

**BEFORE THE INSURANCE COMMISSIONER
FOR THE STATE OF ARKANSAS**

ARKANSAS INSURANCE DEPARTMENT)
Petitioner)
)
vs.)
)
WILLIAM S. DAVIS)
Respondent)
)

A.I.D. NO. 2014- 223

CONSENT PROBATION ORDER

On this day, the matter of William S. Davis (“Respondent”) comes before Jay Bradford, Arkansas Insurance Commissioner (“Commissioner”). The Arkansas Insurance Department (“Department”) is represented by Ava Franks, Associate Counsel, in this matter.

FINDINGS OF FACT

1. Respondent holds Arkansas Resident Producer License No. 273411.
2. On or about April 3, 2014, the Department received a complaint alleging that Respondent intentionally signed a consumer up for the wrong marketplace product.
3. On or about July 3, 2014, Respondent met with the Commissioner regarding this complaint.
4. During this meeting, the Commissioner determined that the root of this complaint is a failure on Respondent’s part to give professional advise and a disregard of the alleged wishes of the consumer.
5. The Commissioner determined that the Respondent failed to follow the client’s wishes, as alleged in the complaint.
6. Respondent neither admits nor the findings of fact.

CONCLUSIONS OF LAW

7. The Commissioner has jurisdiction over the parties and the subject matter pursuant to Arkansas Code §23-61-103.

8. An Appeal may be held to affirm, modify, or vacate an order. Ark. Code Ann. §23-61-103(f)(2).


9. An informal disposition of a proceeding or allegations that give rise to a proceeding by stipulation, settlement, consent or default in lieu of a formal or informal hearing on the allegations are permissible. Ark. Code Ann. §23-61-103(f)(7).

10. A producer may be placed on probation for demonstrated incompetence.


IT IS HEREBY ORDERED:

In consideration of the above findings and conclusions, it is hereby agreed that Respondent's Resident Producer License No. 273411 is placed on probation for a period of six (6) months. This six (6) month probationary period began May 29, 2014.

IT IS SO ORDERED THIS 9 day of July, 2014.



WILLIAM S. DAVIS
RESPONDENT



JAY BRADFORD
INSURANCE COMMISSIONER
STATE OF ARKANSAS