03/21/2016	MBS PAYDOWN		115,713	115,713	116,527	115,731	0	(18)	0	(18)	0	115,713	0	0	0	1,095	08/01/2038	1
912828-K5-8	UNITED STATES TREASURY GOVERNMENT		01/21/2016	WELLS FARGO		6,767,918	6,750,000	6,725,908	6,725,865	0	306	0	306	0	6,726,171	0	41,748	41,748	21,163	04/30/2020	1
912828-PS-3	US TREASURY N/B GOVERNMENT		01/31/2016	MATURITY		310,000	310,000	325,598	310,394	0	(394)	0	(394)	0	310,000	0	0	0	0	01/31/2016	1
0599999	Subtotal - Bonds - U.S. Governments					36,048,479	34,680,911	35,846,961	35,822,921	0	(20,423)	0	(20,423)	0	35,802,498	0	245,982	245,982	237,551	XXX	XXX
13063C-WT-3	CALIFORNIA ST FOR PREVIOUS ISSUE		03/18/2016	MORGAN STANLEY		1,325,809	1,275,000	1,260,758	1,260,919	0	280	0	280	0	1,261,199	0	64,610	64,610	14,875	12/01/2035	1FE
56052A-YA-8	MAINE ST MUNI		03/23/2016	MORGAN STANLEY CALLED SECURITY at		1,185,974	960,000	1,165,392	1,154,639	0	(5,970)	0	(5,970)	0	1,148,669	0	37,305	37,305	15,733	06/01/2023	1FE
837147-E4-5	S CAROLINA ST PUBLIC SERVICE MUNI		01/04/2016	100.000		1,150,000	1,150,000	1,278,869	1,176,119	0	(26,119)	0	(26,119)	0	1,150,000	0	0	0	30,188	01/01/2018	1FE
1799999	Subtotal - Bonds - U.S. States, Territories and Possessions					3,661,783	3,385,000	3,705,019	3,591,677	0	(31,809)	0	(31,809)	0	3,559,868	0	101,915	101,915	60,796	XXX	XXX
181059-TD-8	CLARK CNTY NEV SCH DIST MUNI		03/18/2016	MERRILL LYNCH, PIERCE, FENNER & SM		3,690,000	3,000,000	3,546,270	3,541,231	0	(10,762)	0	(10,762)	0	3,530,469	0	159,531	159,531	50,000	06/15/2027	1FE
30382A-CE-0	FAIRFAX CNTY VA MUNI		03/23/2016	MERRILL LYNCH, PIERCE, FENNER & SM		3,425,856	2,800,000	3,459,988	3,368,926	0	(19,359)	0	(19,359)	0	3,349,567	0	76,289	76,289	69,222	10/01/2022	1FE
2499999	Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions					7,115,856	5,800,000	7,006,258	6,910,157	0	(30,121)	0	(30,121)	0	6,880,036	0	235,820	235,820	119,222	XXX	XXX
31286P-TZ-6	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		1,794	1,794	1,846	1,795	0	(1)	0	(1)	0	1,793	0	0	0	16	01/01/2017	1
3128M6-P2-8	FGOLD 30 YR FNMA		03/01/2016	MBS PAYDOWN		23,548	23,548	23,808	23,552	0	(4)	0	(4)	0	23,548	0	0	0	215	06/01/2038	1
3128MB-RT-3	FGOLD 30YR GIANY FHLMC		03/01/2016	MBS PAYDOWN		35,090	35,090	37,689	35,127	0	(34)	0	(34)	0	35,090	0	0	0	84	04/01/2041	1
3128MB-N7-8	FGOLD 15YR GIANT FHLMC		03/01/2016	MBS PAYDOWN		10,451	10,451	11,088	10,466	0	(15)	0	(15)	0	10,451	0	0	0	89	12/01/2021	1
3128MM-T6-0	FGOLD 15YR GIANT FHLMC		03/01/2016	MBS PAYDOWN		259,563	259,563	270,189	259,699	0	(136)	0	(136)	0	259,563	0	0	0	1,271	10/01/2030	1
312971-BM-5	FGOLD 15YR FHLMC		03/01/2016	MBS PAYDOWN		10,212	10,212	10,836	10,225	0	(11)	0	(11)	0	10,212	0	0	0	84	04/01/2020	1
312971-CQ-5	FGOLD 15YR FHLMC		03/01/2016	MBS PAYDOWN		19,438	19,438	20,622	19,469	0	(31)	0	(31)	0	19,438	0	0	0	208	04/01/2020	1
312971-MS-0	FGOLD 15YR FHLMC		03/01/2016	MBS PAYDOWN		16,364	16,364	17,362	16,385	0	(20)	0	(20)	0	16,364	0	0	0	205	05/01/2020	1
312972-DX-7	FGOLD 15YR FHLMC		03/01/2016	MBS PAYDOWN		29,678	29,678	31,487	29,717	0	(39)	0	(39)	0	29,678	0	0	0	209	04/01/2020	1
312972-EK-4	FGOLD 15YR FHLMC		03/01/2016	MBS PAYDOWN		16,464	16,464	17,468	16,490	0	(26)	0	(26)	0	16,464	0	0	0	168	04/01/2020	1
312972-GT-3	FGOLD 15YR FHLMC		03/01/2016	MBS PAYDOWN		46,639	46,639	49,481	46,707	0	(68)	0	(68)	0	46,639	0	0	0	388	04/01/2020	1
312972-GZ-9	FGOLD 15YR FHLMC		03/01/2016	MBS PAYDOWN		71,280	71,280	75,624	71,394	0	(115)	0	(115)	0	71,280	0	0	0	590	04/01/2020	1
31329K-ZO-2	FGOLD 30 YR FHLMC		03/01/2016	MBS PAYDOWN		25,142	25,142	27,004	25,163	0	(22)	0	(22)	0	25,142	0	0	0	159	11/01/2041	1
31329R-NC-0	FEDERAL HOME LOAN MTGE CO FHLMC		03/01/2016	MBS PAYDOWN		381,381	381,381	396,577	381,494	0	(112)	0	(112)	0	381,381	0	0	0	1,657	01/01/2043	1
31329F-CU-4	FEDERAL HOME LOAN MTGE CO FHLMC		03/01/2016	MBS PAYDOWN		155,670	155,670	162,407	155,744	0	(74)	0	(74)	0	155,670	0	0	0	758	11/01/2030	1
313293-T6-4	FEDERAL HOME LOAN MTGE CO FHLMC		03/01/2016	MBS PAYDOWN		14,063	14,063	14,393	14,065	0	(1)	0	(1)	0	14,063	0	0	0	49	12/01/2043	1
31336W-CP-2	FGOLD 15YR FHLMC		03/01/2016	MBS PAYDOWN		16,752	16,752	17,773	16,777	0	(25)	0	(25)	0	16,752	0	0	0	144	10/01/2040	1
31371K-NX-5	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		10,481	10,481	10,847	10,487	0	(7)	0	(7)	0	10,481	0	0	0	98	05/01/2017	1
3138AS-YN-6	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		52,409	52,409	55,218	52,432	0	(23)	0	(23)	0	52,409	0	0	0	248	10/01/2041	1
3138AU-RL-3	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		19,720	19,720	20,786	19,720	0	(11)	0	(11)	0	19,720	0	0	0	97	10/01/2026	1
3138E6-VH-6	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		496,265	496,265	531,547	496,873	0	(608)	0	(608)	0	496,265	0	0	0	3,245	02/01/2042	1
3138E9-EX-4	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		4,290	4,290	4,400	4,291	0	(1)	0	(1)	0	4,290	0	0	0	21	09/01/2042	1
3138EE-HB-8	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		23,405	23,405	24,841	23,411	0	(6)	0	(6)	0	23,405	0	0	0	134	06/01/2042	1
3138EK-FA-8	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		52,020	52,020	53,190	52,032	0	(12)	0	(12)	0	52,020	0	0	0	265	12/01/2042	1
3138EK-G7-4	FANNIE MAE FNMA		03/01/2016	MBS PAYDOWN		246,615	246,615	260,911	246,751	0	(136)	0	(136)	0	246,615	0	0	0	1,355	08/01/2042	1
3138EK-GE-9	FNMA 30YR TBA FNMA		03/01/2016	MBS PAYDOWN		90,332	90,332	93,804	90,374	0	(42)	0	(42)	0	90,332	0	0	0	576	12/01/2042	1
3138EK-GU-3	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		29,294	29,294	31,839	29,314	0	(20)	0	(20)	0	29,294	0	0	0	193	03/01/2042	1
3138EK-JL-0	FED NTL MTG ASSO FNMA 30YR		02/25/2016	VARIOUS		317,360	311,198	307,673	307,816	0	52	0	52	0	307,870	0	9,490	9,490	1,810	12/01/2042	1
3138EN-AA-7	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		411,227	411,227	442,723	412,007	0	(781)	0	(781)	0	411,227	0	0	0	2,963	01/01/2043	1
3138EP-AH-7	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		323,418	323,418	351,009	324,067	0	(650)	0	(650)	0	323,418	0	0	0	2,191	02/01/2045	1
3138EP-LC-6	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		284,848	284,848	309,594	285,319	0	(471)	0	(471)	0	284,848	0	0	0	2,227	01/01/2043	1
3138EP-S4-7	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		159,808	159,808	170,470	159,981	0	(173)	0	(173)	0	159,808	0	0	0	1,107	07/01/2045	1
3138EP-TZ-7	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		534,692	534,692	559,448	535,063	0	(371)	0	(371)	0	534,692	0	0	0	2,593	06/01/2030	1
3138EP-WL-4	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		36,968	36,968	39,640	36,968	0	2	0	2	0	36,968	0	0	0	123	12/01/2042	1
3138EQ-CS-9	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		170,675	170,675	178,969	170,801	0	(126)	0	(126)	0	170,675	0	0	0	798	09/01/2030	1
3138EQ-HY-1	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		41,599	41,599	44,368	41,615	0	(16)	0	(16)	0	41,599	0	0	0	348	10/01/2043	1
3138EQ-PF-3	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN																	

STATEMENT AS OF MARCH 31, 2016 OF THE Humana Health Plan Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
3138LV-2K-8	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		87,589	87,589	92,844	87,618	.0	(29)	.0	(29)	.0	87,589	.0	.0	.0	.510	07/01/2042	1
3138LV-H8-9	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		446	446	441	446	.0	.0	.0	.0	.0	446	.0	.0	.0	.2	11/01/2042	1
3138LX-T4-1	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		170	170	168	170	.0	.0	.0	.0	.0	170	.0	.0	.0	.1	08/01/2042	1
3138M6-P7-6	FED NTL MTG ASSO FNMA 30 YR		03/01/2016	MBS PAYDOWN		4,216	4,216	4,172	4,216	.0	.0	.0	.0	.0	4,216	.0	.0	.0	.31	12/01/2042	1
3138M7-GY-5	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		3,128	3,128	3,096	3,128	.0	.0	.0	.0	.0	3,128	.0	.0	.0	.17	09/01/2042	1
3138M7-GZ-2	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		2,831	2,831	2,799	2,831	.0	.0	.0	.0	.0	2,831	.0	.0	.0	.20	09/01/2042	1
3138M7-W2-7	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		569	569	562	569	.0	.0	.0	.0	.0	569	.0	.0	.0	.3	08/01/2042	1
3138M9-3L-3	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		3,131	3,131	3,099	3,131	.0	.0	.0	.0	.0	3,131	.0	.0	.0	.9	09/01/2042	1
3138MB-AT-3	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		194	194	192	194	.0	.0	.0	.0	.0	194	.0	.0	.0	.1	10/01/2042	1
3138MC-2V-5	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		1,400	1,400	1,385	1,399	.0	.0	.0	.0	.0	1,400	.0	.0	.0	.8	10/01/2042	1
3138MC-FB-5	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		568	568	562	568	.0	.0	.0	.0	.0	568	.0	.0	.0	.3	10/01/2042	1
3138MC-T4-6	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		227	227	224	227	.0	.0	.0	.0	.0	227	.0	.0	.0	.1	01/01/2043	1
3138MD-WE-8	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		255	255	253	255	.0	.0	.0	.0	.0	255	.0	.0	.0	.1	09/01/2042	1
3138MF-AJ-2	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		357	357	353	357	.0	.0	.0	.0	.0	357	.0	.0	.0	.2	09/01/2042	1
3138MF-W3-7	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		1,648	1,648	1,631	1,648	.0	.0	.0	.0	.0	1,648	.0	.0	.0	.8	11/01/2042	1
3138MF-W5-2	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		2,529	2,529	2,503	2,529	.0	.0	.0	.0	.0	2,529	.0	.0	.0	.18	11/01/2042	1
3138MG-K9-5	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		254	254	252	254	.0	.0	.0	.0	.0	254	.0	.0	.0	.1	11/01/2042	1
3138MG-MC-6	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		2,441	2,441	2,415	2,441	.0	.0	.0	.0	.0	2,441	.0	.0	.0	.12	01/01/2043	1
3138MG-RH-0	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		181	181	179	181	.0	.0	.0	.0	.0	181	.0	.0	.0	.1	12/01/2042	1
3138MH-KP-7	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		259	259	257	259	.0	.0	.0	.0	.0	259	.0	.0	.0	.1	12/01/2042	1
3138MH-KQ-5	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		631	631	624	631	.0	.0	.0	.0	.0	631	.0	.0	.0	.3	12/01/2042	1
3138MH-XJ-7	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		219	219	216	219	.0	.0	.0	.0	.0	219	.0	.0	.0	.1	10/01/2042	1
3138MJ-GT-1	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		512	512	507	512	.0	.0	.0	.0	.0	512	.0	.0	.0	.2	11/01/2042	1
3138MJ-GD-5	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		602	602	596	602	.0	.0	.0	.0	.0	602	.0	.0	.0	.3	12/01/2042	1
3138MJ-QU-6	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		527	527	522	527	.0	.0	.0	.0	.0	527	.0	.0	.0	.3	10/01/2042	1
3138MK-B7-0	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		313	313	310	313	.0	.0	.0	.0	.0	313	.0	.0	.0	.2	11/01/2042	1
3138ML-5C-4	FED NTL MTG ASSO FNMA 30YR		02/25/2016	VARIOUS		334,027	327,570	323,859	324,009	.0	72	.0	72	.0	324,081	.0	9,946	9,946	1,895	12/01/2042	1
3138ML-MY-7	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		209	209	207	209	.0	.0	.0	.0	.0	209	.0	.0	.0	.1	12/01/2042	1
3138ML-TC-8	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		992	992	982	992	.0	.0	.0	.0	.0	992	.0	.0	.0	.5	12/01/2042	1
3138ML-TD-6	FED NTL MTG ASSO FNMA 30YR		02/29/2016	VARIOUS		244,265	239,523	236,810	236,919	.0	42	.0	42	.0	236,961	.0	7,305	7,305	1,394	12/01/2042	1
3138ML-WN-0	FED NTL MTG ASSO FNMA 30YR		02/25/2016	VARIOUS		264,023	258,935	256,002	256,121	.0	66	.0	66	.0	256,187	.0	7,836	7,836	1,495	11/01/2042	1
3138MM-AB-8	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		6,754	6,754	6,683	6,753	.0	.0	.0	.0	.0	6,754	.0	.0	.0	.33	12/01/2042	1
3138MM-AC-6	FED NTL MTG ASSO FNMA 30YR		02/01/2016	VARIOUS		349,428	342,584	338,713	338,870	.0	32	.0	32	.0	338,902	.0	10,526	10,526	1,995	12/01/2042	1
3138MM-C6-7	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		322	322	318	321	.0	.0	.0	.0	.0	322	.0	.0	.0	.2	12/01/2042	1
3138MM-CE-0	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		267	267	264	267	.0	.0	.0	.0	.0	267	.0	.0	.0	.1	11/01/2042	1
3138MM-U3-7	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		2,544	2,544	2,518	2,544	.0	.0	.0	.0	.0	2,544	.0	.0	.0	.7	12/01/2042	1
3138MM-KP-6	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		182	182	180	182	.0	.0	.0	.0	.0	182	.0	.0	.0	.1	01/01/2043	1
3138MM-NH-8	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		2,487	2,487	2,461	2,487	.0	.0	.0	.0	.0	2,487	.0	.0	.0	.16	11/01/2042	1
3138MM-Y6-3	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		2,499	2,499	2,473	2,499	.0	.0	.0	.0	.0	2,499	.0	.0	.0	.8	11/01/2042	1
3138MN-2Y-5	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		204	204	202	204	.0	.0	.0	.0	.0	204	.0	.0	.0	.1	12/01/2042	1
3138MN-5G-1	FED NTL MTG ASSO FNMA 30YR TBA		03/01/2016	MBS PAYDOWN		1,075	1,075	1,064	1,075	.0	.0	.0	.0	.0	1,075	.0	.0	.0	.5	01/01/2043	1
3138MN-A3-4	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		231	231	228	231	.0	.0	.0	.0	.0	231	.0	.0	.0	.1	11/01/2042	1
3138MN-AS-9	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		3,084	3,084	3,049	3,083	.0	.0	.0	.0	.0	3,084	.0	.0	.0	.15	12/01/2042	1
3138MN-AT-7	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		276	276	273	276	.0	.0	.0	.0	.0	276	.0	.0	.0	.1	12/01/2042	1
3138MN-EN-6	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		276	276	273	276	.0	.0	.0	.0	.0	276	.0	.0	.0	.1	12/01/2042	1
3138MN-JQ-4	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		2,344	2,344	2,320	2,344	.0	.0	.0	.0	.0	2,344	.0	.0	.0	.7	01/01/2043	1
3138MN-QL-7	FED NTL MTG ASSO FNMA		02/25/2016	VARIOUS		324,108	317,817	314,217	314,362	.0	56	.0	56	.0	314,419	.0	9,689	9,689	1,843	12/01/2042	1
3138MP-2W-4	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		2,220	2,220	2,197	2,220	.0	.0	.0	.0	.0	2,220	.0	.0	.0	.11	01/01/2043	1
3138MP-2X-2	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		436	436	431	436	.0	.0	.0	.0	.0	436	.0	.0	.0	.2	12/01/2042	1
3138MP-BU-8	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		616	616	610	616	.0	.0	.0	.0	.0	616	.0	.0	.0	.3	12/01/2042	1
3138MP-DQ-5	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		1,950	1,950	1,930	1,950	.0	.0	.0	.0	.0	1,950	.0	.0	.0	.13	01/01/2043	1
3138MP-DV-4	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		170,102	170,102	173,929	170,151	.0	(49)	.0	(49)	.0	170,102	.0	.0	.0	1,087	01/01/2043	1
3138MQ-HG-1	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		2,663	2,663	2,635	2,663	.0	.0	.0	.0	.0	2,663	.0	.0	.0	.8	12/01/2042	1
3138MQ-J3-8	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		526	526	520	526	.0	.0	.0	.0	.0	526	.0	.0	.0	.3	12/01/2042	1
3138MQ-JZ-7	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		703	703	696	703	.0	.0	.0	.0	.0	703	.0	.0	.0	.4	12/01/2042	1
3138MQ-NA-7	FED NTL MTG ASSO FNMA 30YR		02/25/2016	VARIOUS		363,225	356,189	352,154	352,713	.0	71	.0	71	.0	352,388	.0	10,837	10,837	2,069	01/01/2043	1
3138MQ-TN-3	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		1,124	1,124	1,112	1,124	.0	.0	.0	.0	.0	1,124	.0	.0	.0	.6	12/01/2042	1
3138MQ-TP-8	FED NTL MTG ASSO FNMA		02/25/2016	VARIOUS		273,157	267,939	264,903	265,026	.0	93	.0	93	.0	265,120	.0	8,037	8,037	1,545	12/01/2042	1

E05.1

STATEMENT AS OF MARCH 31, 2016 OF THE Humana Health Plan Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
3138MQ-WF-6	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		280	280	277	280	0	0	0	0	0	280	0	0	0	2	12/01/2042	1
3138MQ-WG-4	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		3,424	3,424	3,385	3,424	0	0	0	0	0	3,424	0	0	0	10	12/01/2042	1
3138MR-2P-5	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		161	161	159	161	0	0	0	0	0	161	0	0	0	1	12/01/2042	1
3138MR-5R-8	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		203	203	201	203	0	0	0	0	0	203	0	0	0	1	01/01/2043	1
3138MR-D2-4	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		1,663	1,663	1,646	1,663	0	0	0	0	0	1,663	0	0	0	12	12/01/2042	1
3138MR-DZ-1	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		543	543	537	543	0	0	0	0	0	543	0	0	0	3	12/01/2042	1
3138MR-M7-3	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		482	482	477	482	0	0	0	0	0	482	0	0	0	2	12/01/2042	1
3138MR-RX-1	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		2,984	2,984	2,953	2,984	0	0	0	0	0	2,984	0	0	0	14	12/01/2042	1
3138MR-TR-2	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		285	285	282	285	0	0	0	0	0	285	0	0	0	1	12/01/2042	1
3138MR-TS-0	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		349	349	345	349	0	0	0	0	0	349	0	0	0	2	12/01/2042	1
3138MR-UJ-8	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		3,160	3,160	3,127	3,160	0	0	0	0	0	3,160	0	0	0	10	12/01/2042	1
3138MR-XV-8	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		455	455	451	455	0	0	0	0	0	455	0	0	0	10	12/01/2042	1
3138MS-A2-5	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		232	232	229	232	0	0	0	0	0	232	0	0	0	1	12/01/2042	1
3138MS-A3-3	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		215	215	212	215	0	0	0	0	0	215	0	0	0	1	12/01/2042	1
3138MS-C8-0	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		6,850	6,850	7,004	6,851	0	(1)	0	(1)	0	6,850	0	0	0	26	02/01/2043	1
3138NV-VH-8	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		213	213	210	213	0	0	0	0	0	213	0	0	0	1	01/01/2043	1
3138NX-FV-4	FED NTL MTG ASSO FNMA		02/25/2016	VARIOUS		280,029	274,681	271,570	271,695	0	97	0	97	0	271,792	0	8,236	8,236	1,583	01/01/2043	1
3138NX-J5-7	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		41,647	41,647	42,597	41,654	0	(7)	0	(7)	0	41,647	0	0	0	186	01/01/2043	1
3138NX-L7-0	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		264	264	261	264	0	0	0	0	0	264	0	0	0	1	12/01/2042	1
3138NX-MH-4	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		382	382	378	382	0	0	0	0	0	382	0	0	0	2	01/01/2043	1
3138NX-RK-5	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		2,969	2,969	2,938	2,968	0	0	0	0	0	2,968	0	0	0	19	01/01/2043	1
3138NY-AR-6	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		261	261	259	261	0	0	0	0	0	261	0	0	0	1	01/01/2043	1
3138NY-DM-4	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		3,027	3,027	2,995	3,027	0	0	0	0	0	3,027	0	0	0	9	01/01/2043	1
3138NY-FX-8	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		403	403	399	403	0	0	0	0	0	403	0	0	0	2	01/01/2043	1
3138NY-HT-5	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		349	349	345	349	0	0	0	0	0	349	0	0	0	2	01/01/2043	1
3138NY-OP-3	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		264	264	262	264	0	0	0	0	0	264	0	0	0	1	02/01/2043	1
3138NY-U9-4	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		4,912	4,912	4,857	4,911	0	1	0	1	0	4,912	0	0	0	19	01/01/2043	1
3138W0-2A-6	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		391	391	387	391	0	0	0	0	0	391	0	0	0	2	01/01/2043	1
3138W0-2X-6	FED NTL MTG ASSO FNMA 30 YR		03/01/2016	MBS PAYDOWN		1,893	1,893	1,873	1,892	0	0	0	0	0	1,893	0	0	0	13	01/01/2043	1
3138W0-FU-8	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		2,759	2,759	2,731	2,759	0	0	0	0	0	2,759	0	0	0	8	01/01/2043	1
3138W0-G6-0	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		7,586	7,586	7,507	7,583	0	2	0	2	0	7,586	0	0	0	46	01/01/2043	1
3138W0-SZ-3	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		5,259	5,259	5,378	5,260	0	(1)	0	(1)	0	5,259	0	0	0	27	05/01/2043	1
3138W0-YR-4	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		347	347	344	347	0	0	0	0	0	347	0	0	0	2	01/01/2043	1
3138W1-40-7	FED NTL MTG ASSO 30YR		03/01/2016	MBS PAYDOWN		143,103	143,103	148,145	143,151	0	(48)	0	(48)	0	143,103	0	0	0	664	03/01/2043	1
3138W1-7M-3	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		786	786	778	786	0	0	0	0	0	786	0	0	0	4	01/01/2043	1
3138W1-K3-0	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		16,449	16,449	16,819	16,451	0	(2)	0	(2)	0	16,449	0	0	0	84	02/01/2043	1
3138W1-LY-1	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		2,804	2,804	2,775	2,804	0	0	0	0	0	2,804	0	0	0	14	03/01/2043	1
3138W3-FS-7	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		4,246	4,246	4,201	4,245	0	0	0	0	0	4,246	0	0	0	25	02/01/2043	1
3138W4-AP-6	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		5,089	5,089	5,036	5,088	0	1	0	1	0	5,089	0	0	0	30	04/01/2043	1
3138W6-W0-5	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		194	194	192	194	0	0	0	0	0	194	0	0	0	1	03/01/2043	1
3138W7-GB-4	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		3,205	3,205	3,171	3,204	0	0	0	0	0	3,205	0	0	0	17	03/01/2043	1
3138W7-GD-0	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		120,814	120,814	123,533	120,841	0	(27)	0	(27)	0	120,814	0	0	0	561	03/01/2043	1
3138W7-WP-5	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		1,090	1,090	1,078	1,089	0	0	0	0	0	1,090	0	0	0	5	04/01/2043	1
3138W9-GH-6	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		31,457	31,457	32,171	31,463	0	(6)	0	(6)	0	31,457	0	0	0	161	08/01/2043	1
3138WD-6X-4	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		4,665	4,665	4,815	4,667	0	(2)	0	(2)	0	4,665	0	0	0	20	02/01/2030	1
3138WE-AC-3	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		331,492	331,492	348,014	331,740	0	(249)	0	(249)	0	331,492	0	0	0	1,581	03/01/2030	1
3138WE-AJ-8	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		21,817	21,817	22,888	21,831	0	(14)	0	(14)	0	21,817	0	0	0	109	02/01/2030	1
3138WE-AM-1	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		30,654	30,654	32,182	30,673	0	(18)	0	(18)	0	30,654	0	0	0	154	02/01/2030	1
3138WE-C0-0	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		205,331	205,331	222,335	205,479	0	(147)	0	(147)	0	205,331	0	0	0	1,344	03/01/2040	1
3138WE-H4-4	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		67,076	67,076	69,225	67,102	0	(26)	0	(26)	0	67,076	0	0	0	248	04/01/2030	1
3138WF-4F-0	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		37,903	37,903	40,443	37,917	0	(14)	0	(14)	0	37,903	0	0	0	217	11/01/2045	1
3138WF-NL-6	FANNIE MAE 15 YR MBS/POOL		03/01/2016	MBS PAYDOWN		336,929	336,929	351,248	337,132	0	(204)	0	(204)	0	336,929	0	0	0	1,959	09/01/2030	1
3138WF-R5-7	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		61,483	61,483	64,836	61,502	0	(19)	0	(19)	0	61,483	0	0	0	354	10/01/2045	1
3138WF-R7-3	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		54,432	54,432	57,196	54,445	0	(13)	0	(13)	0	54,432	0	0	0	316	10/01/2045	1
3138WF-XZ-4	FANNIE MAE 15 YR MBS/POOL		03/01/2016	MBS PAYDOWN		114,628	114,628	119,804	114,690	0	(62)	0	(62)	0	114,628	0	0	0	571	10/01/2030	1
3138WF-Y9-1	FED NTL MTG ASSO FNMA		02/29/2016	VARIOUS		6,164,144	5,754,616	6,142,154	6,141,714	0	(3,086)	0	(3,086)	0	6,138,629	0	25,515	25,515	44,672	11/01/2045	1
3138WM-P4-7	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		230	230	228	230	0	0	0	0	0	230	0	0	0	1	03/01/2043	1
3138WM-XV-8	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		5,215	5,215	5,161	5,214	0	2	0	2	0	5,215	0	0	0	20	03/01/2043	1

E05.2

STATEMENT AS OF MARCH 31, 2016 OF THE Humana Health Plan Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
3138WM-ZQ-7	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		3,001	3,001	2,970	3,001	.0	.0	.0	.0	.0	3,001	.0	.0	.0	.8	03/01/2043	1FE
3138WN-7K-9	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		2,686	2,686	2,668	2,686	.0	.0	.0	.0	.0	2,686	.0	.0	.0	.19	04/01/2043	1FE
3138WP-JE-5	FNMA 15YR		03/01/2016	MBS PAYDOWN		146,203	146,203	152,748	146,307	.0	(104)	.0	(104)	.0	146,203	.0	.0	.0	.618	04/01/2028	1FE
3138WQ-M3-3	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		352	352	348	352	.0	.0	.0	.0	.0	352	.0	.0	.0	.2	04/01/2043	1FE
3138WQ-O3-9	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		2,871	2,871	2,841	2,871	.0	.0	.0	.0	.0	2,871	.0	.0	.0	.20	05/01/2043	1FE
3138WU-SW-9	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		21,788	21,788	22,646	21,798	.0	(10)	.0	(10)	.0	21,788	.0	.0	.0	.130	06/01/2043	1FE
3138WV-H4-6	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		264	264	264	264	.0	.0	.0	.0	.0	264	.0	.0	.0	.1	05/01/2043	1FE
3138WV-N4-9	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		3,080	3,080	3,048	3,080	.0	.0	.0	.0	.0	3,080	.0	.0	.0	.9	06/01/2043	1FE
3138WV-KV-0	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		456	456	451	456	.0	.0	.0	.0	.0	456	.0	.0	.0	.2	06/01/2043	1FE
3138WX-WH-6	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		234	234	234	234	.0	.0	.0	.0	.0	234	.0	.0	.0	.1	07/01/2043	1FE
3138XQ-BE-0	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		120,894	120,894	126,920	121,001	.0	(107)	.0	(107)	.0	120,894	.0	.0	.0	.507	04/01/2029	1FE
3138XF-E3-4	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		23,505	23,505	24,677	23,524	.0	(19)	.0	(19)	.0	23,505	.0	.0	.0	.106	02/01/2030	1FE
3138YU-DY-0	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		11,367	11,367	11,975	11,367	.0	(3)	.0	(3)	.0	11,367	.0	.0	.0	.49	07/01/2030	1FE
31410F-Z2-4	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		29,133	29,133	28,714	29,125	.0	.8	.0	.8	.0	29,133	.0	.0	.0	.242	07/01/2030	1FE
31416X-AL-4	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		90,728	90,728	96,278	90,782	.0	(54)	.0	(54)	.0	90,728	.0	.0	.0	.497	11/01/2040	1FE
31416Y-TV-4	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		101,317	101,317	110,245	101,484	.0	(167)	.0	(167)	.0	101,317	.0	.0	.0	.828	08/01/2041	1FE
31417C-H5-7	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		301,627	301,627	308,508	301,731	.0	(105)	.0	(105)	.0	301,627	.0	.0	.0	1,389	07/01/2042	1FE
31417C-QQ-1	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		1,335	1,335	1,320	1,335	.0	.0	.0	.0	.0	1,335	.0	.0	.0	.6	08/01/2042	1FE
31417C-QS-7	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		226	226	223	226	.0	.0	.0	.0	.0	226	.0	.0	.0	.1	08/01/2042	1FE
31417D-4F-7	FNMA 30YR TBA FNMA		03/01/2016	MBS PAYDOWN		4,272	4,272	4,224	4,272	.0	.0	.0	.0	.0	4,272	.0	.0	.0	.23	12/01/2042	1FE
31417E-CQ-2	FED NTL MTG ASSO FNMA 30YR		02/25/2016	VARIOUS		255,276	250,327	247,492	247,602	.0	.49	.0	.49	.0	247,654	.0	7,622	7,622	1,456	12/01/2042	1FE
31417E-CT-6	FED NTL MTG ASSO FNMA 30YR		03/01/2016	MBS PAYDOWN		5,213	5,213	5,159	5,212	.0	.1	.0	.1	.0	5,213	.0	.0	.0	.22	12/01/2042	1FE
31417E-WF-4	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		30,940	30,940	31,733	30,947	.0	(7)	.0	(7)	.0	30,940	.0	.0	.0	.141	02/01/2043	1FE
31417F-2P-2	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		55,860	55,860	57,135	55,875	.0	(15)	.0	(15)	.0	55,860	.0	.0	.0	.296	04/01/2043	1FE
31417G-LW-4	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		7,420	7,420	7,587	7,421	.0	(1)	.0	(1)	.0	7,420	.0	.0	.0	.34	05/01/2043	1FE
31417G-MA-1	FED NTL MTG ASSO FNMA		03/01/2016	MBS PAYDOWN		5,636	5,636	5,578	5,635	.0	.1	.0	.1	.0	5,636	.0	.0	.0	.38	05/01/2043	1FE
31419E-S4-2	FED NTL MTG ASSO FNMA		02/25/2016	VARIOUS		8,560,312	8,021,460	8,512,148	8,510,222	.0	(7,045)	.0	(7,045)	.0	8,503,177	.0	57,135	57,135	62,090	09/01/2040	1FE
31419J-MR-6	FED NTL MTG ASSO FNMA		02/25/2016	VARIOUS		4,108,195	3,854,694	4,087,180	4,086,276	.0	(8,126)	.0	(8,126)	.0	4,078,149	.0	30,046	30,046	29,722	11/01/2040	1FE
472653-AK-0	JEFFERSON AL SALES TAX MUNI		01/01/2016	MATURITY		800,000	800,000	857,480	800,000	.0	.0	.0	.0	.0	800,000	.0	.0	.0	21,000	01/01/2016	2FE
917567-AY-5	UTAH TRAN AUTHORITY SALES TAX MUNI		03/18/2016	RBC DOMINION SECURITIES CORP		933,701	790,000	914,180	912,193	.0	(2,571)	.0	(2,571)	.0	909,622	.0	24,079	24,079	10,753	06/15/2038	1FE
31999999	Subtotal - Bonds - U.S. Special Revenues					30,839,166	29,435,461	31,079,504	30,413,086	.0	(25,927)	.0	(25,927)	.0	30,612,868	.0	226,299	226,299	226,719	XXX	XXX
023767-AA-4	AMERICAN AIRLINES INC CORPORATE		02/29/2016	VARIOUS		295,687	278,734	278,737	278,737	.0	.0	.0	.0	.0	278,733	.0	16,950	16,950	7,507	07/31/2021	1FE
0258MO-DT-3	AMERICAN EXPRESS CO CORPORATE		02/17/2016	JEFFERIES		630,555	635,000	634,375	634,441	.0	.13	.0	.13	.0	634,452	.0	(3,899)	(3,899)	3,603	05/26/2020	1FE
05348E-AU-3	AVALONBAY COMMUNITIES INC CORPORATE		03/17/2016	UBS SECURITIES		2,540,661	2,480,000	2,461,425	2,463,097	.0	357	.0	357	.0	2,463,454	.0	77,207	77,207	30,621	11/15/2047	2FE
05946X-BV-4	BANK OF AMERICA FDG CO 2003-1		03/21/2016	VARIOUS		246	246	251	250	.0	(4)	.0	(4)	.0	246	.0	.0	.0	.6	05/20/2033	1FE
059500-AD-0	BACN_06-3 CMBS		03/10/2016	VARIOUS		506,400	506,402	567,788	563,059	.0	(56,656)	.0	(56,656)	.0	506,403	.0	.0	.0	6,596	07/10/2044	1FM
07388R-AF-4	BEAR STEARNS CO. CMBS		03/11/2016	VARIOUS		2,282	2,282	2,525	2,443	.0	(161)	.0	(161)	.0	2,282	.0	.0	.0	.21	02/01/2044	1FM
12583P-AW-2	COMM CMBS		02/01/2016	DEUTSCHE BANK CREDIT SUISSE SECURITIES (USA) LLC		5,198,828	5,000,000	5,149,892	5,145,436	.0	(1,173)	.0	(1,173)	.0	5,144,259	.0	54,570	54,570	32,891	08/01/2048	1FE
12650Y-AA-1	CSMC_15-GLPB CMBS		02/12/2016	VARIOUS		2,087,344	2,000,000	2,059,998	2,059,805	.0	(1,022)	.0	(1,022)	.0	2,058,783	.0	28,560	28,560	15,568	11/01/2034	1FE
151020-AQ-7	CELGENE CORPORATION CORPORATE		03/23/2016	VARIOUS		2,044,000	2,000,000	1,995,449	1,995,735	.0	210	.0	210	.0	1,995,945	.0	48,055	48,055	36,257	08/15/2020	2FE
165182-BR-6	CF_15-1A: A ABS FTST		03/07/2016	VARIOUS		236,773	236,773	236,773	236,773	.0	.0	.0	.0	.0	236,773	.0	.0	.0	.522	02/07/2027	1FE
17322A-AD-4	CGOMT_14-GC19 CMBS		02/12/2016	MORGAN STANLEY		10,707,443	10,000,000	10,667,188	10,653,925	.0	(1,483)	.0	(1,483)	.0	10,652,442	.0	55,001	55,001	86,048	03/01/2047	1FM
178566-AC-9	CITY NATIONAL CORPORATION CORPORATE		01/21/2016	KEY CAPITAL MARKETS		443,824	400,000	398,952	399,407	.0	.13	.0	.13	.0	399,420	.0	44,404	44,404	7,642	09/15/2020	1FE
20173M-AE-0	GCCFC_06-G37 CMBS		03/11/2016	VARIOUS		4,103,453	4,103,453	4,741,411	4,640,733	.0	(537,280)	.0	(537,280)	.0	4,103,453	.0	.0	.0	38,110	07/01/2038	1FM
20173Q-AE-1	GCCFC_07-G09 CMBS		03/11/2016	VARIOUS		6,526	6,526	7,406	7,098	.0	(572)	.0	(572)	.0	6,526	.0	.0	.0	.61	03/10/2039	1FM
20173W-AF-5	CMINTI CMBS		03/10/2016	VARIOUS		56,470	56,470	64,133	59,905	.0	(3,435)	.0	(3,435)	.0	56,470	.0	.0	.0	.563	12/01/2049	1FM
22545L-AD-1	CSMC_06-C5 CMBS		03/17/2016	VARIOUS		193,490	193,490	209,815	208,597	.0	(15,108)	.0	(15,108)	.0	193,490	.0	.0	.0	1,803	12/15/2039	1FM
251591-AY-9	DEVELOPERS DIVERSIFIED REALTY CORP		01/21/2016	WELLS FARGO		942,741	900,000	893,835	897,741	.0	62	.0	62	.0	897,803	.0	44,938	44,938	11,994	04/15/2018	2FE
25468P-DJ-2	THE WALT DISNEY CO. CORPORATE		01/21/2016	WELLS FARGO		822,865	815,000	814,209	815,000	.0	(18)	.0	(18)	.0	814,192	.0	8,673	8,673	937	02/12/2021	1FE
26442J-AA-2	DUKE ENERGY PROGRESS INC CORPORATE		01/21/2016	WELLS FARGO		4,455,016	4,390,000	4,376,611	4,376,910	.0	140	.0	140	.0	4,377,050	.0	77,966	77,966	64,600	08/15/2025	1FE
27876G-BE-7	ECHOSTAR DBS CORPORATION CORPORATE		02/01/2016	MATURITY		170,000	170,000	169,34													

STATEMENT AS OF MARCH 31, 2016 OF THE Humana Health Plan Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)	
375558-BF-9	GILEAD SCIENCES INC CORPORATE		01/21/2016	J.P. MORGAN		788,632	775,000	771,869	771,911	0	23	0	23	0	771,935	0	16,698	16,698	10,972	03/01/2026	1FE	
46629H-JX-9	JP MORGAN CHASE CORPORATE		03/16/2016	VARIOUS		10,641,124	10,400,000	10,535,200	10,521,163	0	(2,287)	0	(2,287)	0	10,518,876	0	122,248	122,248	117,289	05/13/2024	1FE	
46629G-AE-8	JP MORGAN COM MTG 2006-C1BC16		03/14/2016	VARIOUS		44,685	44,685	44,907	44,713	0	(29)	0	(29)	0	44,685	0	0	0	442	05/01/2045	1FM	
46629P-AC-2	JPMCC 06-LDP9 CMBS		03/15/2016	100.000 CALLED SECURITY at		239,753	239,753	274,367	272,965	0	(33,212)	0	(33,212)	0	239,753	0	0	0	1,233	05/01/2047	1FM	
46629Y-AC-3	JPMORGAN CHASE 07-CB18 CMBS		03/14/2016	VARIOUS		26,620	26,620	30,397	29,815	0	(3,195)	0	(3,195)	0	26,620	0	0	0	326	06/01/2047	1FM	
46630J-AC-3	JP MORGAN CHASE		03/15/2016	100.000 CALLED SECURITY at		12,903	12,903	14,831	14,758	0	(1,855)	0	(1,855)	0	12,903	0	0	0	156	01/01/2049	1FM	
46632H-AG-6	JPMCC 07-LD12 CMBS		03/15/2016	100.000 CALLED SECURITY at		1,642	1,642	1,870	1,864	0	(223)	0	(223)	0	1,642	0	0	0	18	02/01/2051	1FM	
50179A-AE-7	LB-LBS CMBS 2007-C1		03/17/2016	100.000 CALLED SECURITY at		11,173	11,173	12,387	12,296	0	(1,123)	0	(1,123)	0	11,173	0	0	0	89	02/11/2040	1FM	
50180J-AD-7	LBUBS 07-C2 CMBS		03/17/2016	100.000		1,619	1,619	1,763	1,756	0	(138)	0	(138)	0	1,619	0	0	0	17	02/15/2040	1FM	
594918-BG-8	MICROSOFT CORP CORPORATE		03/16/2016	JEFFERIES		3,240,243	3,190,000	3,187,448	3,187,491	0	120	0	120	0	3,187,606	0	52,636	52,636	24,457	11/03/2020	1FE	
60687V-AF-2	MLCFC 06-3 CMBS		03/14/2016	VARIOUS		143,143	143,143	157,815	157,209	0	(14,067)	0	(14,067)	0	143,143	0	0	0	1,787	07/01/2046	1FM	
61746B-DZ-6	MORGAN STANLEY DEAN WITTER CORPORATE		03/16/2016	MORGAN STANLEY		4,625,501	4,510,000	4,500,755	0	0	(63)	0	(63)	0	4,500,692	0	124,810	124,810	26,214	01/27/2026	1	
61750W-AS-2	MSC-99 CMBS		03/15/2016	100.000 CALLED SECURITY at		25,717	25,717	28,315	28,215	0	(2,498)	0	(2,498)	0	25,717	0	0	0	183	12/01/2043	1FM	
61751N-AB-8	MSC 07-HQ11		03/14/2016	VARIOUS		3,144	3,144	3,439	3,340	0	(197)	0	(197)	0	3,144	0	0	0	31	02/01/2044	1FM	
61756U-AE-1	MSC-99 CMBS		03/14/2016	100.000		30,815	30,815	36,495	36,298	0	(5,483)	0	(5,483)	0	30,815	0	0	0	396	12/01/2049	1FM	
67059T-AC-9	NUSTAR LOGISTICS CORPORATE		02/23/2016	VARIOUS		656,250	875,000	872,935	770,000	103,595	38	0	103,633	0	873,633	0	(217,383)	(217,383)	22,938	02/01/2022	3FE	
69353R-ES-3	PNC FINANCIAL SERVICES GROUP INC		01/21/2016	WELLS FARGO		2,323,460	2,300,000	2,298,390	2,298,506	0	39	0	39	0	2,298,541	0	24,919	24,919	30,731	07/21/2020	1FE	
828807-CU-9	SIMON PROPERTY GROUP LP CORPORATE		01/22/2016	VARIOUS		2,767,724	2,735,000	2,733,441	2,733,488	0	35	0	35	0	2,733,523	0	34,201	34,201	30,282	09/01/2020	1FE	
834017-AB-1	SOFI ABS FTST		03/25/2016	VARIOUS		264,980	264,980	264,945	264,938	0	42	0	42	0	264,980	0	0	0	932	09/27/2032	1FE	
92978N-AE-4	WBCMT 07-C33 CMBS		03/17/2016	100.000 CALLED SECURITY at		11,770	11,770	12,910	12,867	0	(1,097)	0	(1,097)	0	11,770	0	0	0	123	02/01/2051	1FM	
92978P-AF-6	WBCMT 03 CMBS		03/17/2016	100.000 CALLED SECURITY at		1,470	1,470	1,619	1,615	0	(145)	0	(145)	0	1,470	0	0	0	14	11/01/2048	1FM	
94974B-GM-6	WELLS FARGO & CO CORPORATE		02/16/2016	MIZUHO SECURITIES USA INC.		2,763,858	2,745,000	2,743,463	2,743,567	0	33	0	33	0	2,743,600	0	20,258	20,258	41,038	07/22/2020	1FE	
98310W-AB-4	WYNDHAM WORLDWIDE CORP CORPORATE		03/25/2016	105.440 CALLED SECURITY at		34,795	33,000	35,310	33,421	0	1,374	0	1,374	0	34,795	0	0	0	0	12/01/2016	2FE	
00507U-AR-2	ACTAVIS FUNDING SCS CORP SCH B	R	02/18/2016	MERRILL LYNCH, PIERCE, FENNER & SM		1,567,910	1,535,000	1,532,820	1,532,992	0	72	0	72	0	1,533,064	0	34,846	34,846	23,242	03/15/2022	2FE	
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					65,690,082	64,108,357	65,844,336	60,285,778	103,595	(679,905)	0	(576,310)	0	65,024,422	0	665,658	665,658	683,739	XXX	XXX	
8399997	Total - Bonds - Part 4					143,355,366	137,409,729	143,482,078	137,023,619	103,595	(788,185)	0	(684,590)	0	141,879,692	0	1,475,674	1,475,674	1,328,027	XXX	XXX	
8399998	Total - Bonds - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999	Total - Bonds					143,355,366	137,409,729	143,482,078	137,023,619	103,595	(788,185)	0	(684,590)	0	141,879,692	0	1,475,674	1,475,674	1,328,027	XXX	XXX	
8999997	Total - Preferred Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
8999998	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999	Total - Preferred Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799997	Total - Common Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799998	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999	Total - Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9899999	Total - Preferred and Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9999999	Totals					143,355,366	XXX	143,482,078	137,023,619	103,595	(788,185)	0	(684,590)	0	141,879,692	0	1,475,674	1,475,674	1,328,027	XXX	XXX	

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....0

E05.4

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open
N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open
N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made
N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To
N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned
N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned
N O N E

STATEMENT AS OF MARCH 31, 2016 OF THE Humana Health Plan Inc.

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Citibank New Castle, DE		0.000	0	0	9,147,911	11,150,977	7,245,638	.XXX.
WELLS FARGO Atlanta, GA		0.000	0	0	(22,966,194)	(23,946,301)	(26,673,158)	.XXX.
WELLS FARGO Atlanta, GA		0.000	0	0	(2,888,122)	(2,145,315)	(2,009,691)	.XXX.
JP Morgan Chase New York, NY		0.000	0	0	9,757,837	13,397,596	3,800,773	.XXX.
FIFTH THIRD Louisville, KY		0.000	0	0	632,988	414,142	765,067	.XXX.
BB&T Winston-Salem, NC		0.000	0	0	370,839	1,121,454	1,113,306	.XXX.
COMMUNITY T&B CO CERT DEPOS		0.500	0	0	250,000	250,000	0	.XXX.
COMMONWEALTH BANK & TRUST CERT DEPOS		0.500	0	330	250,000	250,000	250,000	.XXX.
COMMUNITY T&B CO CERT DEPOS		0.500	0	63	0	0	250,000	.XXX.
Interest Received During Quarter on Disposed Holdings		0.000	96	0	0	0	0	.XXX.
0199998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX	0	0	0	0	0	.XXX.
0199999. Totals - Open Depositories	XXX	XXX	96	393	(5,444,741)	492,553	(15,258,065)	.XXX.
0299998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX	0	0	0	0	0	.XXX.
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	.XXX.
0399999. Total Cash on Deposit	XXX	XXX	96	393	(5,444,741)	492,553	(15,258,065)	.XXX.
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX	0	0	0	.XXX.
0599999. Total - Cash	XXX	XXX	96	393	(5,444,741)	492,553	(15,258,065)	.XXX.

